A Comparative Assessment of Survival Between Propensity Score-Matched Patients With Peritoneal Dialysis and Hemodialysis in Taiwan

Yu-Kang Chang, MS, Chih-Cheng Hsu, MD, DrPH, Shang-Jyh Hwang, MD, Pei-Chun Chen, PhD, MSPH, Chiu-Chin Huang, MD, Tsai-Chung Li, PhD, MS, and Fung-Chang Sung, PhD, MPH

Abstract: Studies comparing mortality for Asian populations with endstage renal disease (ESRD) on hemodialysis (HD) and peritoneal dialysis (PD) are limited. We compared mortality between patients treated with PD and HD in Taiwan, the population with the highest incidence of ESRD worldwide. Using the population-based insurance claims data of Taiwan from 1997 to 2006, we identified 4721 patients treated with PD and randomly selected 4721 patients treated with HD who were frequency-matched to the PD patients based on their propensity scores. In follow-up analyses we measured mortalities and hazard ratios associated with comorbidities in 2 different 5-year cohorts (1997-2001 and 2002-2006).

In the 10-year period from 1997 to 2006, the overall mortality rates were similar in patients treated with PD and in patients treated with HD (12.0 vs. 11.7 per 100 person-years, respectively), with a PD-to-HD hazard ratio of 1.02 (95% confidence interval [CI], 0.96-1.08). In the first 5-year period (1997-2001), the hazard ratio for mortality was higher for PD (1.33; 95% CI, 1.21-1.46), but there was no difference between PD and HD in the 2002-2006 cohort. Of note, younger patients who received PD had better survival than younger patients who received HD; this was especially true for patients aged younger than 40 years.

In summary, in this Asian population, no significant survival differences were noted between propensity score-matched PD and HD patients. The selection of a dialysis modality must be tailored to the individual patient. Studies in which patients who are appropriate for either modality are randomly assigned to HD or PD may provide helpful information to clinicians and patients.

(Medicine 2012;91: 144-151)

Abbreviations: CI = confidence interval, CCI = Charlson Comorbidity Index score, DM = diabetes mellitus, ESRD = endstage renal disease, HD = hemodialysis, NHI = National Health Insurance, NHRI = National Health Research Institute, PD = peritoneal dialysis.

Funding and conflicts of interest: This study was supported by grants NSC 98-2621-M-039-001, CMUH 1MS1, DOH100-TD-B-111-004, and DOH100-TD-C-111-005 of Taiwan.

The authors have no other funding or conflicts of interest to disclose. Reprints: Professor Fung-Chang Sung, PhD, MPH, China Medical University College of Public Health, 91 Hsueh-Shih Road, Taichung 404, Taiwan

(e-mail: fcsung@mail.cmu.edu.tw) Copyright © 2012 by Lippincott Williams & Wilkins

ISSN: 0025-7974

DOI: 10.1097/MD.0b013e318256538e

144 www.md-iournal.com

INTRODUCTION

 \mathbf{E} nd-stage renal disease (ESRD) has emerged as a disease with a high medical burden worldwide. The disease is most prevalent in Taiwan, the United States, Germany, and Japan.^{6,13,26,31} Since 2002, Taiwan has had the highest incidence and prevalence of the disease in the world.⁶ In the United States, approximately 112,000 people are diagnosed with ESRD annually. Most patients with ESRD undergo chronic dialysis, either hemodialysis (HD) or peritoneal dialysis (PD).⁴ The preference for using HD or PD varies considerably among patients.^{5–7,12,21}

In 2006, HD was used for 92.4% of dialysis patients in Taiwan,⁷ 91.7% in the United States,⁵ and 18.7% in Hong Kong. There has been considerable interest in evaluating the treatment effectiveness of PD and HD in the past 2 decades, and many observational studies have been conducted.^{4,14,17,18,21,23,25,28,35} Several studies have reported that PD appears to be associated with equal or better survival during the first year^{17,19,22–25,31,34} or the first 2 years of dialysis compared with HD.4,14,18,28 Studies comparing the length of survival of patients on HD and PD have yielded conflicting results, 1,4,11,14,17,18,21,25,27,28,32,33 particularly because of the absence of similar comorbidity burdens in the 2 groups.

McDonald et al²² analyzed the population-based registries of Australia and New Zealand and found that treatment with PD is more effective than HD in year 1 during a 5-year follow-up period. Several studies have compared PD patients with propen-sity score-matched HD patients for survival probability.^{8,27,35} Weinhandl et al³⁵ used the propensity score-matching technique to establish retrospective study cohorts and performed PD-to-HD survival comparison. Their population-based study suggests better survival for patients treated with PD than those treated with HD.

Comparative studies on HD and PD survival for Asian populations using population data for a longer period are limited. To the best of our knowledge, comparisons of secular trends in mortality between PD and HD using a propensity scorematched design have not been reported for Asian patients. Therefore, we used a propensity score-matched design to identify PD and HD patients from the universal insurance claims data of Taiwan to investigate the temporal change in mortality in patients in 2 sets of 5-year cohorts (1997–2001 and 2002–2006). We measured the PD-to-HD hazard ratios for mortality in the follow-up years to compare the trend between these 2 cohorts. We also investigated the role of comorbidities, particularly diabetes mellitus (DM), in association with the survival differences between PD and HD treatments over time.²³

METHODS

Study Design and Patient Selection

We conducted a historical retrospective cohort study using claims data from 1997 to 2006 obtained from the Taiwan National

Medicine • Volume 91, Number 3, May 2012

From the Institute of Population Health Sciences (YKC, CC Hsu), National Health Research Institutes, Zhunan, Taiwan; Department of Public Health (YKC, PCC, FCS), Division of Nephrology (CC Huang), and Institute of Biostatistics (TCL), China Medical University and Hospital, Taichung, Taiwan; and Division of Nephrology (SJH), Kaohsiung Medical University Hospital, Kaohsiung, Taiwan.

Presented in part in abstract form at the Annual Meeting of the American Society of Nephrology, San Diego, CA, October 27-November 1, 2009.

Health Insurance. This is a reformed universal insurance program that unified 13 insurance systems in March 1995 and covered more than 96% of all Taiwan residents in 1996. The study population comprised patients with newly diagnosed ESRD who received dialysis treatment between January 1, 1997, and December 31, 2006. Mortality was through December 31, 2006. PD and HD patients were identified by special treatment codes registered with and defined by the Taiwan National Health Insurance: treatment codes for HD were 58001C, 58014C, 58019C-58025C, and 58027C; and treatment codes for PD were 58002C, 58009A, 58009B, 58010A, 58010B, 58011A, 58011B, 58011AB, 58011C, 58012A, 58012B, 58017B, 58017C, and 58026C.

We identified 60,369 dialysis patients, and excluded patients who had received kidney transplantation (n = 917). Of the remaining 59,452 patients, 3443 (5.8%) were on PD, 54,370 (91.5%) were on HD, 1278 (2.1%) switched from PD to HD, and 361 (0.6%) switched from HD to PD. All HD patients were incenter patients who received the dialysis treatment in hospitals or clinics.

Propensity Score Matching

Only 7.9% (4721/59,452) of the patients with ESRD had been treated with PD. Patients treated with PD were different

from patients treated with HD in terms of health status, particularly comorbidities, which are important covariates associated with survival. Thus, we established study cohorts that were frequency-matched with propensity scores. Based on patients treated only or initially with PD (n = 4721), we randomly selected 4721 patients treated with HD who were frequencymatched to PD patients by propensity score. The propensity score was estimated using a logistic regression model including covariates generally considered to be important factors associated with survival.^{8,14,22,27,35} These covariates included the baseline information on age, sex, DM, hypertension, coronary artery disease, congestive heart failure, ischemic heart disease, cerebrovascular disease, malignancy, and anemia. We identified all illnesses using the International Classification of Diseases, 9th Revision, Clinical Modification (ICD-9-CM). All baseline covariates were extracted from the same year the subjects were identified. Predictive performance of the model was assessed using the c-statistic.³ Nearest-neighbor algorithm was applied to construct matched pairs, assuming that the proportion of 0.95 to 1.0 is perfect.² After the frequency-matching, an HD cohort was formed. The 1639 patients switching between PD and HD were combined with the initial intent-to-treat group for data analysis before the HD cohort was selected.



FIGURE 1. Peritoneal dialysis-to-hemodialysis hazard ratio (HR) for mortality by diabetes mellitus (DM) status in the periods 1997–2001 and 2002–2006.

© 2012 Lippincott Williams & Wilkins

www.md-journal.com | 145

Charlson Comorbidity Index Score

We estimated the Charlson Comorbidity Index score¹⁰ (CCI) for each patient using 4 weighted scores. Diseases with 1 point each were myocardial infarction, congestive heart failure, peripheral vascular disease, dementia, cerebrovascular disease, chronic pulmonary disease, connective tissue disease, peptic ulcer disease, and mild liver disease. Diseases with 2 points were hemiplegia, moderate or severe renal disease (which all patients had by definition), DM, any kind of tumor, leukemia, and lymphoma. Moderate or severe liver disease scored 3 points, and metastatic solid tumor scored 4 points.

Statistical Analysis

Recent studies have suggested that age, time (duration of dialysis), and presence of comorbidities, particularly DM, are the factors affecting dialysis modality and mortality.^{22,23,35} The difference between the effectiveness of PD and HD treatments may decrease over time.²³ Based on the dates the patients were identified, we stratified the study subjects into 2 cohorts for the 5-year periods of 1997–2001 and 2002–2006 to observe the changes over time.²³ We also compared the mortality differences associated with demographic characteristics, CCI, and comorbidities between PD and HD patients based on intent-to-treat analysis.

The sample distributions used the chi-square test to verify the matching efficiency. The group of PD patients combined with those who switched from PD to HD was compared with the group of HD patients combined with those who switched from HD to PD. Entry age was defined as the age at the beginning of dialysis. Exit age was defined as the date of the last claim, death, loss to follow-up, or end of follow-up. Person-years of follow-up were determined by calculating the time between the date of the start of dialysis and the date of death, censor, or end of follow-up. Mortality rates were calculated and compared between PD and HD patients by demographic status, CCI, and baseline comorbidity for the 1997–2001 cohort and the 2002–2006 cohort.

We used the Cox proportional hazard method to estimate the PD-to-HD hazard ratio for mortality and the 95% confidence interval (CI), and examined the assumption using the Schoenfeld residuals test.¹⁵ Further data analysis was performed to examine the change in hazard ratios over time. The Cox proportional hazard method measured the age-specific (aged <40, 40–65, and \geq 65 yr) and CCI-specific PD-to-HD hazard ratios for mortality. The analyses accounted for time dependency, depicting the annual PD-to-HD mortality hazard ratios, from the first year to the fifth year of follow-up, by DM status controlling for sex and age (Figure 1). The Kaplan-Meier model was used to compare survival between patients on PD and those on HD by DM status (Figure 2). Additional effects of hypertension and cardiovascular disease, controlling for sex and age, were also measured



FIGURE 2. Kaplan-Meier survival curves for peritoneal dialysis (PD) and hemodialysis (HD) patients by diabetes mellitus (DM) status in the periods 1997–2001 and 2002–2006.

146 | www.md-journal.com

© 2012 Lippincott Williams & Wilkins

(Figure 3). The nearest-neighbor algorithm procedure was conducted using the STATA v. 8.1 software package (StataCorp, College Station, TX). Other analyses were conducted using the SAS v. 9.13 software package (SAS Institute Inc., Cary, NC).

Ethical Considerations

The insurance claims data were linked using scrambled patient identification to ensure confidentiality and to prevent ethical violations. The current study was exempted from full review by the institutional review board of the National Health Research Institute, Taiwan.

RESULTS

BASELINE CHARACTERISTICS OF THE STUDY POPULATION

There were no significant differences between PD and HD patients in both the 1997–2001 and the 2002–2006 cohorts for



FIGURE 3. Peritoneal dialysis-to-hemodialysis (PD-to-HD) hazard ratio for mortality by comorbidity of diabetes mellitus (DM), hypertension (HP), and cardiovascular disease (CVD) for patients in the 1997–2001 cohort and 2002–2006 cohort (*p for trend <0.05).

© 2012 Lippincott Williams & Wilkins

distributions of sex, follow-up years, and income (Table 1). The mean age of HD patients was slightly, but significantly, higher than that of PD patients in the 2002–2006 cohort. PD and HD patients were also similar in distributions of CCIs, and in most comorbidities, such as DM, hypertension, ischemic heart disease, congestive heart disease, and cancer (Table 2). However, cerebrovascular disease was more prevalent in HD patients than in PD patients in the 2002–2006 cohort, whereas congestive heart failure was more prevalent in PD patients than in HD patients in the 1997–2001 cohort.

Hazard Ratios of PD Cohort Compared to HD Cohort

The overall mortality rate was slightly, but not significantly, lower in the HD cohort than in the PD cohort (11.7 vs. 12.0 per 100 person-years, respectively). The hazard ratio of PD vs. HD was 1.02; 95% CI, 0.96–1.08 (Table 3). The multivariable Cox model-measured PD-to-HD hazard ratio declined from 1.33 (95% CI, 1.21–1.46) in the 1997–2001 cohort to 0.99 (95% CI, 0.87–1.14) in the 2002–2006 cohort. The stratified age-specific analysis shows that the PD-to-HD hazard ratio decreased with age in the 1997–2001 cohort, whereas it increased with age in the 2002–2006 cohort. The hazard ratio of PD patients in both cohorts vs. the HD patients in both cohorts increased with age from 0.85 (95% CI, 0.70–0.97) among those aged less than 40 years to 1.20 (95% CI, 1.05–1.31) among those aged 65 years and older.

There was little change in the death rate in PD patients aged less than 40 years in the different 5-year cohorts (3.7 per 100 person-years in 1997–2001 vs. 4.0 per 100 person-years in 2002–2006), whereas there was a large increase in the death rate of younger HD patients over time (3.2 per 100 person-years in 1997–2001 vs. 10.2 per 100 person-years in 2002–2006). By contrast, there was little change in the death rate in older HD patients (aged \geq 65 yr) in the different 5-year cohorts (26.7 per 100 person-years in 2002–2006), whereas there was a large increase in the death rate of older PD patients over time (21.8 per 100 person-years in 2002–2006).

The PD-to-HD hazard ratios by CCI in the 2002–2006 cohort were generally lower than those in the 1997–2001 cohort (Table 4). For elderly patients, PD patients had a higher risk of death, except those with the CCI of 2 or less in the 2002–2006 cohort.

PD-to-HD Hazard Ratios by Cohort Period and Comorbidity

In Figure 1 we compare the PD-to-HD hazard ratios for mortality of patients whose disease progressed from the first to fifth year based on the cohort period and DM status. The hazard ratios in the 1997-2001 cohort were significantly higher for patients without DM ("non-DM") in all 5 years or for DM patients in the first 3 years. The PD patients were generally no longer at higher risk of mortality compared with the HD patients in the 2002-2006 cohort. The Kaplan-Meier analysis revealed that HD patients had better survival than PD patients in the 1997-2001 period (Figure 2). The survival probabilities of PD and HD patients were similar in the 2002-2006 period. The hazard ratio for PD versus HD patients increased annually with comorbidity (Figure 3). The effect was stronger for patients simultaneously affected with DM, hypertension, and cardiovascular disease. For patients without these comorbidities, those on PD had better survival than those on HD in the 2002-2006

	1997-2001 (%)			2002–2006 (%)			Combined (%)		
	PD	HD		PD	HD		PD	HD	
Variable	(n = 2134)	(n = 2107)	(n = 2587) P* $(n = 2587)$ $(n = 2614)$ P* $(n = 4721)$	(n = 4721)	P*				
Sex, male	39.7	38.9	0.46	41.2	39.8	0.10	40.5	39.4	0.48
Age, yr									
<40	25.8	23.5	0.23	20.1	17.6	0.08	22.5	21.8	0.21
40-64	52.8	54.5		55.6	56.4		54.3	54.7	
65+	21.4	22.0		24.3	26.0		22.9	23.5	
Mean \pm SD, yr	50.9 ± 16.4	51.6 ± 15.6	0.18	53.0 ± 16.0	54.0 ± 15.2	0.03	52.3 ± 16.1	52.7 ± 15.6	0.05
Follow-up, yr (mean \pm SD)	4.2 ± 2.3	4.2 ± 2.3	0.14	3.0 ± 1.4	3.0 ± 1.4	0.20	3.5 ± 2.3	3.5 ± 2.4	0.31
Income, NTD [†]									
>28,800	33.9	32.9	0.11	32.9	31.7	0.23	33.5	32.1	0.43
19,200-28,800	40.5	41.2		42.1	43.5		41.2	42.3	
<19,200	25.6	25.9		25.0	24.8		25.3	25.6	

TABLE 1. Sociodemographic Characteristics of PD and HD Patients in 2 Different 5-Year Cohorts

†NTD = New Taiwan Dollars; 1 US dollar is about 30-34 NTD.

cohort; the beneficial effect was significant in the first year (hazard ratio, 0.62; 95% CI, 0.44-0.80).

DISCUSSION

In the current study, fewer than 10% (4721/59,452; 7.9%) of ESRD patients had been treated with PD, and approximately one-third of these patients switched to HD treatment. This study was conducted based on the number of available patients treated with PD. Thus, our study cohorts involved propensity score-matched patients treated with PD and HD and all available patients who switched treatment, with 78.0% (1278/1639)

switching from PD to HD. Although there was a higher hazard ratio for mortality for patients treated with PD compared to those treated with HD in the 1997-2001 cohort, this was not observed in the 2002–2006 cohort. In the combined group for the 10 years between 1997 and 2006, there was no difference in survival between patients treated with PD or HD. However, younger patients who received PD experienced better survival than younger patients who received HD; this was especially true among those aged younger than 40 years.

These age-specific results are consistent with results of a Dutch study²⁰ that found that PD is associated with greater survival of patients aged in their 40s and 50s, particularly those

	1997-2	001 (%)		2002-2	006 (%)		Combi		
	PD	HD		PD	HD		PD	HD	
Variable	(n = 2134)	(n = 2107)	P*	(n = 2587)	(n = 2614)	P*	(n = 4721)	(n = 4721)	P*
CCI†									
≤2	26.2	26.3	0.66	15.8	15.5	0.07	20.5	20.3	0.11
3–5	32.4	32.4		32.9	29.9		32.7	31.0	
6–9	27.1	26.4		31.7	34.9		29.6	31.1	
10+	14.3	14.9		19.6	19.8		17.2	17.6	
Diabetes	31.7	33.4	0.08	35.0	37.1	0.05	33.5	34.7	0.10
Hypertension	72.8	72.9	0.44	74.4	72.2	0.08	74.1	72.5	0.14
Cerebrovascular disease	7.2	7.5	0.50	14.7	17.2	0.01	11.3	12.9	0.14
Ischemic heart disease	13.8	12.7	0.14	23.8	24.7	0.22	19.3	19.2	0.86
Congestive heart failure	11.4	9.2	0.03	18.9	19.5	0.26	15.5	14.9	0.34
Anemia	36.6	35.0	0.14	38.1	37.3	0.23	37.5	36.3	0.45
Cancer	4.6	4.5	0.69	7.3	8.1	0.13	6.1	6.5	0.53
Stomach malignancy	0.1	0.1	0.97	0.2	0.2	0.83	0.1	0.2	0.83
Kidney malignancy	1.1	1.1	0.86	1.2	1.5	0.26	1.1	61.3	0.37
Bladder malignancy	0.5	0.7	0.54	0.7	1.0	0.13	0.6	30.8	0.25

*Chi-square test for PD and HD patients.

†Score for dialysis excluded.

148 | www.md-journal.com

© 2012 Lippincott Williams & Wilkins

TABLE 3.	Mortality Rates of	PD and HD P	atients and N	Aultivariable C	ox Model-Meas	sured PD-to-	HD Hazard	l Ratios ir	ı
2 Differen	t Cohorts								

	1997–2001						2002–20	06		Combined PD vs. HD	
	PD HD		PD vs. HD		PD	HD		PD vs. HD			
	Death Rate*	Death Rate*	Rate Ratio†	HR (95% CI)‡	P§	Death Rate*	Death Rate*	Rate Ratio†	HR (95% CI)‡ P§		HR (95% CI)‡
Sex											
Female	11.0	12.1	0.91	1.32 (1.17–1.49)	0.67	11.4	14.4	0.94	1.03 (0.88-1.21)	0.68	0.96 (0.90 to 1.03)
Male	12.9	10.9	1.18	1.35 (1.17–1.55)		12.9	14.6	0.88	0.96 (0.90-1.03)		1.06 (0.95 to 1.16)
All	11.9	10.3	1.16	1.33 (1.21–1.46)		12.5	14.5	0.86	0.99 (0.87-1.14)		1.02 (0.96 to 1.08)
Age, yr											
<40	3.7	3.2	1.16	1.21 (1.01–1.43)	0.01	4.0	10.2	0.39	0.89 (0.54-1.09)	0.01	0.85 (0.70 to 0.97)
40-64	10.6	10.1	1.05	1.18 (1.02–1.37)		9.6	11.2	0.86	0.85 (0.72-0.99)		0.94 (0.88 to 0.99)
65+	21.8	26.7	0.82	0.89 (0.99-1.20)		32.1	27.7	1.16	1.22 (1.08–1.38)		1.20 (1.05 to 1.41)
Income, NTD											
<19,200	12.9	12.2	1.06	1.08 (0.82–1.38)	0.57	13.2	12.5	1.06	1.02 (0.88-1.19)	0.21	1.09 (0.82 to 1.25)
19,200-28,800	10.8	10.0	1.08	1.10 (0.84–1.31)		11.0	13.3	0.83	1.02 (0.77-1.35)		0.98 (0.80 to 1.17)
>28,800	12.5	9.5	1.32	1.15 (0.77–1.53)		12.6	24.0	0.53	0.88 (0.53-1.22)		0.97 (0.79 to 1.10)
CCI											
≤2	6.3	4.7	1.34	1.20 (1.02–1.40)	0.36	3.2	7.8	0.41	0.57 (0.35-0.94)	0.09	1.02 (0.79 to 1.29)
3–5	9.2	7.3	1.26	1.17 (1.01–1.35)		8.1	10.9	0.74	0.96 (0.73-1.26)		1.04 (0.88 to 1.21)
6–9	16.4	15.0	1.09	1.22 (1.03–1.44)		14.5	16.7	0.87	1.00 (0.83-1.20)		1.05 (0.91 to 1.22)
≥10	27.2	23.0	1.18	1.25 (1.00-1.61)		26.9	34.1	0.79	1.19 (0.97–1.47)		1.21 (1.05 to 1.39)

Abbreviations: See previous tables. HR = hazard ratio.

*Per 100 person-years.

†PD vs. HD.

‡Level-specific hazard ratio.

§P for interaction.

in their 40s in the first 15 months of treatment. This beneficial effect begins to disappear for diabetic patients in their 60s. The results of the beneficial effect of PD in relation to the length of treatment are also consistent with the Dutch study,¹⁹ but only for nondiabetic young patients. The mortality advantage of PD treatment also appears in young patients during the 90–365 day treatment period in the Australia and New Zealand Dialysis and Transplant Registry.²² In a United States propensity-matched comparison, Weinhandl et al³⁵ found that PD improved the survival rate of patients aged <65 years, particularly those without comorbidities. The Dutch study did not use a propensity-matched

design, 19 whereas the studies from the United States and from Australia and New Zealand did. 22,35

Consistent with studies in Western populations, we found that PD is associated with lower mortality for younger patients and those with a lower number of comorbid conditions^{16,34} in the first year^{17,19,22,25,31,34} or the first 2 years^{4,14,18,28} after the start of therapy. Diabetes is a well-known comorbid condition in patients with ESRD.^{1,4,16,19,21,25,29,32,34} Cross-classifying DM and age or sex reveals that mortality risk was significantly lower in PD patients than in HD patients in younger groups without DM. The opposite effect was observed in elderly DM

		1997-2001			2002–2006				
	<40 yr	40–65 yr	≥65 yr	<40 yr	40–65 yr	≥65 yr			
CCI	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)	HR (95% CI)			
2	0.93 (0.57-1.51)	1.95 (1.41-2.71)*	1.21 (0.76–1.91)	0.96 (0.41-2.23)	0.50 (0.24-1.03)	0.48 (0.12–1.33)			
3-5	0.92 (0.56-1.51)	1.45 (1.13-1.85)*	1.36 (1.01-1.83)*	0.81 (0.57-1.85)	0.82 (0.56-1.20)	1.56 (0.98-2.49)			
6–9	0.79 (0.39-1.60)	1.18 (0.95-1.48)	1.45 (1.10-1.90)*	0.71 (0.49–1.74)	0.74 (0.55-0.99)*	1.34 (1.03–1.74)*			
10 +	0.89 (0.31-2.56)	1.22 (0.92–1.62)	1.47 (1.15–1.88)*	1.30 (0.37–2.51)	0.94 (0.65–1.35)	1.36 (1.05–1.75)*			

TABLE 4. Multivariable Cox Model-Measured PD-to-HD Hazard Ratios by CCI and Age in 2 Different Cohorts

Abbreviations: See previous tables.

Note: All groups are adjusted for sex, age, year, and income.

*P < 0.05.

© 2012 Lippincott Williams & Wilkins

www.md-journal.com | 149

patients. However, previous registry studies in the Netherlands and Canada reported no significant difference in survival rate between patients undergoing PD and HD among older diabetic patients.^{14,28} The Canadian study comprised 25% DM patients with 10 years of follow-up, whereas the Netherlands study had 19% DM patients also with 10 years of follow-up. In the current study we followed patients for 1–10 years, and nearly 35% of dialysis patients were diabetic at baseline. This step allowed for more reliable statistical power to compare the mortality risk between PD and HD treatment in elderly patients with DM. Diabetes was also prevalent in dialysis patients in the United States registry studies (36%–39%).^{32,34} The PD patients in the United States studies had higher mortality than HD patients in the older group, similar to our observations.

We further compared the results of the Cox model propensity score-matched and -unmatched analyses and found no significant difference in the overall PD-to-HD mortality (hazard ratio, 1.02 and 0.98, respectively) (data not shown for unmatched design). In the matched design, the treatment effectiveness differed among patient subgroups stratified by age, CCI, and DM status. However, the unmatched model yielded a higher PD-to-HD mortality risk than the matched model among older patient subgroups, and among those with DM and other comorbidities. The possible reason for this finding is the disparity in inclination toward treatment modality among patient subgroups. Patients with older age or more comorbidities tend to choose HD as their initial dialysis modality, resulting in an associated greater mortality risk. This finding is consistent with that of other studies.²⁸

In the current study, the discrepancy between PD and HD treatments progressively decreased over time in the second 5-year cohort. The Kaplan-Meier model compared the survival trends of PD and HD patients, indicating that the survival differences for elderly patients or patients with DM also progressively became attenuated in the 2002-2006 period compared with the 1997-2001 period. This change can be observed in the mortality by CCI. The mortality rates in PD patients changed little in the 2002-2006 cohort compared with those in the 1997-2001 cohort. The corresponding mortality in HD patients increased in the 2002-2006 cohort. The discrepancy was greater in patients with low CCIs. Further analysis shows the difference was mainly contributed by the younger patients, indicating improvement in relative risk. These results demonstrate a trend similar to the findings in the study reported by Mehrotra et al.²³ Patients treated initially with HD or PD may have similar outcomes over time.

The strength of the present study is the use of 2 sets of 5-year cohorts obtained from representative population-based data on patients undergoing dialysis to observe the mortality trend over the follow-up period. The PD and HD cohorts were homogenous in sociodemographic characteristics and comorbid medical conditions at the baseline because of the propensity score-matched design, which reduces the selection bias of samples and potential confounding effect.^{3,9} This observation suggests that the use of the design strengthens the validity of PD-to-HD hazard measures. To the best of our knowledge, the present study is the only cohort study in an Asian population with a high prevalence of ESRD using a propensity score-matched design to compare survival between PD and HD patients in 2 consecutive 5-year cohorts.

Limitations

The current study has a few limitations. First, we used a retrospective study to ascertain information on causes and effects from existing claims data. Information on clinical tests, anthropometric and laboratory examinations, and health behavior of patients, to name a few things, was not available in the claims data. Thus, we were unable to use laboratory markers, such as hemoglobin or albumin levels, to predict mortality. However, the existing claims data enabled us to perform a natural history study on other comorbidities that may be associated with the effectiveness of PD and HD.

As a second limitation, we were unable to link some nonhospital deaths, thus we had to define the date of cancellation of health insurance as the date of death. Our small subset of data linking the real death registration shows that, on average, most cancellation dates were within 1 week of the real death registration for dialysis patients. Third, patients who had switched between PD and HD in the present study were more likely PD patients than HD patients. Therefore, the outcome may have underestimated the benefit of PD.³⁰ Finally, the HD patients selected in this study were those who matched with the propensity score of PD patients. The selected sample may differ from the general population of HD patients in some characteristics. Nevertheless, we selected PD patients and HD patients with similar characteristics to avoid selection bias.

Conclusion

ESRD patients treated with PD had greater overall risk of death than patients treated with HD in the first 5-year period of the current study. No difference between PD and HD was observed in the second 5-year period of this study or in the 10-year combined period. Younger ESRD patients with low CCI or without DM benefited most from PD treatment. However, this beneficial effect may last for only a limited period. In summary, in the current study in an Asian population, no significant survival differences were noted between propensity score-matched PD and HD patients. The selection of a dialysis modality must be tailored to the individual patient. Studies in which patients who are appropriate for either modality are randomly assigned to HD or PD may provide helpful information to clinicians and patients.

REFERENCES

- Antolin A, Miguel A, Perez J, Gomez C, Zurriaga O, Blasco MJ, Garcia R. Analysis of survival in dialysis: hemodialysis versus peritoneal dialysis and the significance of comorbidity. *Nefrologia*. 2002;22:253–261.
- Ash AS, Shwartz M. Evaluating the performance of risk-adjustment methods: dichotomous outcomes. In: Iezzoni LI, ed. *Risk Adjustment for Measuring Health Care Outcomes*. 2nd ed, Chicago: Health Administration Press; 1997:471–516.
- Brookhart MA, Schneeweiss S, Rothman KJ, Glynn RJ, Avorn J, Sturmer T. Variable selection for propensity score models. *Am J Epidemiol.* 2006;163:1149–1156.
- Collins AJ, Hao W, Xia H, Ebben JP, Everson SE, Constantini EG, Ma JZ. Mortality risks of peritoneal dialysis and hemodialysis. *Am J Kidney Dis.* 1999;34:1065–1074.
- 5. Collins AJ, Kasiske B, Herzog C, Chen SC, Everson S, Constantini E, Grimm R, McBean M, Xue J, Chavers B, Matas A, Manning W, Louis T, Pan W, Liu J, Li S, Roberts T, Dalleska F, Snyder J, Ebben J, Frazier E, Sheets D, Johnson R, Li S, Dunning S, Berrini D, Guo H, Solid C, Arko C, Daniels F, Wang X, Forrest B, Gilbertson D, St Peter W, Frederick P, Eggers P, Agodoa L. USRDS 2006 Annual Data Report: Atlas of End-Stage Renal Disease in the United States. Bethesda, MD: National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases; 2006;12:e230.
- Collins AJ, Kasiske B, Herzog C, Chen SC, Everson S, Constantini E, Grimm R, McBean M, Xue J, Chavers B, Matas A, Manning W,

150 | www.md-journal.com

Louis T, Pan W, Liu J, Li S, Roberts T, Dalleska F, Snyder J, Ebben J, Frazier E, Sheets D, Johnson R, Li S, Dunning S, Berrini D, Guo H, Solid C, Arko C, Daniels F, Wang X, Forrest B, Gilbertson D, St Peter W, Frederick P, Eggers P, Agodoa L. USRDS 2004 Annual Data Report: Atlas of End-Stage Renal Disease in the United States. Bethesda, MD: National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases; 2004.

- Collins AJ, Kasiske B, Herzog C, Chen SC, Everson S, Constantini E, Grimm R, McBean M, Xue J, Chavers B, Matas A, Manning W, Louis T, Pan W, Liu J, Li S, Roberts T, Dalleska F, Snyder J, Ebben J, Frazier E, Sheets D, Johnson R, Li S, Dunning S, Berrini D, Guo H, Solid C, Arko C, Daniels F, Wang X, Forrest B, Gilbertson D, St Peter W, Frederick P, Eggers P, Agodoa L. USRDS 2008 Annual Data Report: Atlas of End-Stage Renal Disease in the United States. Bethesda, MD: National Institutes of Health, National Institute of Diabetes and Digestive and Kidney Diseases; 2008;12:e193.
- D'Agostino RB Jr. Propensity score methods for bias reduction in the comparison of a treatment to a non-randomized control group. *Stat Med.* 1998;17:2265–2281.
- Foley RN. Comparing the incomparable: hemodialysis versus peritoneal dialysis in observational studies. *Perit Dial Int.* 2004;24:217–221.
- Fried L, Bernardini J, Piraino B. Charlson comorbidity index as a predictor of outcomes in incident peritoneal dialysis patients. *Am J Kidney Dis.* 2001;37:337–342.
- Ganesh SK, Hulbert-Shearon T, Port FK, Eagle K, Stack AG. Mortality differences by dialysis modality among incident ESRD patients with and without coronary artery disease. *J Am Soc Nephrol.* 2003;14:415–424.
- Gokal R, Figueras M, Olle A, Rovira J, Badia X. Outcomes in peritoneal dialysis and haemodialysis—a comparative assessment of survival and quality of life. *Nephrol Dial Transplant*. 1999;14(Suppl 6):24–30.
- Grassmann A, Gioberge S, Moeller S, Brown G. ESRD patients in 2004: global overview of patient numbers, treatment modalities and associated trends. *Nephrol Dial Transplant*. 2005;20:2587–2593.
- Heaf JG, Lokkegaard H, Madsen M. Initial survival advantage of peritoneal dialysis relative to haemodialysis. *Nephrol Dial Transplant*. 2002;17:112–117.
- Hosmer DW Jr, Lemeshow S, May S. Applied Survival Analysis: Regression Modeling of Time to Event Data. 2nd ed. New York: John Wiley; 2008:196–216.
- Huang CC, Cheng KF, Wu HD. Survival analysis: comparing peritoneal dialysis and hemodialysis in Taiwan. *Perit Dial Int.* 2008;28(Suppl 3):S15–S20.
- Jaar BG, Coresh J, Plantinga LC, Fink NE, Klag MJ, Levey AS, Levin NW, Sadler JH, Kliger A, Powe NR. Comparing the risk for death with peritoneal dialysis and hemodialysis in a national cohort of patients with chronic kidney disease. *Ann Intern Med.* 2005;143:174–183.
- Keshaviah P, Collins AJ, Ma JZ, Churchill DN, Thorpe KE. Survival comparison between hemodialysis and peritoneal dialysis based on matched doses of delivered therapy. *J Am Soc Nephrol.* 2002;13(Suppl 1):S48–S52.
- Liem YS, Wong JB, Hunink MG, de Charro FT, Winkelmayer WC. Comparison of hemodialysis and peritoneal dialysis survival in The Netherlands. *Kidney Int.* 2007;71:153–158.

- Liem YS, Wong JB, Hunink MM, de Charro FT, Winkelmayer WC. Propensity scores in the presence of effect modification: a case study using the comparison of mortality on hemodialysis versus peritoneal dialysis. *Emerg Themes Epidemiol.* 2001;7:e1.
- Maiorca R, Cancarini GC, Brunori G, Zubani R, Camerini C, Manili L, Movilli E. Comparison of long-term survival between hemodialysis and peritoneal dialysis. *Adv Perit Dial*. 1996;12:79–88.
- McDonald SP, Marshall MR, Johnson DW, Polkinghorne KR. Relationship between dialysis modality and mortality. *J Am Soc Nephrol*. 2009;20:155–163.
- Mehrotra R, Chiu YW, Kalantar-Zadeh K, Bargman J, Vonesh E. Similar outcomes with hemodialysis and peritoneal dialysis in patients with end-stage renal disease. *Arch Intern Med.* 2011;171:110–118.
- 24. Muller-Krebs S, Kihm LP, Zeier B, Gross ML, Deppisch R, Wieslander A, Henle T, Penndorf I, Oh J, Reiser J, Nawroth PP, Zeier M, Schwenger V. Renal toxicity mediated by glucose degradation products in a rat model of advanced renal failure. *Eur J Clin Invest.* 2008;38:296–305.
- Murphy SW, Foley RN, Barrett BJ, Kent GM, Morgan J, Barre P, Campbell P, Fine A, Goldstein MB, Handa SP, Jindal KK, Levin A, Mandin H, Muirhead N, Richardson RM, Parfrey PS. Comparative mortality of hemodialysis and peritoneal dialysis in Canada. *Kidney Int.* 2000;57:1720–1726.
- Rennke HG, Denker BM. *Renal Pathophysiology: The Essentials*. 2nd ed. Philadelphia: Lippincott Williams & Wilkins; 2007:e365.
- Rubin DB. Estimating causal effects from large data sets using propensity scores. Ann Intern Med. 1997;127(8 Pt 2):757–763.
- Schaubel DE, Morrison HI, Fenton SS. Comparing mortality rates on CAPD/CCPD and hemodialysis. The Canadian experience: fact or fiction? *Perit Dial Int.* 1998;18:478–484.
- Selgas R, Cirugeda A, Fernandez-Perpen A, Sanchez-Tomero JA, Barril G, Alvarez V, Bajo MA. Comparisons of hemodialysis and CAPD in patients over 65 years of age: a meta-analysis. *Int Urol Nephrol.* 2001;33:259–264.
- Shih YC, Guo A, Just PM, Mujais S. Impact of initial dialysis modality and modality switches on Medicare expenditures of end-stage renal disease patients. *Kidney Int.* 2005;68:319–329.
- Song YS, Jung H, Shim J, Oh C, Shin GT, Kim H. Survival analysis of Korean end-stage renal disease patients according to renal replacement therapy in a single center. *J Korean Med Sci.* 2007;22:81–88.
- 32. Stack AG, Molony DA, Rahman NS, Dosekun A, Murthy B. Impact of dialysis modality on survival of new ESRD patients with congestive heart failure in the United States. *Kidney Int.* 2003;64:1071–1079.
- Stack AG, Murthy BV, Molony DA. Survival differences between peritoneal dialysis and hemodialysis among "large" ESRD patients in the United States. *Kidney Int*. 2004;65:2398–2408.
- Vonesh EF, Snyder JJ, Foley RN, Collins AJ. The differential impact of risk factors on mortality in hemodialysis and peritoneal dialysis. *Kidney Int.* 2004;66:2389–2401.
- Weinhandl ED, Foley RN, Gilbertson DT, Arneson TJ, Snyder JJ, Collins AJ. Propensity-matched mortality comparison of incident hemodialysis and peritoneal dialysis patients. *J Am Soc Nephrol.* 2010;21:499–506.

© 2012 Lippincott Williams & Wilkins