

Elsevier Editorial System(tm) for Food and Chemical Toxicology  
Manuscript Draft

Manuscript Number: FCT-D-11-02062R1

Title: In vitro and in vivo activity of gallic acid and Toona sinensis leaf extracts against HL-60 human premyelocytic leukemia

Article Type: Full Length Article

Keywords: Toona sinensis; Gallic acid; HL-60 cells; Cell-cycle arrest; Xenografted nude mice

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Corresponding Author's Institution: China Medical University

First Author: Pei-Jane Huang, Ph.D.

Order of Authors: Pei-Jane Huang, Ph.D.; You-Cheng Hseu, Ph.D.; Meng-Shiou Lee, Ph.D.; K.J. Senthil Kumar, Ph.D.; Chi-Rei Wu, Ph.D.; Li-Sung Hsu, Ph.D.; Jiunn-Wang Liao, Ph.D.; I-Shiung Cheng, Ph.D.; Ya-Ting Kuo, Ph.D.; Shi-Ying Huang; Hsin-Ling Yang, PhD

Abstract: Toona sinensis is one of the most popular vegetarian cuisines in Taiwan and it has been shown to induce apoptosis in cultured human premyelocytic leukemia (HL-60) cells. In the present study, we examined the effects of Toona sinensis leaf extracts (TS extracts) on tumor regression using in vitro cell culture and an in vivo athymic nude mice model. We found that TS extracts (10-75 ug/mL) arrested HL-60 cells at the G1-S transition phase through the reductions of Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A, and induction of CDK inhibitor p27KIP levels. Furthermore, VEGF expression and release was significantly inhibited by TS extracts. Notably, TS extracts treatment was effective in terms of delaying tumor incidence in the nude mice inoculated with HL-60 cells as well as reducing the tumor burden. Histological analysis confirmed that TS extracts significantly modulated tumor progression in xenograft tumor. Furthermore, a similar pattern of results were observed from gallic acid (5 and 10 ug/mL), a major compound in TS, caused G1 arrest through regulations of cell-cycle regulatory proteins. Our data suggest that Toona sinensis exerts antiproliferative effects on HL-60 cells in vitro and in vivo due mainly to the presence of gallic acid.

Response to Reviewers: Dear Editor-in-Chief,

Enclosed please find the revised version of our manuscript, entitled "In vitro and in vivo activity of gallic acid and Toona sinensis leaf extracts against HL-60 human premyelocytic leukemia" (Ms. ID. FCT-D-11-02062R1) by Pei-Jane Huang, You-Cheng Hseu, Meng-Shiou Lee, Chi-Rei Wu, K.J. Senthil Kumar, Ssu-Ching Chen, Li-Sung Hsu, Jiunn-Wang Liao, I-Shiung Cheng, Ya-Ting Kuo, Chih-Wei Chou, Hsin-Ling Yang which has been recently reviewed by your editorial board. An itemized list of changes made and our response to the reviewer's comments is also enclosed.

Again we thank the valuable comments from the referees, the main suggestions and comments are list and response as following. Kindly referred the yellow highlights in the manuscript are modified sentence or word.

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Major Comments:

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Although, the anticancer activity of gallic acid a major compound of TS have been demonstrated in various cancer types such as human prostate, oral and leukemic cancer (Yang et al., 2006; Chen et al., 2009; Chia et al., 2010). However, its molecular mechanism underlying the cytotoxic effect towards human premyelocytic leukemia has poorly understood. Therefore, the present study was designed to address the anti-cancer potential of gallic acid a major compound of TS.

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Comment: 2

The authors used 10-75  $\mu\text{g}/\text{mL}$  TS extracts and 5-10  $\mu\text{g}/\text{mL}$  gallic acid in HL60 in vitro experiments. Is there any relation between the concentrations of TS extract and gallic acid concentrations? If there is no relation between the TS extracts and gallic acid concentrations which were used in in vitro experiments the whole experiments were waste of time and energy.

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Comment: 3

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## Reply to the comments by Referees

March 23, 2012

(Ms. ID. FCT-D-11-02062R1)

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中國醫藥大學  
CHINA MEDICAL UNIVERSITY

91 Hsueh Shih Road

TAICHUNG, TAIWAN, R.O.C

*Food and Chemical Toxicology*

*Dec 04, 2011*

Dear editor:

Enclosed is of “**Aqueous leaf extracts of *Toona sinensis* inhibit proliferation of human premyelocytic leukemia HL-60 cells *in vitro* and *in vivo***” for considered to be published in the “**Food and Chemical Toxicology**”.

*Toona sinensis* (A. Juss.) M. Roem popularly known as Chinese mahogany or Chinese toon, a member of Meliaceae family, and is a type of deciduous perennial tree widely distributed in Asia. In Chinese and Taiwanese culture it is a very popular vegetarian cuisine. It has long been used as a traditional Chinese medicine for a wide variety of conditions. The edible leaves used as an oriental medicine for treating rheumatoid arthritis, cervicitis, urethritis, tympanitis, gastric ulcers, enteritis, dysentery, itchiness, and cancer. While the underlying pharmacological mechanisms of this new drug are still a matter of debate, previous scientific literatures have been reported that *T. sinensis* possessed variety of biological activities including anti-cancer, anti-angiogenesis, anti-inflammation, anti-diabetes, and antioxidant effects, as well as inhibiting Leydig cell steroidogenesis and improving the dynamic activity of human sperm quality.

Leukemia is one of the most threatening diseases today. Given that most adult leukemia patients are not candidates for transplantation, and that a more rational therapy is not adequately defined, they are typically treated with regimens that are based on (or at least include) chemotherapy. In our previous study, we demonstrated that the aqueous leaf extracts of *T. sinensis* (TS extracts) and gallic acid (3,4,5-trihydroxybenoic acid), a purified natural phenolic component, exhibited apoptosis against human premyelocytic leukemia (HL-60) cells. However, the effect of TS extracts against tumour cell-cycle regulation was poorly understood. Therefore, the present study aimed to investigate the anticancer effect of TS extracts and gallic acid in terms of tumor regression using *in vitro* cell culture model

(HL-60 cells) or *in vivo* athymic nude mice model of leukemia cancer. Furthermore, to establish the mechanism(s) underlying the *T. sinensis* anticancer properties, the levels of cell cycle control and the related molecules were assayed.

**ABSTRACT:** “*Toona sinensis* is one of the most popular vegetarian cuisines in Taiwan and it has been shown to induce apoptosis in cultured human premyelocytic leukemia (HL-60) cells. In the present study, we examined the effects of *Toona sinensis* leaf extracts (TS extracts) on tumor regression using *in vitro* cell culture and an *in vivo* athymic nude mice model. We found that TS extracts (10-75 µg/mL) arrested HL-60 cells at the G<sub>1</sub>-S transition phase through the reductions of Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A, and induction of CDK inhibitor p27<sup>KIP</sup> levels. Furthermore, VEGF expression and release was significantly inhibited by TS extracts. Notably, TS extracts treatment was effective in terms of delaying tumor incidence in the nude mice inoculated with HL-60 cells as well as reducing the tumor burden. Histological analysis confirmed that TS extracts significantly modulated tumor progression in xenograft tumor. Furthermore, a similar pattern of results were observed from gallic acid (5 and 10 µg/mL), a major compound in TS, caused G<sub>1</sub> arrest through regulations of cell-cycle regulatory proteins. Our data suggest that *Toona sinensis* exerts antiproliferative effects on HL-60 cells *in vitro* and *in vivo* due mainly to the presence of gallic acid. ”

The study was performed according to the international, national and institutional rules regarding animal experiments, clinical studies and biodiversity rights and includes a clear explanation of the pharmaceutical importance of the study. The manuscript and its contents have not been published previously and are not under consideration for publication in another journal. We believe the paper may be of particular interest to your readers. Correspondence regarding the paper should be directed to me at the following address:

Prof. Hsin-Ling Yang  
Institute of Nutrition,  
China Medical University,  
Huseh-Shih Road 91,  
Taichung 40402, Taiwan.  
Email: hlyang@mail.cmu.edu.tw  
Tel: 886-4-22053366 ext 7503  
Fax: 886-4-22078083

Thank you for your kind consideration and help. We look forward to hearing from you soon.

Sincerely yours,

Hsin-Ling Yang

Food and Chemical Toxicology  
Conflict of Interest Policy

Supplement:  
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Author name: Pei-Jane Huang, You-Cheng Hseu, Meng-Shiou Lee, K.J. Senthil Kumar, Chi-Rei Wu, Li-Sung Hsu, Jiunn-Wang Liao, I-Shiung Cheng, Ya-Ting Kuo, Shi-Ying Huang, Hsin-Ling Yang

Declarations

*Food and Chemical Toxicology* requires that all authors sign a declaration of conflicting interests. If you have nothing to declare in any of these categories then this should be stated.

Conflict of Interest

A conflicting interest exists when professional judgement concerning a primary interest (such as patient's welfare or the validity of research) may be influenced by a secondary interest (such as financial gain or personal rivalry). It may arise for the authors when they have financial interest that may influence their interpretation of their results or those of others. Examples of potential conflicts of interest include employment, consultancies, stock ownership, honoraria, paid expert testimony, patent applications/registrations, and grants or other funding.

Please state any competing interests

NONE

Funding Source

All sources of funding should also be acknowledged and you should declare any involvement of study sponsors in the study design; collection, analysis and interpretation of data; the writing of the manuscript; the decision to submit the manuscript for publication. If the study sponsors had no such involvement, this should be stated.

Please state any sources of funding for your research

NONE

Signature (a scanned signature is acceptable, but each author must sign)

Print name

<u>Pei-Jane Huang</u>	<u>Pei-Jane Huang</u>
<u>You-cheng Hseu</u>	<u>You-Cheng Hseu</u>
<u>Meng-Shiou Lee</u>	<u>Meng-Shiou Lee</u>
<u>K.J. Senthil Kumar</u>	<u>K.J. Senthil Kumar</u>
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**Research Article**

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<sup>a</sup>*Department of Health and Nutrition Biotechnology, Asia University, Taichung 41354, Taiwan*

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## Highlights

1. *Toona sinensis* leaf extracts (TS extracts) arrested HL-60 cell growth at G<sub>1</sub>-S transition phase.
2. TS extracts induced cell-cycle arrest was mediated by ROS generation in HL-60 cells.
3. TS extracts inhibited VEGF expression and release in HL-60 cells.
4. TS extracts delayed tumor progression in HL-60 xenograft nude mice.

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**Research Article**

***In vitro* and *in vivo* activity of gallic acid and *Toona sinensis* leaf extracts against HL-60 human premyelocytic leukemia**

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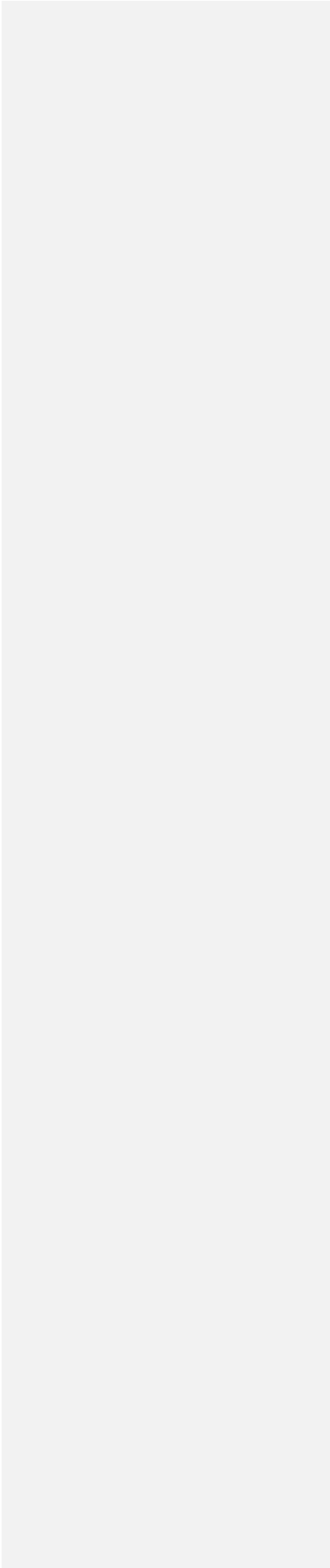
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<sup>1</sup> Both authors contributed equally.



1  
2  
3 **ABSTRACT**

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5 *Toona sinensis* is one of the most popular vegetarian cuisines in Taiwan and it has been  
6  
7 shown to induce apoptosis in cultured human premyelocytic leukemia (HL-60) cells. In the  
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9 present study, we examined the effects of *Toona sinensis* leaf extracts (TS extracts) on  
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11 tumor regression using *in vitro* cell culture and an *in vivo* athymic nude mice model. We  
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13 found that TS extracts (10-75 µg/mL) arrested HL-60 cells at the G<sub>1</sub>-S transition phase  
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15 through the reductions of Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A, and induction  
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17 of CDK inhibitor p27<sup>KIP</sup> levels. Furthermore, VEGF expression and release was  
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19 significantly inhibited by TS extracts. Notably, TS extracts treatment was effective in  
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21 terms of delaying tumor incidence in the nude mice inoculated with HL-60 cells as well as  
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23 reducing the tumor burden. Histological analysis confirmed that TS extracts significantly  
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25 modulated tumor progression in xenograft tumor. Furthermore, a similar pattern of results  
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27 were observed from gallic acid (5 and 10 µg/mL), a major compound in TS, caused G<sub>1</sub>  
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29 arrest through regulations of cell-cycle regulatory proteins. Our data suggest that *Toona*  
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31 *sinensis* exerts antiproliferative effects on HL-60 cells *in vitro* and *in vivo* due mainly to  
32  
33 the presence of gallic acid.

34  
35 *Keywords:*

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37 *Toona sinensis*

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39 Gallic acid

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41 HL-60 cells

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43 Cell-cycle arrest

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45 Xenografted nude mice  
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## 1. Introduction

*Toona sinensis* (A. Juss.) M. Roem popularly known as Chinese mahogany or Chinese toon, a member of Meliaceae family, and is a type of deciduous perennial tree widely distributed in Asia. In Chinese and Taiwanese culture it is one of the popular vegetarian cuisine. It has long been used as a traditional Chinese medicine for a wide variety of conditions. The edible leaves used as an oriental medicine for treating rheumatoid arthritis, cervicitis, urethritis, tympanitis, gastric ulcers, enteritis, dysentery, itchiness, and cancer (Edmonds and Staniforth, 1998; Hseu et al., 2011a). While the underlying pharmacological mechanisms of this new drug are still a matter of debate, previous scientific literatures have been reported that *T. sinensis* possessed variety of biological activities including anti-cancer (Chang et al., 2002; Chang et al., 2006; Yang et al., 2006a; Chen et al., 2009; Wang et al., 2010; Yang et al., 2010a; Yang et al., 2010b; Chia et al., 2010), anti-angiogenesis (Hseu et al., 2011a) anti-inflammation (Bak et al., 2009), anti-diabetes (Hsu et al., 2003; Yang et al., 2003), and antioxidant (Cho et al., 2003; Hseu et al., 2008a; Jiang et al., 2009) effects, as well as inhibiting Leydig cell steroidogenesis and improving the dynamic activity of human sperm quality (Poon et al., 2005). Moreover, the safety levels and nontoxic characteristics of aqueous extracts of *T. sinensis* were evaluated using acute and sub-acute toxicity studies in mice (Liao et al., 2006).

Gallic acid (GA), a major phenolic compound that rich in TSL has a wide spectrum of biological and pharmacological effects. Various animal models or human studies proved that GA is extremely safe even at using high doses. Also, a few studies addressing the bioavailability of GA in human revealed that this compound is extremely well observed when compared to other polyphenols (Manach et al., 2005). When GA was given orally at a dose of 0.3 mmol in Assam black tea (contained >93% of free form GA) to human, a maximum serum concentration of 2.08 µM was observed in plasma, whereas 39.6% of the

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3 GA dose were extracted in urine as a GA or GA metabolites (Shahzad et al., 2001). The  
4 pharmacological safety and efficacy of GA makes it a potential compound for treatment or  
5 prevention of a wide variety of human diseases.  
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9 Chemoprevention, which refers to the administration of natural or synthetic agents to  
10 prevent initiation and promotion events associated with carcinogenesis, is being  
11 increasingly appreciated as an effective approach for the management of neoplasia  
12 (Ahmad et al., 2001). Many studies have shown a clear link between abnormal cell-cycle  
13 regulation and apoptosis with cancer, as much as the cell-cycle inhibitors and apoptosis-  
14 inducing agents are being appreciated as armaments for the management of cancer  
15 (Stewart et al., 2003; Schmitt, 2003; Hsu et al., 2003). Eukaryotic cell-cycle progression  
16 involves a sequential activation of cyclin-dependent kinases (CDKs) whose activation is  
17 dependent upon their association with cyclins (Youn et al., 2008). Progression through the  
18 mammalian mitotic cycle is controlled by multiple holoenzymes comprising a catalytic  
19 CDK and a cyclin regulatory subunit (Takahashi et al., 1999; Hseu et al., 2008b). These  
20 cyclin-CDK complexes are activated at specific intervals during the cell-cycle but can also  
21 be induced and regulated by exogenous factors. Cell-cycle progression is also regulated by  
22 the relative balance between the cellular concentrations of cyclins/CDKs and CDKs  
23 inhibitors, including p27<sup>KIP</sup> (Hseu et al., 2008b; Kim et al., 2006). The cyclin-CDK  
24 complexes are subjected to inhibition *via* binding with CDK inhibitors (Kim et al., 2006).  
25 Recently, the relationship between cell-cycle arrest and cancer has been emphasized, with  
26 increasing evidence suggesting that the related processes of neoplastic transformation,  
27 progression and metastasis involve alteration of the normal cell-cycle regulation. Thus,  
28 anticancer (chemopreventive) agents may alter regulation of the cell-cycle machinery,  
29 resulting in an arrest of cells in different phases of the cell-cycle and, thereby, reducing  
30 growth and proliferation of cancerous cells, which may be useful in cancer therapy.  
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3 Leukemia is one of the most threatening diseases today. Given that most adult leukemia  
4 patients are not candidates for transplantation, and that a more rational therapy is not  
5 adequately defined, they are typically treated with regimens that are based on (or at least  
6 include) chemotherapy (Yang et al., 2006a). In our previous study, we demonstrated that  
7 aqueous leaf extracts of *T. sinensis* (TS extracts, 10-75 µg/mL) and gallic acid (3,4,5-  
8 trihydroxybenzoic acid, 5-10 µg/mL), a purified natural phenolic component, exhibited  
9 apoptosis against human premyelocytic leukemia (HL-60) cells (Yang et al., 2006a).  
10 Notably, the significant inhibitory effects of tumor cell proliferation were observed only in  
11 leukemia HL-60 cells, whereas not in erythrocytes and human lymphocytes (Yang et al.,  
12 2006a). However, the effect of TS extracts against tumour cell-cycle regulation was poorly  
13 understood. Therefore, the present study aimed to investigate the anticancer effect of TS  
14 extracts and gallic acid in terms of tumor regression using *in vitro* cell culture model (HL-  
15 60 cells) or *in vivo* athymic nude mice model of leukemia cancer.  
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## 31 **2. Materials and Methods**

### 32 *2.1. Chemicals*

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35 RPMI-1640 medium (Gibco BRL, Grand Island, NY), antibody against cyclin E, CDK2,  
36 cyclin B1, CDC2, caspase-8, Fas, FasL, VEGF, and β-actin (Santa Cruz Biotechnology  
37 Inc., Heidelberg, Germany) and antibody against cyclin D1, CDK4, cyclin A, p27<sup>KIP</sup>, p15,  
38 caspase-9, and Bid (Cell Signaling Technology Inc., Danvers, MA) were obtained from  
39 their respective suppliers. All other chemicals were of the reagent or HPLC grade supplied  
40 either by Merck (Darmstadt, Germany) or Sigma (St. Louis, MO).  
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### 49 *2.2. Preparation of TS extracts*

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51 Leaves of *T. sinensis* were sourced from Fooyin University, Kaohsiung, Taiwan. A  
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3 voucher specimen was characterized by Prof. Horng-Liang Lay, Graduate Institute of  
4 Biotechnology, National Pingtung University of Science and Technology, Pingtung  
5 County, Taiwan, and deposited at Fooyin University, Kaohsiung, Taiwan. The aqueous  
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7 leaf extracts of *T. sinensis* (TS extracts) were prepared by adding 1000 mL of water to  
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9 1000 g of fresh *T. sinensis* leaves and boiled until 100 mL remained, as previously  
10 described (Chang et al., 2002; Hseu et al., 2008a). The crude extracts were centrifuged at  
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12 3000 × g for 12 min and the supernatant was used for this study. The crude extracts (50 g)  
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14 were concentrated in a vacuum and freeze dried to form powder, with the stock  
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16 subsequently stored at -20°C for further analysis of its anticancer properties. The yield of  
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18 TS extracts was 6%. The total phenolic content of the TS extracts was estimated to be 130  
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20 ± 26 mg gallic acid (pyrocatechol) equivalents/g of plant extracts as described previously  
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22 (Yang et al., 2006a).  
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### 29 2.3. Isolation of gallic acid from TS extracts 30

31 TS extracts were dissolved in a mobile phase consisting of methanol-water (50:50, v/v)  
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33 before high performance liquid chromatography (HPLC) analysis and separation.  
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35 Chromatographic separation was achieved with a mobile phase consisting of methanol-  
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37 water (50:50, v/v) in the first 15 min, gradually increasing the methanol to 100% in the  
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39 next 10 min. A flow rate of 4.0 mL/min at room temperature was used. Eight compounds  
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41 (gallic acid, methyl gallate, ethyl gallate, kaempferol, kaempferol-3-*O*-β-D-glucoside,  
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43 quercetin, quercitrin, quercetin-3-*O*-β-D-glucoside, and rutin) were isolated from the TS  
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45 extracts. The identification of the compounds was fully characterized by comparison of  
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47 their spectral data (IR, NMR, and mass) with the analogous information reported in the  
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49 literature (Yang et al., 2006a; Hsu et al., 2003). Gallic acid, a natural phenolic component  
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51 purified from TS extracts was subjected in this study at a yield of 6% (Yang et al., 2006a).  
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5 *2.4. Cell culture*

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7 Human acute promyeloblastic leukemia (HL-60) cell line was obtained from the  
8 American Type Culture Collection (ATCC, Rockville, MD). These cells were grown in  
9 RPMI-1640 supplemented with 10% heat-inactivated FBS, 2 mM glutamine, and 1%  
10 penicillin/streptomycin/neomycin in a 5% CO<sub>2</sub> humidified incubator at 37 °C. Cultures  
11 were harvested and cell numbers were counted by hemocytometer.  
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19 *2.5. Flow cytometry analysis*

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21 Cellular DNA content was determined by flow cytometric analysis of propidium iodide  
22 (PI)-labeled cells as described previously (Hseu et al., 2007). In brief, HL-60 cells ( $2 \times 10^5$   
23 cells/mL) were cultured in 6 cm culture dishes. After treatment with TS extracts or gallic  
24 acid, cells were harvested, washed and suspended in PBS and fixed in ice-cold 70%  
25 ethanol at -20 °C for overnight. After incubation, cells were re-suspended in PBS  
26 containing 1% Triton X-100, 0.5 mg/mL RNase, and 4 µg/mL PI at 37 °C for 30 min. A  
27 FACSCalibur flow cytometer (Becton Dickinson, San Jose, CA) equipped with a single  
28 argon-ion laser (488 nm) was used for flow cytometric analysis. Forward and right-angle  
29 light scatter, which are correlated with the size of the cell and the cytoplasmic complexity,  
30 respectively, were used to establish size gates and exclude cellular debris from the analysis.  
31 DNA content of  $1 \times 10^4$  cells per analysis was monitored using the FACSCalibur system.  
32 The cell-cycle was determined and analyzed using ModFit software (Verity Software  
33 House, Topsham, ME). Apoptotic nuclei were identified as a subploid DNA peak, and  
34 were distinguished from cell debris on the basis of forward light scatter and PI  
35 fluorescence.  
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3 *2.6. Protein isolation and immunoblot analysis*

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5 HL-60 cells ( $2 \times 10^6$  cells/ 10 cm dish) were washed once in cold PBS, and suspended  
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7 in 100  $\mu$ L lysis buffer (10 mM Tris-HCl [pH 8], 0.32 M sucrose, 1% Triton X-100, 5 mM  
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9 EDTA, 2 mM DTT, and 1 mM phenylmethyl sulfonyl fluoride). The suspension was vortex  
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11 and kept on ice for 20 min and then centrifuged at  $15000 \times g$  for 20 min at 4 °C. Total  
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13 protein content was determined using Bio-Rad protein assay reagent, with bovine serum  
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15 albumin (BSA) as the standard; protein extracts were reconstituted in sample buffer (0.062  
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17 M Tris-HCl, 2% SDS, 10% glycerol, and 5%  $\beta$ -mercaptoethanol), and the mixture was  
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19 boiled at 94 °C for 5 min. Equal amounts (50  $\mu$ g) of the denatured proteins were loaded  
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21 into each lane, separated by 10-15% SDS polyacrylamide gel, followed by transfer of the  
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23 proteins to PVDF membranes overnight. Membranes were blocked with 0.1% Tween-20 in  
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25 Tris-buffered saline containing 5% non-fat dry milk for 20 min at room temperature, and  
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27 the membranes were reacted with primary antibodies for 2 h. They were then incubated  
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29 with a horseradish peroxidase-conjugated goat anti-rabbit or anti-mouse antibody for 2 h  
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31 before being developed by SuperSignal ULTRA chemiluminescence substrate (Pierce  
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33 Biotechnology, Rockford, IL). For densitometry analysis band intensities were quantified  
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35 by commercially available software AlpaEaseFc 4.0 (Genetic Technologies, Inc., Miami,  
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41 *2.7. Determination of VEGF release*

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43 To determine the effects of TS extracts on VEGF levels, HL-60 cells grown to 85%  
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45 confluence were treated with 0-75  $\mu$ g/mL of TS extracts for 6 h. Then, the medium was  
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47 aspirated from the flasks and centrifuged at  $500 \times g$  for 10 min to remove cells from the  
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49 medium. The level of VEGF released into the culture medium was estimated using  
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51 commercially available VEGF ELISA kit (Chemicon International Inc., Temecula, CA).  
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5 2.8. *Animal experiments*  
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7 Eight weeks old male or female athymic nude mice (BALB/*c-nu*) were purchased from  
8 GlycoNex Inc., (Taipei, Taiwan) and were maintained in cage housing separately in a  
9 specifically designed pathogen-free isolation facility with a 12 h light and 12 h dark cycle;  
10 the mice were provided rodent chow (Oriental Yeast Co, Tokyo, Japan) and water *ad*  
11 *libitum*. All experiments were conducted in accordance with the guidelines of the China  
12 Medical University Animal Ethics Research Board.  
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21 2.9. *Tumor cell inoculation*  
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23 HL-60 cells were grown in RPMI-1640 medium supplemented with 10% FBS, 2 mM  
24 glutamine, 1% penicillin-streptomycin-neomycin in a humidified incubator (5% CO<sub>2</sub> in air  
25 at 37°C). Experiments were carried out using cells less than 15 passages. HL-60 cells (1 ×  
26 10<sup>6</sup> cells in 200 μL matrix gel) were injected subcutaneously on the right hind flank of  
27 nude mice as described previously (Hseu et al., 2008b). Tumor volume, as determined by  
28 caliper measurements of tumor length, width and depth, were calculated using the formula:  
29 length × width<sup>2</sup> × 1/2 every 3 days (Collins et al., 2003). The two study groups received  
30 intraperitoneal injections of TS extracts (0.2 mL/mouse) dissolved in PBS buffer at 7.5  
31 mg/kg and 10 mg/kg every 2 days, while the control group received vehicle only. After 21  
32 days of treatment, the mice were sacrificed. The tumors were removed and weighed before  
33 fixing in 4% paraformaldehyde, sectioning and staining with hematoxylin-eosin for light  
34 microscopic analysis. Part of the tumor tissue was immediately frozen and the rest was  
35 fixed in 10% neutral-buffered formalin and embedded in paraffin. To monitor drug toxicity,  
36 the body weight of each animal was measured every 3 days. In addition, a pathologist  
37 examined the mouse organs, including liver, lungs and kidneys.  
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5 2.10. Statistical analysis  
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7 The results of the *in vitro* and *in vivo* experiments are presented as mean and standard  
8 deviation (mean  $\pm$  SD) or standard error (mean  $\pm$  SE), respectively. All study data were  
9 analyzed using analysis of variance (ANOVA), followed by Dunnett's test for pair-wise  
10 comparison. Statistical significance was defined as  $p < 0.05$  for all tests.  
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17 **3. Results**  
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19 This study has investigated the anticancer effect of aqueous leaf extracts of *T. sinensis*  
20 (0-75  $\mu\text{g/mL}$ ) and gallic acid (0-10  $\mu\text{g/mL}$ ) *in vitro* using HL-60 premyelocytic leukemia  
21 cell line or *in vivo* nude mice xenograft model. The crude TS extracts were prepared from  
22 fresh *T. sinensis* leaves, yielding 6% based on the initial weight of *T. sinensis* leaves and  
23 the total yield of gallic acid from the TS extracts was 6% (Yang et al., 2006a).  
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31 3.1. TS extracts induce  $G_1$  cell-cycle arrest in HL-60 cells  
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33 Flow cytometric analysis was used to obtain the profile of DNA content of the HL-60  
34 cells treated with TS extracts to measure the fluorescence of PI-DNA complex. HL-60  
35 cells with lower DNA staining relative to diploid analogs were considered apoptosis. A  
36 remarkable accumulation of subploid cells, the so-called sub- $G_1$  peak, was noted in those  
37 treated with TS extracts (75  $\mu\text{g/mL}$ ) for 0-18 h compared with the untreated group (Fig. 1).  
38 Furthermore, the stage at which growth inhibition was induced by TS extracts in the HL-  
39 60 cell-cycle progression was determined, from cellular distribution in the different phases  
40 of post treatment. Fig. 1 showed that exposure of cells to the TS extracts resulted in a time-  
41 dependent progressive and sustained accumulation of cells in the  $G_1$  phase. Furthermore,  
42 in response to TS extracts the percentage of cells in the  $G_1$  phase was gradually increased  
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3 whereas, those in the S and G<sub>2</sub>/M phases was significantly decreased (Fig. 1). We have  
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5 previously reported that TS extracts dose- and time-dependently inhibits the growth of HL-  
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7 60 cells (Yang et al., 2006a). Consistent with our previous report, the current findings also  
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9 suggest that TS extracts promote cell growth inhibition by inducing G<sub>1</sub> transition phase  
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11 arrest in HL-60 cells.  
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### 13 14 15 3.2. TS extracts down-regulate Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A 16 17 expression and up-regulates P27<sup>KIP</sup> expression

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19 To examine the molecular mechanism(s) that may underlying changes in cell-cycle  
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21 patterns, the effects of the TS extracts on various cyclins and cyclin-dependent kinases  
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23 (CDKs) involved in cell-cycle control of the HL-60 cells were investigated. Our  
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25 investigative approach was to treat the HL-60 cells with TS extracts (0-75 µg/mL) for 0-6  
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27 h. Dose and time-dependent reduction in cyclin D1, CDK4, cyclin E, CDK2, and cyclin A  
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29 expression were observed after treatment with TS extracts (Fig. 2). Moreover, the  
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31 experimental treatment did not appear to alter the amount of detectable cyclin B1 and  
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33 CDC2 protein expression in HL-60 cells (Fig. 2). We also examined the effect of TS  
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35 extracts on CDKs inhibitors including p27<sup>KIP</sup> and p15. As shown in Fig. 2, treatment of  
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37 HL-60 cells with TS extracts (0-75 µg/mL) for 0-6 h induced marked up-regulation of  
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39 p27<sup>KIP</sup> protein. However, we found there was no change in the detectable amount of p15  
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41 protein in HL-60 cells (Fig. 2). Taken together, TS extracts potentially arrest G<sub>1</sub>-S  
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43 transition phase as evidenced by down-regulation of cyclins and CDKs and enhanced  
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45 CDKs inhibitors.  
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### 48 49 50 3.3. Activation of Fas-associated apoptotic pathway by TS extracts

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52 To assess whether TS extracts (0-75 µg/mL for 0-6 h) promoted apoptosis *via* a death  
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3 receptor-associated pathway, the Fas and Fas ligand (FasL) protein levels in HL-60 cells  
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5 were determined by Western blotting. Results showed that TS extracts appreciably  
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7 stimulate the expression of Fas and FasL in a dose- and time-independent manner (Fig. 3).  
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9 It is well understood that induction of Fas and FasL cleaves caspase-8 from procaspase-8,  
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11 and the activated caspase-8 further stimulates caspase-3 *via* mitochondrial-dependent or –  
12  
13 independent cascade (Nagata, 1997). Therefore, we verified whether TS extracts augment  
14  
15 caspase-8 cleavage in HL-60 cells. Western blot results showed that TS extracts dose-and  
16  
17 time-dependently induced cleavage of caspase-8 from the procaspase-8 (Fig. 3). In  
18  
19 mitochondrial pathway of apoptosis, caspase-8 proteolytically activates a pro-apoptotic  
20  
21 protein Bid, which targets mitochondrial membrane permeabilization and represents the  
22  
23 mail link between extrinsic and intrinsic apoptotic pathways (Eskes et al., 2000). Our  
24  
25 results also showed that down-regulation of Bid induced by TS extracts occurred in a dose-  
26  
27 and time-independent manner (Fig. 3). In addition, we observed TS extracts activates  
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29 caspase-9, which was concomitant with our previous report that TS extracts induced  
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31 apoptosis through the release of cytochrome *c* (Yang et al., 2006a). However, the signaling  
32  
33 mechanism is poorly understood. This data provided strong evidence that TS extracts-  
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35 induced release of cytochrome *c* further promotes apoptosome-mediated cleavage of  
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37 caspase-9 from procaspase-9. With reference to our previous report, we assured that TS  
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39 extracts-induced aberrant release of cytochrome *c* further amplified the cleavage of  
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41 caspase-9 in HL-60 cells (Fig. 3).  
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#### 45 3.4. Effect of catalase on TS extracts-induced cell-cycle arrest and apoptosis in HL-60 46 47 cells.

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49 Our previous study demonstrated that catalase (H<sub>2</sub>O<sub>2</sub> scavenger) significantly decreased  
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51 *T. sinensis*-induced cytotoxicity, DNA fragmentation, and ROS generation in HL-60 cells  
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3 (Yang et al., 2006a). Further to confirm this issue, in the present study we examined the  
4 antioxidant catalase could effect TS extracts-induced cell-cycle arrest (cyclin D1, CDK4,  
5 cyclin E, CDK2, cyclin A, and p27<sup>KIP</sup>) and apoptosis (Fas/FasL, caspase-8, Bid, and  
6 caspase-9) in HL-60 cells. Cells were simultaneously treated with TS extracts (75 µg/mL  
7 for 6 h) and catalase (10 U/mL) for indicated time period (Fig. 2 and 3). We found that  
8 catalase treatment significantly reduced TS extracts-induced G<sub>1</sub> arrest in HL-60 cells as  
9 evidenced by up-regulation of cell-cycle regulatory proteins including cyclin D1, CDK4,  
10 cyclin E, CDK2, cyclin A, and inhibits p27<sup>KIP</sup>. Furthermore, catalase treatment markedly  
11 down-regulates death signaling cascades and pro-apoptotic proteins Fas, FasL, caspase-8,  
12 Bid, and caspase-9 in HL-60 cells (Fig. 2 and 3). These results also provided a positive  
13 mechanism that TS extracts-induced HL-60 cell-cycle arrest (G<sub>1</sub>) and apoptosis was  
14 associated with the production of intracellular ROS, especially H<sub>2</sub>O<sub>2</sub>.  
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### 29 3.5. TS extracts induce down-regulation of VEGF in HL-60 cells 30

31 A number of studies have shown that VEGF is one the most important angiogenic factor  
32 closely associated with neovascularization in human tumors. Western blotting and ELISA  
33 assay were used to analyze the effects of TS extracts on the expression and release of  
34 angiogenic-related protein VEGF in HL-60 cells. As shown in Fig. 4A, treatment of HL-60  
35 cells with TS extracts dose-dependently inhibits the expression of VEGF. In addition,  
36 control cells (without treatment) released detectable levels of VEGF into the serum-free  
37 culture media at approximately 27 pg/10<sup>5</sup> cells (Fig. 4B). A concomitant with protein level,  
38 TS extracts significantly inhibits VEGF release into culture media in a dose-dependent  
39 manner (Fig. 4B).  
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### 51 3.6. Effect of TS extracts on tumor growth in HL-60 xenograft nude mice. 52 53



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3       Nude mice were used to evaluate the *in vivo* effect of TS extracts on tumor growth. HL-  
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5 60 cells were xenograft into nude mice as described in materials and methods. All the  
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7 animals appeared healthy with no loss of body weight noted during treatment with TS  
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9 extracts (Fig. 5A). In addition, no signs of toxicity were observed (data not shown) in any  
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11 of the nude mice. The time course for HL-60 xenograft growth with TS extracts (7.5 and  
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13 10.0 mg/kg) or without treatment (control) is shown in Fig. 5B. Evaluation of tumor  
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15 volume showed significant growth inhibition associated with TS extracts treatment (Fig.  
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17 5B). At the end of 21 days, the HL-60 xenograft tumor of each mouse was excised from  
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19 each sacrificed animal and weighed. Tumor weight in the TS extracts-treated (7.5 and 10.0  
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21 mg/kg) mice was inhibited as compared with the control group (Fig. 6A and 6B). In  
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23 addition, abundant mitosis in nuclei was observed in xenograft tumor section, indicating  
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25 the proliferating activity, with well differentiation of tumor cells were also noticed (Fig.  
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27 7A). While decreased mitotic figures shrunken tumor cells were noted in the 7.5 mg/kg TS  
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29 extracts treated animals (Fig. 7B), and tumor cells became smaller and shrunken,  
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31 indicating the regression of tumor cells, in the 10 mg/kg TS extracts treated animals (Fig.  
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33 7C). These *in vivo* data also strongly suggest that TS extracts exerted antitumor activity in  
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35 HL-60 leukemia xenograft nude mice could be due to the modulation of cell-cycle  
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37 regulation and/or induction of apoptosis.

### 3.7. Gallic acid causes $G_1$ arrest and regulates cell-cycle regulatory proteins in HL-60 cells.

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41       Previously we reported that treatment of the HL-60 cells with gallic acid (5-10  $\mu\text{g/mL}$ ),  
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43 purified from TS extracts, resulted in sequences of events marked by apoptosis in the HL-  
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45 60 cells was accompanied by loss of cell viability, ROS generation, internucleosomal DNA  
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47 fragmentation, cytochrome *c* release, activation of caspase-3, degradation of poly(ADP-  
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3 ribose) polymerase (PARP), and dysregulation of Bax/Bcl-2 (Yang et al., 2006a). Our  
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5 present study also showed that TS extracts appreciably inhibits tumor progression through  
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7 cell-cycle arrest at G<sub>1</sub> phase. Therefore, further we intended to investigate the effect of  
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9 gallic acid (5-10 μg/mL), on cell-cycle control in HL-60 cells. The profile of the DNA  
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11 content in gallic acid-treated HL-60 cells (5 μg/mL for 6-18 h) was obtained using flow  
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13 cytometric analysis. Fig. 8A showed that gallic acid exposure resulted in progressive and  
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15 sustained accumulation of cells in G<sub>1</sub> phase. Furthermore, the percentage of G<sub>1</sub> phase cells  
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17 increased, while those in the S and G<sub>2</sub>/M phase decreased after treatment with gallic acid  
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19 (Fig. 8A). Notably, there was a remarkable accumulation of sub-G<sub>1</sub> peak in gallic acid-  
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21 treated HL-60 cells (5 μg/ml for 6-18 h) compared with the untreated group (Fig. 8A). Our  
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23 findings suggest that gallic acid also promotes cell growth inhibition by inducing G<sub>1</sub> phase  
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25 arrest in human leukemia cells.  
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28 In order to examine the molecular mechanism(s) and underlying changes in cell-cycle  
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30 patterns caused by gallic acid treatment, we investigated the effects of various cyclins and  
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32 CDKs involved in cell-cycle regulation in HL-60 cells. Cells were treated with gallic acid  
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34 (5-10 μg/mL) for 0-6 h. Dose-dependent reductions of cyclin D1, CDK4, cyclin E, CDK2,  
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36 and cyclin A, and induction of p27<sup>KIP</sup> expression were observed (Fig. 8B). Notably, gallic  
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38 acid treatment significantly inhibits the expression of cyclin D1, cyclin E, CDK2 and 4,  
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40 which are critically required for G<sub>1</sub>-S transition phase. Therefore, we believed that the  
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42 gallic acid-induced G<sub>1</sub> cell-cycle arrest is mediated by the inhibition of cyclin D1, cyclin E,  
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44 and CDK2 and 4. However, the experimental treatment did not appear to alter the amount  
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46 of detectable cyclin B1, CDC2, and p15 protein levels, which was concomitant with TS  
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48 extracts treatment (Fig. 8B).  
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#### 51 52 **4. Discussion**

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3 Differential regulation of the cell-cycle, and subsequent events leading to apoptotic cell  
4 death, account for the anticancer effect of some potential phytochemicals (Sporn and Suh,  
5 2002). Several studies have demonstrated anticancer potential for extracts from a number  
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7 of herbal medicines or mixtures *in vitro* or *in vivo*. Herbal medicine is one of the ancient  
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9 forms of health care known to humankind and it has been used in most cultures throughout  
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11 history. Typically, herbal medicines emphasize the use of whole extracts from a plant mix  
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13 or from complex formulations (Sporn and Nuh, 2002). Our previous study has  
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15 demonstrated that TS extracts induce apoptotic cell death in cultured human premyelocytic  
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17 leukemia HL-60 cells (Yang et al., 2006a). The present investigation also a parallel study  
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19 showing the effect of TS extracts an *in vivo* human tumor xenograft in nude mice as well  
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21 as *in vitro* cell culture models involving HL-60 cells. Summary of our data suggests that  
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23 TS extracts treatment could be effective in suppressing the proliferation of HL-60 cells as  
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25 shown by growth inhibition, cell-cycle arrest, and apoptotic induction *in vivo* and *in vitro*.  
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27 Investigation has shown the nontoxic characteristics of *T. sinensis* [oral administration of *T.*  
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29 *sinensis* (1000 mg/kg/day) for 28 days in rats], which increases its potential for application  
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31 in food and drug products (Liao et al., 2007). Furthermore, *in vivo* toxicity was also  
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33 examined superficially from body weight changes and histological studies of vital organs  
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35 (data not shown). There appeared to be no sign of significant toxicity at TS extracts  
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37 exposures up to the concentration of 10 mg/kg. This likely indicates that there are no side  
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39 effects at these doses. Future studies should test whether there is an optimal/effective dose  
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41 for TS extracts exposure.  
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45 Disturbance of the cancer cell-cycle is one of the therapeutic targets in the development  
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47 of new anticancer drugs. The results of cell-cycle analysis in the present study showed that  
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49 TS extracts/gallic acid treatment had a profound effect on cell-cycle control, with the  
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51 premyelocytic leukemia cells accumulating in G<sub>1</sub> phase. Progression through the first gap  
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3 phase (G<sub>1</sub>) requires both cyclin D-dependent CDK4/CDK6 and CDK2/cyclin E  
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5 holoenzymes (Takahashi et al., 1999; Youn et al., 2008). The CDK catalytic subunits CDK  
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7 4 and CDK 2, and their regulatory subunits, cyclin D1 and cyclin E, are believed to be a  
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9 crucial event in the regulation of S-phase entry, which appears to define the restriction  
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11 point in the late G<sub>1</sub> phase. Cyclin D expression is frequently deregulated in human  
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13 neoplasias, and agents that can down-regulate cyclin D expression may be helpful in both  
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15 their prevention and treatment (Sausville et al., 2000). Further, it has been found that  
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17 cyclin E, which is one of the key cell-cycle regulators, is over-expressed in primary  
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19 carcinoma tissue (Wang et al., 1994). Cyclin A is particularly interesting among the cyclin  
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21 family because it can activate two different types of CDKs and function in both S-phase  
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23 and mitosis. Cyclin A associated protein kinase activity is critically required for G<sub>1</sub> to S-  
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25 phase transition and further entry into M-phase (Johnson and Walker, 1999). The results  
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27 imply that the expression of cyclin D1, CDK4, cyclin E, Cyclin A, and CDK2 are down-  
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29 regulated by TS extracts, which corroborates the G<sub>1</sub> block in HL-60 cells. It has been  
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31 shown that impairment of a growth stimulation-signaling pathway induces the expression  
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33 of CDK inhibitor, which binds to and subsequently inhibits cyclin-CDK activity (Sandal et  
34  
35 al., 2002). Our results suggest that inducing p27<sup>KIP</sup> expression *via* treatment with TS  
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37 extracts/gallic acid may account for a large part of the reduction in CDK activity and,  
38  
39 subsequently, block cell-cycle progression. Our study has also demonstrated that there  
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41 were no significant differences in the expression of cyclin B1 and CDC2 after treatment  
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43 with the TS extracts and gallic acid. The evidence suggests that the complex formed by the  
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45 association of cyclin B1 and CDC2 plays a major role at entry into mitosis (Kuo et al.,  
46  
47 2006). These results suggest that the observed inhibition of proliferation in HL-60 cells  
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49 associated with the *T. sinensis* treatment could be the result of cell-cycle arrest during the  
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51 G<sub>1</sub> phase.  
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3       Investigations have shown that apoptosis is controlled by both mitochondrial and  
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5 membrane death receptor pathways. The extrinsic pathway is initiated by the binding of  
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7 transmembrane death receptors, including Fas, FasL, TNFR1, and TRAIL receptors with  
8  
9 cognate extracellular ligands (Reed, 2000). Ligand receptors recruit adaptor proteins such  
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11 as TRADD and FADD which interact with and trigger the activation of caspase-8.  
12  
13 Activated caspase-8 further cleaves or activates downstream effector caspases, such as  
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15 caspase-3 (Reed, 2009). The present study indicates that TS extracts-induced apoptosis is  
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17 associated with up-regulation of Fas and FasL, caspase-8 activation, and down-regulation  
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19 of Bid in HL-60 cells. Our previous investigation has been demonstrated that treatment of  
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21 HL-60 cells with TS extracts can induce apoptosis *via* a mitochondrial pathway that is  
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23 associated with loss of cell viability, internucleosomal DNA fragmentation, cytochrome *c*  
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25 translocation, caspase-3 activation, poly ADP-ribose polymerase (PARP) degradation, and  
26  
27 Bcl-2 and Bax dysregulation (Yang et al., 2006a). However, the activation of caspase-9 by  
28  
29 TS extracts was still in debate. Caspase-9 is a crucial factor for activation of caspase-3,  
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31 which cleave several cellular targets including poly ADP ribose polymerase (Reed, 2009).  
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33 The current data filled the gape that TS extracts markedly activates caspace-9 from  
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35 procaspase-9 followed by caspase-3 activation. Analysis of our data suggests that TS  
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37 extracts-induced apoptosis is controlled by both a mitochondrial and a membrane DR  
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39 pathway.  
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41       Our previous report demonstrated that catalase (H<sub>2</sub>O<sub>2</sub> scavenger) significantly decreases  
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43 TS extracts-induced cytotoxicity, DNA fragmentation, and ROS production in HL-60 cells  
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45 (Yang et al., 2006a). The present investigation further confirmed that catalase significantly  
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47 reduced TS extracts-induced cell-cycle arrest (cyclin D1, CDK4, cyclin E, CDK2, cyclin A,  
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49 pRb, and p27<sup>KIP</sup>) and apoptosis (Fas, FasL, caspase-8, Bid, and caspase-9) in HL-60 cells.  
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51 Analysis of our data suggesting TS extracts-induced HL-60 cell-cycle arrest and apoptosis  
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3 could be due to the intracellular ROS generation, especially H<sub>2</sub>O<sub>2</sub>. Other workers have  
4 shown that gallic acid-induced intracellular ROS, especially H<sub>2</sub>O<sub>2</sub>, play an important role  
5 in eliciting an early signal of apoptosis (Sakaguchi et al., 1998; Inoue et al., 2000), and that  
6 catalase significantly reduces gallic acid-induced apoptotic cell death (Yang et al., 2006a;  
7 Isuzugawa et al., 2001). In addition, recent studies appear to support the notion that TS  
8 extracts may possess protective antioxidant properties (Cho et al., 2003; Hsieh et al., 2004;  
9 Hseu et al., 2008a). Several researchers have shown that antioxidants produce genetic  
10 changes that cause apoptosis in cancer cells by mechanisms other than antioxidant effect  
11 (Yang et al., 2006b). Thus, TS extracts might serve as a mediator for the reactive oxygen-  
12 scavenging system and potentially act as both a pro-oxidant and an antioxidant, depending  
13 on the redox state of the biological environment. However, the detailed mechanisms of the  
14 chemotherapeutic action of *T. sinensis* are unknown, and further investigations are needed.

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27 Angiogenesis is tightly regulated by an intricate balance between stimulators and  
28 inhibitors. Among them, VEGF, a soluble angiogenic factor produced by many tumors as  
29 well as normal cell lines, plays a key role in regulating normal and pathologic  
30 angiogenesis (Tonini et al., 2003; Hseu et al., 2011b). A previous report clearly evidenced  
31 that increased angiogenesis in bone marrow region from patients with acute myeloid  
32 leukemia (Hussong et al., 2000). These observations also suggest that the increased  
33 anagiogenesis is critically mediated by VEGF expression, which play crucial role for the  
34 further onset of tumor progression. Therefore, the therapeutic strategies have been  
35 developed for acute myeloid leukemia also targets anti-angiogenic processes, with  
36 promising results, because of the critical dependence of tumor growth and metastasis on  
37 angiogenesis. It is noteworthy that TS extracts significantly down-regulates both VEGF  
38 expression and release in HL-60 cells. A similar pattern of results were found in our  
39 previous study that TS extracts potentially inhibits VEGF-induced angiogenesis in  
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3 vascular endothelial cells (Hseu et al., 2011a). Taken together, the inhibitory effect of TS  
4 extracts on VEGF activity or angiogenesis in leukemia or endothelial cells are strong  
5 evidence for development of anti-cancer/anti-angiogenic drug from this vital source.  
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9 Furthermore, tumor inhibition was observed after treatment with TS extracts in the nude  
10 mice xenograft model in this study. Both incidence and mean tumor volume and weight  
11 were significantly reduced by TS extracts. Experiments using animals and circulating  
12 blasts from leukemia patients have yielded evidence that apoptosis also occurs in response  
13 to chemotherapy *in vivo*. Human acute-leukemia cell lines (HL-60 cells) have proven  
14 particularly informative in study of chemotherapy-associated apoptotic proteolytic events  
15 (Hseu et al., 2004). Moreover, in this study the *in vivo* toxicity of TS extracts was also  
16 examined superficially from body weight change and histological study of vital organs  
17 (data not shown), with no apparent signs of significant negative effects at exposures of 7.5  
18 and 10 mg/kg. Analysis of our data suggests that TS extracts exert anti-proliferative action  
19 and growth inhibition on HL-60 cells *in vitro* or *in vivo*.  
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31 Natural products, including plants, provide rich resources for anticancer drug discovery.  
32 In our previous study, a number of compounds, including gallic acid, methyl gallate, ethyl  
33 gallate, kaempferol, kaempferol-3-O- $\beta$ -D-glucoside, quercetin, quercitrin, quercetin-3-O-  
34  $\beta$ -D-glucoside, and rutin, was isolated from the leaves of *T. sinensis*; identity of the  
35 compounds was determined by HPLC and based on the analogous information reported in  
36 the literature (Yang et al., 2006a; Hsu et al., 2003). The total phenolic content of the TS  
37 extract was estimated to be  $130 \pm 26$  mg of gallic acid equivalent/g of plant extracts (Yang  
38 et al., 2006a). The yield of gallic acid, the natural phenolic component purified from TS  
39 extracts, was about 6%. Although it remains unclear which of the components of *T.*  
40 *sinensis* are active compounds, gallic acid has received increased attention recently  
41 because of some interesting new findings regarding its biological activities (Chen et al.,  
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3 2009). Gallic acid is widely distributed in various plants and fruits, such as gallnuts, sumac,  
4 oak bark, green tea, apple peels, grapes, strawberries, pineapples, bananas, lemons, and in  
5 red and white wine (You et al., 2010). Even though the therapeutic utility of gallic acid in  
6 this regard is unknown, its common occurrence in fruits and food as well as its small  
7 molecular weight (170 Da) might be an advantage in terms of safety and dosing design.  
8 Studies have demonstrated that gallic acid selectively induces cancer cell death by  
9 apoptosis; however, gallic acid shows no cytotoxicity against normal cells (Yang et al.,  
10 2006a). Other workers have shown that gallic acid causes inactivating phosphorylation of  
11 CDC25A/CDC25C-CDC2, leading to cell-cycle arrest, and apoptosis induction in human  
12 prostate carcinoma DU 145 cells (Agarwal et al., 2007). Raina et al (2008) revealed that  
13 gallic acid treatment remarkably decreased human prostate cancer cell xenografted tumor  
14 incidence in mice. Therefore, gallic acid may be a useful phytochemical for cancer  
15 chemoprevention (Surh, 2003). These results corroborate other studies which have  
16 implicated that gallic acid is the main constituent responsible for the antiproliferative  
17 activity (Chen et al., 2009). Moreover, in future we have planned to investigate antitumor  
18 effect of other bioactive compounds isolated from the aqueous leaf extracts of *T. sinensis*.

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The results obtained *in vitro* and *in vivo* in this study imply that *T. sinensis* could act as a chemopreventive agent with respect to inhibition of the growth of human leukemia HL-60 cells through the induction of cell-cycle arrest and apoptosis. We also believed that the antitumor activity of *T. sinensis* may be the abundance of gallic acid. These data provide an important step that might help model the effects of *T. sinensis* for potential future studies with animal models and human patients, and thereby facilitate the development of nutraceutical products using this agent.

### Conflict of interest statement



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3 The authors have no conflict of interest to declare.  
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8  
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11 Medical University of Taiwan.  
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4 **Figure legends**  
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6 **Fig. 1.** Effects of TS extracts on cell-cycle distribution in HL-60 cells. Cells were treated  
7 with 75 µg/mL of TS extracts for 0-18 h, stained with PI, and analyzed by flow cytometry  
8 for sub-G<sub>1</sub> and cell-cycle. Distribution (as percentage) of cells in the different phases of the  
9 cell-cycle (sub-G<sub>1</sub>, G<sub>1</sub>, S, and G<sub>2</sub>/M) after treatment is shown. Apoptotic nuclei were  
10 identified as a subdiploid DNA peak, and were distinguished from cell debris on the basis of  
11 forward light scatter and PI fluorescence. Representative flow cytometry patterns are also  
12 presented. Results are presented as mean±SD (n=3). \*: indicates significant difference in  
13 comparison to control group ( $p<0.05$ ).  
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24 **Fig. 2.** Western blot analysis of cyclin D1, CDK4, cyclin E, CDK2, cyclin A, p27<sup>KIP</sup>,  
25 cyclin B, CDC2, and p15 protein levels in HL-60 cells after exposure to TS extracts. Cells  
26 were treated with 75 µg/mL of TS extracts for 2, 4, and 6 h, and with 0, 10, 25, 50, and 75  
27 µg/mL of TS extracts for 6 h plus catalase (10 U/mL) as indicated. Protein (50 µg) from  
28 each sample was resolved on 10-12% SDS-PAGE, and western blot performed. β-actin  
29 was used as a control. Relative changes in protein bands were measured using  
30 densitometric analysis. Typical result from three independent experiments is shown.  
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41 **Fig. 3.** Western blot analysis of Fas, FasL, caspase-8, Bid, and caspase-9 protein levels in  
42 HL-60 cells after exposure to TS extracts. Cells were treated with 75 µg/mL of TS extracts  
43 for 2, 4 and 6 h, and with 0, 10, 25, 50, and 75 µg/mL of TS extracts for 6 h plus catalase  
44 (10 U/mL) as indicated. Protein (50 µg) from each sample was resolved on 12% SDS-  
45 PAGE, and western blot performed. β-actin was used as a control. Relative changes in  
46 protein bands were measured using densitometric analysis. Typical result from three  
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3 independent experiments is shown.  
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7 **Fig. 4.** TS extracts mediated down-regulation of VEGF. (A) Western blot analysis of the  
8 protein levels of VEGF in HL-60 cells after exposure to TS extracts (0-75 µg/mL) for 6 h.  
9 Proteins (50 µg) from each sample were resolved on 8-15% SDS-PAGE. β-actin was used  
10 as a loading control. Relative changes in protein bands were measured using densitometric  
11 analysis with the control being 100% as shown just below the gel data. Typical results  
12 from three independent experiments are shown. (B) ELISA assay of VEGF release in HL-  
13 60 cells after exposure to TS extracts (0-75 µg/mL) for 6 h. Concentration of VEGF  
14 released into the medium was determined by ELISA. Results are presented as mean ± SD  
15 of three assays. \*Significant difference in comparison to the control group ( $p<0.05$ ).  
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28 **Fig. 5.** Time-course effect of TS extracts on the growth of HL-60 xenograft was evaluated  
29 from measurements of body weight (A) and tumor volume (B) every 3 days. HL-60 cells  
30 were implanted subcutaneously into the flanks of nude mice on day 0, the animals were  
31 then treated with TS extracts (7.5 and 10.0 mg/kg/every two days) or without (as a control)  
32 as described in Materials and Methods. Results are presented as mean±SE (n=6). \*:  
33 indicates significant difference in comparison to control group ( $p<0.05$ ).  
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43 **Fig. 6.** *In vivo* inhibition of HL-60 xenograft tumors by TS extracts. Nude mice were  
44 treated with TS extracts (7.5 and 10.0 mg/kg/every two days) or without (control). On the  
45 21th day after tumor implantation, the animals were sacrificed and the tumors removed (A)  
46 and weighed (B). Results are presented as mean±SE (n=6). \*: indicates significant  
47 difference in comparison to control group ( $p<0.05$ ).  
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3 **Fig. 7.** Histopathological findings of tumor cells in HL-60 xenograft tumors. The control  
4 HL-60 xenograft tumors and the HL-60 xenograft tumors after TS extract (7.5 and 10.0  
5 mg/kg/every two days) treatments were sectioned, stained with hematoxylin and eosin, and  
6 examined with light microscopy (400×). Arrows indicate the mitotic nuclei in tumor cells  
7 (control), and TS extracts-induced (7.5 and 10.0 mg/kg) shrunken tumor cells. Typical  
8 results from three independent experiments are shown.  
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17 **Fig. 8.** (A) Effects of gallic acid on cell-cycle distribution in HL-60 cells. Cells were  
18 treated with 5 µg/mL of gallic acid for 0-18 h, stained with PI, and analyzed by flow  
19 cytometry for sub-G<sub>1</sub> and cell-cycle. Distribution (as percentage) of cells in the different  
20 phases of the cell-cycle (sub-G<sub>1</sub>, G<sub>1</sub>, S, and G<sub>2</sub>/M) after treatment is shown. Representative  
21 flow cytometry patterns are also presented. Results are presented as mean±SD (n=3). \*:  
22 indicates significant difference in comparison to control group ( $p<0.05$ ). (B) Western blot  
23 analysis of cyclin D1, CDK4, cyclin E, CDK2, cyclin A, p27<sup>KIP</sup>, cyclin B, CDC2, and p15  
24 protein levels in HL-60 cells after exposure to TS extracts. Cells were treated with 5  
25 µg/mL of gallic acid for 2, 4, and 6 h, and with 0, 5, and 10 µg/mL of gallic acid for 6 h.  
26 Protein (50 µg) from each sample was resolved on 12-15% SDS-PAGE, and western blot  
27 performed. β-actin was used as a control. Relative changes in protein bands were measured  
28 using densitometric analysis. Typical results from three independent experiments are  
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3 **Research Article**

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5 *In vitro and in vivo activity of gallic acid and Toona sinensis leaf extracts against HL-*  
6 *60 human premyelocytic leukemia. Aqueous leaf extracts of Toona sinensis inhibit*  
7 *proliferation of human premyelocytic leukemia HL-60 cells in vitro and in vivo*  
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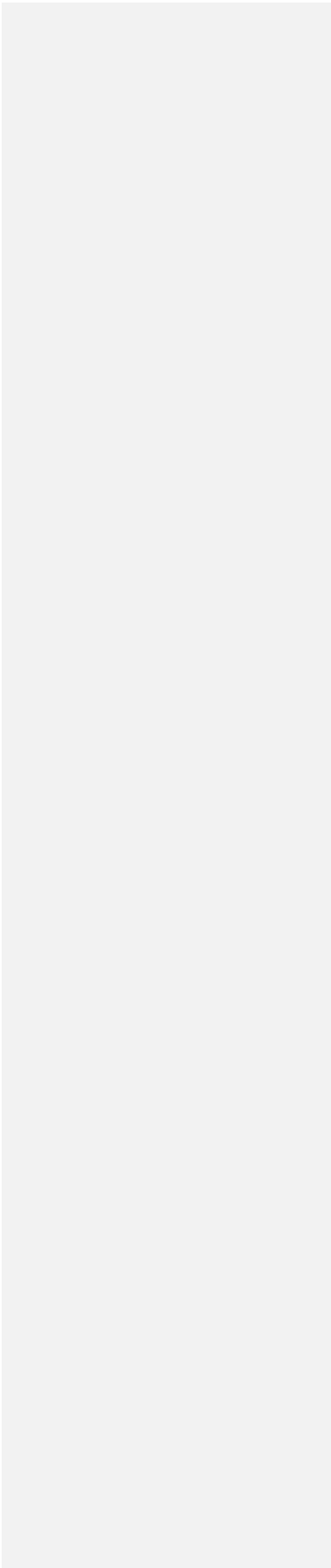
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1  
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3 **ABSTRACT**

4  
5 *Toona sinensis* is one of the most popular vegetarian cuisines in Taiwan and it has been  
6  
7 shown to induce apoptosis in cultured human premyelocytic leukemia (HL-60) cells. In the  
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9 present study, we examined the effects of *Toona sinensis* leaf extracts (TS extracts) on  
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11 tumor regression using *in vitro* cell culture and an *in vivo* athymic nude mice model. We  
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13 found that TS extracts (10-75 µg/mL) arrested HL-60 cells at the G<sub>1</sub>-S transition phase  
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15 through the reductions of Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A, and induction  
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17 of CDK inhibitor p27<sup>KIP</sup> levels. Furthermore, VEGF expression and release was  
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19 significantly inhibited by TS extracts. Notably, TS extracts treatment was effective in  
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21 terms of delaying tumor incidence in the nude mice inoculated with HL-60 cells as well as  
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23 reducing the tumor burden. Histological analysis confirmed that TS extracts significantly  
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25 modulated tumor progression in xenograft tumor. Furthermore, a similar pattern of results  
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27 were observed from gallic acid (5 and 10 µg/mL), a major compound in TS, caused G<sub>1</sub>  
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29 arrest through regulations of cell-cycle regulatory proteins. Our data suggest that *Toona*  
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31 *sinensis* exerts antiproliferative effects on HL-60 cells *in vitro* and *in vivo* due mainly to  
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33 the presence of gallic acid. ~~that may contribute critically to its cancer chemopreventive~~  
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35 ~~potential.~~  
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37 **Keywords:**

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39 *Toona sinensis*

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41 Gallic acid

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43 HL-60 cells

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45 Cell-cycle arrest

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47 Xenografted nude mice  
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## 1. Introduction

*Toona sinensis* (A. Juss.) M. Roem popularly known as Chinese mahogany or Chinese toon, a member of Meliaceae family, and is a type of deciduous perennial tree widely distributed in Asia. In Chinese and Taiwanese culture it is one of the popular vegetarian cuisine. It has long been used as a traditional Chinese medicine for a wide variety of conditions. The edible leaves used as an oriental medicine for treating rheumatoid arthritis, cervicitis, urethritis, tympanitis, gastric ulcers, enteritis, dysentery, itchiness, and cancer (Edmonds and Staniforth, 1998; Hseu et al., 2011a). While the underlying pharmacological mechanisms of this new drug are still a matter of debate, previous scientific literatures have been reported that *T. sinensis* possessed variety of biological activities including anti-cancer (Chang et al., 2002; Chang et al., 2006; Yang et al., 2006a; Chen et al., 2009; Wang et al., 2010; Yang et al., 2010a; Yang et al., 2010b; Chia et al., 2010), anti-angiogenesis (Hseu et al., 2011a) anti-inflammation (Bak et al., 2009), anti-diabetes (Hsu et al., 2003; Yang et al., 2003), and antioxidant (Cho et al., 2003; Hseu et al., 2008a; Jiang et al., 2009) effects, as well as inhibiting Leydig cell steroidogenesis and improving the dynamic activity of human sperm quality (Poon et al., 2005). Moreover, the safety levels and nontoxic characteristics of aqueous extracts of *T. sinensis* were evaluated using acute and sub-acute toxicity studies in mice (Liao et al., 2006).

Gallic acid (GA), a major phenolic compound that rich in TSL has a wide spectrum of biological and pharmacological effects. Various animal models or human studies proved that GA is extremely safe even at using high doses. Also, a few studies addressing the bioavailability of GA in human revealed that this compound is extremely well observed when compared to other polyphenols (Manach et al., 2005). When GA was given orally at a dose of 0.3 mmol in Assam black tea (contained >93% of free form GA) to human, a maximum serum concentration of 2.08  $\mu$ M was observed in plasma, whereas 39.6% of the

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3 GA dose were extracted in urine as a GA or GA metabolites (Shahzad et al., 2001). The  
4 pharmacological safety and efficacy of GA makes it a potential compound for treatment or  
5 prevention of a wide variety of human diseases.  
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9 Chemoprevention, which refers to the administration of natural or synthetic agents to  
10 prevent initiation and promotion events associated with carcinogenesis, is being  
11 increasingly appreciated as an effective approach for the management of neoplasia  
12 (Ahmad et al., 2001). Many studies have shown a clear link between abnormal cell-cycle  
13 regulation and apoptosis with cancer, as much as the cell-cycle inhibitors and apoptosis-  
14 inducing agents are being appreciated as armaments for the management of cancer  
15 (Stewart et al., 2003; Schmitt, 2003; Hsu et al., 2003). Eukaryotic cell-cycle progression  
16 involves a sequential activation of cyclin-dependent kinases (CDKs) whose activation is  
17 dependent upon their association with cyclins (Youn et al., 2008). Progression through the  
18 mammalian mitotic cycle is controlled by multiple holoenzymes comprising a catalytic  
19 CDK and a cyclin regulatory subunit (Takahashi et al., 1999; Hseu et al., 2008b). These  
20 cyclin-CDK complexes are activated at specific intervals during the cell-cycle but can also  
21 be induced and regulated by exogenous factors. Cell-cycle progression is also regulated by  
22 the relative balance between the cellular concentrations of cyclins/CDKs and CDKs  
23 inhibitors, including p27<sup>KIP</sup> (Hseu et al., 2008b; Kim et al., 2006). The cyclin-CDK  
24 complexes are subjected to inhibition *via* binding with CDK inhibitors (Kim et al., 2006).  
25 Recently, the relationship between cell-cycle arrest and cancer has been emphasized, with  
26 increasing evidence suggesting that the related processes of neoplastic transformation,  
27 progression and metastasis involve alteration of the normal cell-cycle regulation. Thus,  
28 anticancer (chemopreventive) agents may alter regulation of the cell-cycle machinery,  
29 resulting in an arrest of cells in different phases of the cell-cycle and, thereby, reducing  
30 growth and proliferation of cancerous cells, which may be useful in cancer therapy.  
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3 Leukemia is one of the most threatening diseases today. Given that most adult leukemia  
4 patients are not candidates for transplantation, and that a more rational therapy is not  
5 adequately defined, they are typically treated with regimens that are based on (or at least  
6 include) chemotherapy (Yang et al., 2006a). In our previous study, we demonstrated that  
7 aqueous leaf extracts of *T. sinensis* (TS extracts, 10-75 µg/mL) and gallic acid (3,4,5-  
8 trihydroxybenzoic acid, 5-10 µg/mL), a purified natural phenolic component, exhibited  
9 apoptosis against human premyelocytic leukemia (HL-60) cells (Yang et al., 2006a).  
10 Notably, the significant inhibitory effects of tumor cell proliferation were observed only in  
11 leukemia HL-60 cells, whereas not in erythrocytes and human lymphocytes (Yang et al.,  
12 2006a). However, the effect of TS extracts against tumour cell-cycle regulation was poorly  
13 understood. Therefore, the present study aimed to investigate the anticancer effect of TS  
14 extracts and gallic acid in terms of tumor regression using *in vitro* cell culture model (HL-  
15 60 cells) or *in vivo* athymic nude mice model of leukemia cancer.  
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## 31 **2. Materials and Methods**

### 32 *2.1. Chemicals*

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35 RPMI-1640 medium (Gibco BRL, Grand Island, NY), antibody against cyclin E, CDK2,  
36 cyclin B1, CDC2, caspase-8, Fas, FasL, VEGF, and β-actin (Santa Cruz Biotechnology  
37 Inc., Heidelberg, Germany) and antibody against cyclin D1, CDK4, cyclin A, p27<sup>KIP</sup>, p15,  
38 caspase-9, and Bid (Cell Signaling Technology Inc., Danvers, MA) were obtained from  
39 their respective suppliers. All other chemicals were of the reagent or HPLC grade supplied  
40 either by Merck (Darmstadt, Germany) or Sigma (St. Louis, MO).  
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### 49 *2.2. Preparation of TS extracts*

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51 Leaves of *T. sinensis* were sourced from Fooyin University, Kaohsiung, Taiwan. A  
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3 voucher specimen was characterized by Prof. Horng-Liang Lay, Graduate Institute of  
4 Biotechnology, National Pingtung University of Science and Technology, Pingtung  
5 County, Taiwan, and deposited at Fooyin University, Kaohsiung, Taiwan. The aqueous  
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7 leaf extracts of *T. sinensis* (TS extracts) were prepared by adding 1000 mL of water to  
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9 1000 g of fresh *T. sinensis* leaves and boiled until 100 mL remained, as previously  
10 described (Chang et al., 2002; Hseu et al., 2008a). The crude extracts were centrifuged at  
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12 3000 × g for 12 min and the supernatant was used for this study. The crude extracts (50 g)  
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14 were concentrated in a vacuum and freeze dried to form powder, with the stock  
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16 subsequently stored at -20°C for further analysis of its anticancer properties. The yield of  
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18 TS extracts was 6%. The total phenolic content of the TS extracts was estimated to be 130  
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20 ± 26 mg gallic acid (pyrocatechol) equivalents/g of plant extracts as described previously  
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22 (Yang et al., 2006a).  
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### 29 2.3. Isolation of gallic acid from TS extracts 30

31 TS extracts were dissolved in a mobile phase consisting of methanol-water (50:50, v/v)  
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33 before high performance liquid chromatography (HPLC) analysis and separation.  
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35 Chromatographic separation was achieved with a mobile phase consisting of methanol-  
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37 water (50:50, v/v) in the first 15 min, gradually increasing the methanol to 100% in the  
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39 next 10 min. A flow rate of 4.0 mL/min at room temperature was used. Eight compounds  
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41 (gallic acid, methyl gallate, ethyl gallate, kaempferol, kaempferol-3-*O*-β-D-glucoside,  
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43 quercetin, quercitrin, quercetin-3-*O*-β-D-glucoside, and rutin) were isolated from the TS  
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45 extracts. The identification of the compounds was fully characterized by comparison of  
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47 their spectral data (IR, NMR, and mass) with the analogous information reported in the  
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49 literature (Yang et al., 2006a; Hsu et al., 2003). Gallic acid, a natural phenolic component  
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51 purified from TS extracts was subjected in this study at a yield of 6% (Yang et al., 2006a).  
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5 *2.4. Cell culture*

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7 Human acute promyeloblastic leukemia (HL-60) cell line was obtained from the  
8 American Type Culture Collection (ATCC, Rockville, MD). These cells were grown in  
9 RPMI-1640 supplemented with 10% heat-inactivated FBS, 2 mM glutamine, and 1%  
10 penicillin/streptomycin/neomycin in a 5% CO<sub>2</sub> humidified incubator at 37 °C. Cultures  
11 were harvested and cell numbers were counted by hemocytometer.  
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19 *2.5. Flow cytometry analysis*

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21 Cellular DNA content was determined by flow cytometric analysis of propidium iodide  
22 (PI)-labeled cells as described previously (Hseu et al., 2007). In brief, HL-60 cells ( $2 \times 10^5$   
23 cells/mL) were cultured in 6 cm culture dishes. After treatment with TS extracts or gallic  
24 acid, cells were harvested, washed and suspended in PBS and fixed in ice-cold 70%  
25 ethanol at -20 °C for overnight. After incubation, cells were re-suspended in PBS  
26 containing 1% Triton X-100, 0.5 mg/mL RNase, and 4 µg/mL PI at 37 °C for 30 min. A  
27 FACSCalibur flow cytometer (Becton Dickinson, San Jose, CA) equipped with a single  
28 argon-ion laser (488 nm) was used for flow cytometric analysis. Forward and right-angle  
29 light scatter, which are correlated with the size of the cell and the cytoplasmic complexity,  
30 respectively, were used to establish size gates and exclude cellular debris from the analysis.  
31 DNA content of  $1 \times 10^4$  cells per analysis was monitored using the FACSCalibur system.  
32 The cell-cycle was determined and analyzed using ModFit software (Verity Software  
33 House, Topsham, ME). Apoptotic nuclei were identified as a subploid DNA peak, and  
34 were distinguished from cell debris on the basis of forward light scatter and PI  
35 fluorescence.  
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3 *2.6. Protein isolation and immunoblot analysis*

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5 HL-60 cells ( $2 \times 10^6$  cells/ 10 cm dish) were washed once in cold PBS, and suspended  
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7 in 100  $\mu$ L lysis buffer (10 mM Tris-HCl [pH 8], 0.32 M sucrose, 1% Triton X-100, 5 mM  
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9 EDTA, 2 mM DTT, and 1 mM phenylmethyl sulfonyl fluoride). The suspension was vortex  
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11 and kept on ice for 20 min and then centrifuged at  $15000 \times g$  for 20 min at 4 °C. Total  
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13 protein content was determined using Bio-Rad protein assay reagent, with bovine serum  
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15 albumin (BSA) as the standard; protein extracts were reconstituted in sample buffer (0.062  
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17 M Tris-HCl, 2% SDS, 10% glycerol, and 5%  $\beta$ -mercaptoethanol), and the mixture was  
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19 boiled at 94 °C for 5 min. Equal amounts (50  $\mu$ g) of the denatured proteins were loaded  
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21 into each lane, separated by 10-15% SDS polyacrylamide gel, followed by transfer of the  
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23 proteins to PVDF membranes overnight. Membranes were blocked with 0.1% Tween-20 in  
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25 Tris-buffered saline containing 5% non-fat dry milk for 20 min at room temperature, and  
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27 the membranes were reacted with primary antibodies for 2 h. They were then incubated  
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29 with a horseradish peroxidase-conjugated goat anti-rabbit or anti-mouse antibody for 2 h  
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31 before being developed by SuperSignal ULTRA chemiluminescence substrate (Pierce  
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33 Biotechnology, Rockford, IL). For densitometry analysis band intensities were quantified  
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35 by commercially available software AlpaEaseFc 4.0 (Genetic Technologies, Inc., Miami,  
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37 FL).

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41 *2.7. Determination of VEGF release*

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43 To determine the effects of TS extracts on VEGF levels, HL-60 cells grown to 85%  
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45 confluence were treated with 0-75  $\mu$ g/mL of TS extracts for 6 h. Then, the medium was  
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47 aspirated from the flasks and centrifuged at  $500 \times g$  for 10 min to remove cells from the  
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49 medium. The level of VEGF released into the culture medium was estimated using  
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51 commercially available VEGF ELISA kit (Chemicon International Inc., Temecula, CA).  
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5 2.8. *Animal experiments*  
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7 Eight weeks old male or female athymic nude mice (BALB/*c-nu*) were purchased from  
8 GlycoNex Inc., (Taipei, Taiwan) and were maintained in cage housing separately in a  
9 specifically designed pathogen-free isolation facility with a 12 h light and 12 h dark cycle;  
10 the mice were provided rodent chow (Oriental Yeast Co, Tokyo, Japan) and water *ad*  
11 *libitum*. All experiments were conducted in accordance with the guidelines of the China  
12 Medical University Animal Ethics Research Board.  
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21 2.9. *Tumor cell inoculation*  
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23 HL-60 cells were grown in RPMI-1640 medium supplemented with 10% FBS, 2 mM  
24 glutamine, 1% penicillin-streptomycin-neomycin in a humidified incubator (5% CO<sub>2</sub> in air  
25 at 37°C). Experiments were carried out using cells less than 15 passages. HL-60 cells (1 ×  
26 10<sup>6</sup> cells in 200 μL matrix gel) were injected subcutaneously on the right hind flank of  
27 nude mice as described previously (Hseu et al., 2008b). Tumor volume, as determined by  
28 caliper measurements of tumor length, width and depth, were calculated using the formula:  
29 length × width<sup>2</sup> × 1/2 every 3 days (Collins et al., 2003). The two study groups received  
30 intraperitoneal injections of TS extracts (0.2 mL/mouse) dissolved in PBS buffer at 7.5  
31 mg/kg and 10 mg/kg every 2 days, while the control group received vehicle only. After 21  
32 days of treatment, the mice were sacrificed. The tumors were removed and weighed before  
33 fixing in 4% paraformaldehyde, sectioning and staining with hematoxylin-eosin for light  
34 microscopic analysis. Part of the tumor tissue was immediately frozen and the rest was  
35 fixed in 10% neutral-buffered formalin and embedded in paraffin. To monitor drug toxicity,  
36 the body weight of each animal was measured every 3 days. In addition, a pathologist  
37 examined the mouse organs, including liver, lungs and kidneys.  
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5 2.10. Statistical analysis  
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7 The results of the *in vitro* and *in vivo* experiments are presented as mean and standard  
8 deviation (mean  $\pm$  SD) or standard error (mean  $\pm$  SE), respectively. All study data were  
9 analyzed using analysis of variance (ANOVA), followed by Dunnett's test for pair-wise  
10 comparison. Statistical significance was defined as  $p < 0.05$  for all tests.  
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17 **3. Results**  
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19 This study has investigated the anticancer effect of aqueous leaf extracts of *T. sinensis*  
20 (0-75  $\mu\text{g/mL}$ ) and gallic acid (0-10  $\mu\text{g/mL}$ ) *in vitro* using HL-60 premyelocytic leukemia  
21 cell line or *in vivo* nude mice xenograft model. The crude TS extracts were prepared from  
22 fresh *T. sinensis* leaves, yielding 6% based on the initial weight of *T. sinensis* leaves and  
23 the total yield of gallic acid from the TS extracts was 6% (Yang et al., 2006a).  
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31 3.1. TS extracts induce  $G_1$  cell-cycle arrest in HL-60 cells  
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33 Flow cytometric analysis was used to obtain the profile of DNA content of the HL-60  
34 cells treated with TS extracts to measure the fluorescence of PI-DNA complex. HL-60  
35 cells with lower DNA staining relative to diploid analogs were considered apoptosis. A  
36 remarkable accumulation of subploid cells, the so-called sub- $G_1$  peak, was noted in those  
37 treated with TS extracts (75  $\mu\text{g/mL}$ ) for 0-18 h compared with the untreated group (Fig. 1).  
38 Furthermore, the stage at which growth inhibition was induced by TS extracts in the HL-  
39 60 cell-cycle progression was determined, from cellular distribution in the different phases  
40 of post treatment. Fig. 1 showed that exposure of cells to the TS extracts resulted in a time-  
41 dependent progressive and sustained accumulation of cells in the  $G_1$  phase. Furthermore,  
42 in response to TS extracts the percentage of cells in the  $G_1$  phase was gradually increased  
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3 whereas, those in the S and G<sub>2</sub>/M phases was significantly decreased (Fig. 1). We have  
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5 previously reported that TS extracts dose- and time-dependently inhibits the growth of HL-  
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7 60 cells (Yang et al., 2006a). Consistent with our previous report, the current findings also  
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9 suggest that TS extracts promote cell growth inhibition by inducing G<sub>1</sub> transition phase  
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11 arrest in HL-60 cells.  
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### 14 15 3.2. TS extracts down-regulate Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A 16 17 expression and up-regulates P27<sup>KIP</sup> expression

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19 To examine the molecular mechanism(s) that may underlying changes in cell-cycle  
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21 patterns, the effects of the TS extracts on various cyclins and cyclin-dependent kinases  
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23 (CDKs) involved in cell-cycle control of the HL-60 cells were investigated. Our  
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25 investigative approach was to treat the HL-60 cells with TS extracts (0-75 µg/mL) for 0-6  
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27 h. Dose and time-dependent reduction in cyclin D1, CDK4, cyclin E, CDK2, and cyclin A  
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29 expression were observed after treatment with TS extracts (Fig. 2). Moreover, the  
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31 experimental treatment did not appear to alter the amount of detectable cyclin B1 and  
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33 CDC2 protein expression in HL-60 cells (Fig. 2). We also examined the effect of TS  
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35 extracts on CDKs inhibitors including p27<sup>KIP</sup> and p15. As shown in Fig. 2, treatment of  
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37 HL-60 cells with TS extracts (0-75 µg/mL) for 0-6 h induced marked up-regulation of  
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39 p27<sup>KIP</sup> protein. However, we found there was no change in the detectable amount of p15  
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41 protein in HL-60 cells (Fig. 2). Taken together, TS extracts potentially arrest G<sub>1</sub>-S  
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43 transition phase as evidenced by down-regulation of cyclins and CDKs and enhanced  
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45 CDKs inhibitors.  
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### 48 49 3.3. Activation of Fas-associated apoptotic pathway by TS extracts

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51 To assess whether TS extracts (0-75 µg/mL for 0-6 h) promoted apoptosis *via* a death  
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3 receptor-associated pathway, the Fas and Fas ligand (FasL) protein levels in HL-60 cells  
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5 were determined by Western blotting. Results showed that TS extracts appreciably  
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7 stimulate the expression of Fas and FasL in a dose- and time-independent manner (Fig. 3).  
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9 It is well understood that induction of Fas and FasL cleaves caspase-8 from procaspase-8,  
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11 and the activated caspase-8 further stimulates caspase-3 *via* mitochondrial-dependent or –  
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13 independent cascade (Nagata, 1997). ~~Bear this in mind; further~~Therefore, we verified  
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15 whether TS extracts augment caspase-8 cleavage in HL-60 cells. Western blot results  
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17 showed that TS extracts dose-and time-dependently induced cleavage of caspase-8 from  
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19 the procaspase-8 (Fig. 3). In mitochondrial pathway of apoptosis, caspase-8 proteolytically  
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21 activates a pro-apoptotic protein Bid, which targets mitochondrial membrane  
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23 permeabilization and represents the mail link between extrinsic and intrinsic apoptotic  
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25 pathways (Eskes et al., 2000). Our results also showed that down-regulation of Bid  
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27 induced by TS extracts occurred in a dose- and time-independent manner (Fig. 3). In  
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29 addition, we observed TS extracts activates caspase-9, which was concomitant with our  
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31 previous report that TS extracts induced apoptosis through the release of cytochrome *c*  
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33 (Yang et al., 2006a). However, the signaling ~~cascade still in debate~~mechanism is poorly  
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35 understood. This data provided strong evidence that TS extracts-induced release of  
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37 cytochrome *c* further promotes apoptosome-mediated cleavage of caspase-9 from  
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39 procaspase-9. With reference to our previous report, we assured that TS extracts-induced  
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41 aberrant release of cytochrome *c* further amplified the cleavage of caspase-9 in HL-60  
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43 cells (Fig. 3).  
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#### 47 3.4. Effect of catalase on TS extracts-induced cell-cycle arrest and apoptosis in HL-60 48 cells. 49

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51 Our previous study demonstrated that catalase (H<sub>2</sub>O<sub>2</sub> scavenger) significantly decreased  
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3 *T. sinensis*-induced cytotoxicity, DNA fragmentation, and ROS generation in HL-60 cells  
4 (Yang et al., 2006a). Further to confirm this issue, in the present study we examined the  
5 ~~effects of~~ antioxidant catalase ~~on~~ could effect TS extracts-induced cell-cycle arrest (cyclin  
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7 D1, CDK4, cyclin E, CDK2, cyclin A, and p27<sup>KIP</sup>) and apoptosis (Fas/FasL, caspase-8,  
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9 Bid, and caspase-9) in HL-60 cells. Cells were simultaneously treated with TS extracts (75  
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11 µg/mL for 6 h) and catalase (10 U/mL) for indicated time period (Fig. 2 and 3). We found  
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13 that catalase treatment significantly reduced TS extracts-induced G<sub>1</sub> arrest in HL-60 cells  
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15 as evidenced by up-regulation of cell-cycle regulatory proteins including cyclin D1, CDK4,  
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17 cyclin E, CDK2, cyclin A, and inhibits p27<sup>KIP</sup>. Furthermore, catalase treatment markedly  
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19 down-regulates death signaling cascades and pro-apoptotic proteins Fas, FasL, caspase-8,  
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21 Bid, and caspase-9 in HL-60 cells (Fig. 2 and 3). These results also provided a positive  
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23 mechanism that TS extracts-induced HL-60 cell-cycle arrest (G<sub>1</sub>) and apoptosis was  
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25 associated with the production of intracellular ROS, especially H<sub>2</sub>O<sub>2</sub>.  
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### 31 3.5. *TS extracts induce down-regulation of VEGF in HL-60 cells*

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33 A number of studies have shown that VEGF is one the most important angiogenic factor  
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35 closely associated with neovascularization in human tumors. Western blotting and ELISA  
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37 assay were used to analyze the effects of TS extracts on the expression and release of  
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39 angiogenic-related protein VEGF in HL-60 cells. As shown in Fig. 4A, treatment of HL-60  
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41 cells with TS extracts dose-dependently inhibits the expression of VEGF. In addition,  
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43 control cells (without treatment) released detectable levels of VEGF into the serum-free  
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45 culture media at approximately 27 pg/10<sup>5</sup> cells (Fig. 4B). A concomitant with protein level,  
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47 TS extracts significantly inhibits VEGF release into culture media in a dose-dependent  
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49 manner (Fig. 4B).  
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3 *3.6. Effect of TS extracts on tumor growth in HL-60 xenograft nude mice.*

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5 Nude mice were used to evaluate the *in vivo* effect of TS extracts on tumor growth. HL-  
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7 60 cells were xenograft into nude mice as described in materials and methods. All the  
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9 animals appeared healthy with no loss of body weight noted during treatment with TS  
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11 extracts (Fig. 5A). In addition, no signs of toxicity were observed (data not shown) in any  
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13 of the nude mice. The time course for HL-60 xenograft growth with TS extracts (7.5 and  
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15 10.0 mg/kg) or without treatment (control) is shown in Fig. 5B. Evaluation of tumor  
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17 volume showed significant growth inhibition associated with TS extracts treatment (Fig.  
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19 5B). At the end of 21 days, the HL-60 xenograft tumor of each mouse was excised from  
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21 each sacrificed animal and weighed. Tumor weight in the TS extracts-treated (7.5 and 10.0  
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23 mg/kg) mice was inhibited as compared with the control group (Fig. 6A and 6B). In  
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25 addition, abundant mitosis in nuclei was observed in xenograft tumor section, indicating  
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27 the proliferating activity, with well differentiation of tumor cells were also noticed (Fig.  
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29 7A). While decreased mitotic figures shrunken tumor cells were noted in the 7.5 mg/kg TS  
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31 extracts treated animals (Fig. 7B), and tumor cells became smaller and shrunken,  
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33 indicating the regression of tumor cells, in the 10 mg/kg TS extracts treated animals (Fig.  
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35 7C). These *in vivo* data also strongly suggest that TS extracts exerted antitumor activity in  
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37 HL-60 leukemia xenograft nude mice could be due to the modulation of cell-cycle  
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39 regulation and/or induction of apoptosis.  
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43 *3.7. Gallic acid causes G<sub>1</sub> arrest and regulates cell-cycle regulatory proteins in HL-60*  
44  
45 *cells.*

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47 Previously we reported that treatment of the HL-60 cells with gallic acid (5-10 µg/mL),  
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49 purified from TS extracts, resulted in sequences of events marked by apoptosis in the HL-  
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51 60 cells was accompanied by loss of cell viability, ROS generation, internucleosomal DNA  
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3 fragmentation, cytochrome *c* release, activation of caspase-3, degradation of poly(ADP-  
4 ribose) polymerase (PARP), and dysregulation of Bax/Bcl-2 (Yang et al., 2006a). Our  
5 present study also showed that TS extracts appreciably inhibits tumor progression through  
6 cell-cycle arrest at G<sub>1</sub> phase. Therefore, further we intended to investigate the effect of  
7 gallic acid (5-10 µg/mL), on cell-cycle control in HL-60 cells. The profile of the DNA  
8 content in gallic acid-treated HL-60 cells (5 µg/mL for 6-18 h) was obtained using flow  
9 cytometric analysis. Fig. 8A showed that gallic acid exposure resulted in progressive and  
10 sustained accumulation of cells in G<sub>1</sub> phase. Furthermore, the percentage of G<sub>1</sub> phase cells  
11 increased, while those in the S and G<sub>2</sub>/M phase decreased after treatment with gallic acid  
12 (Fig. 8A). Notably, there was a remarkable accumulation of sub-G<sub>1</sub> peak in gallic acid-  
13 treated HL-60 cells (5 µg/ml for 6-18 h) compared with the untreated group (Fig. 8A). Our  
14 findings suggest that gallic acid also promotes cell growth inhibition by inducing G<sub>1</sub> phase  
15 arrest in human leukemia cells.

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29 In order to examine the molecular mechanism(s) and underlying changes in cell-cycle  
30 patterns caused by gallic acid treatment, we investigated the effects of various cyclins and  
31 CDKs involved in cell-cycle regulation in HL-60 cells. Cells were treated with gallic acid  
32 (5-10 µg/mL) for 0-6 h. Dose-dependent reductions of cyclin D1, CDK4, cyclin E, CDK2,  
33 and cyclin A, and induction of p27<sup>KIP</sup> expression were observed (Fig. 8B). Notably, gallic  
34 acid treatment significantly inhibits the expression of cyclin D1, cyclin E, CDK2 and 4,  
35 which are critically required for G<sub>1</sub>-S transition phase. Therefore, we believed that the  
36 gallic acid-induced G<sub>1</sub> cell-cycle arrest is mediated by the inhibition of cyclin D1, cyclin E,  
37 and CDK2 and 4. However, the experimental treatment did not appear to alter the amount  
38 of detectable cyclin B1, CDC2, and p15 protein levels, which was concomitant with TS  
39 extracts treatment (Fig. 8B).  
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3 **4. Discussion**

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5 Differential regulation of the cell-cycle, and subsequent events leading to apoptotic cell  
6 death, account for the anticancer effect of some potential phytochemicals (Sporn and Suh,  
7 2002). Several studies have demonstrated anticancer potential for extracts from a number  
8 of herbal medicines or mixtures *in vitro* or *in vivo*. Herbal medicine is one of the ancient  
9 forms of health care known to humankind and it has been used in most cultures throughout  
10 history. Typically, herbal medicines emphasize the use of whole extracts from a plant mix  
11 or from complex formulations (Sporn and Nuh, 2002). Our previous study has  
12 demonstrated that TS extracts induce apoptotic cell death in cultured human premyelocytic  
13 leukemia HL-60 cells (Yang et al., 2006a). The present investigation also a parallel study  
14 showing the effect of TS extracts an *in vivo* human tumor xenograft in nude mice as well  
15 as *in vitro* cell culture models involving HL-60 cells. Summary of our data suggests that  
16 TS extracts treatment could be effective in suppressing the proliferation of HL-60 cells as  
17 shown by growth inhibition, cell-cycle arrest, and apoptotic induction *in vivo* and *in vitro*.  
18 Investigation has shown the nontoxic characteristics of *T. sinensis* [oral administration of *T.*  
19 *sinensis* (1000 mg/kg/day) for 28 days in rats], which increases its potential for application  
20 in food and drug products (Liao et al., 2007). Furthermore, *in vivo* toxicity was also  
21 examined superficially from body weight changes and histological studies of vital organs  
22 (data not shown). There appeared to be no sign of significant toxicity at TS extracts  
23 exposures up to the concentration of 10 mg/kg. This likely indicates that there are no side  
24 effects at these doses. Future studies should test whether there is an optimal/effective dose  
25 for TS extracts exposure.

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Disturbance of the cancer cell-cycle is one of the therapeutic targets in the development  
of new anticancer drugs. The results of cell-cycle analysis in the present study showed that  
TS extracts/gallic acid treatment had a profound effect on cell-cycle control, with the

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3 premyelocytic leukemia cells accumulating in G<sub>1</sub> phase. Progression through the first gap  
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5 phase (G<sub>1</sub>) requires both cyclin D-dependent CDK4/CDK6 and CDK2/cyclin E  
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7 holoenzymes (Takahashi et al., 1999; Youn et al., 2008). The CDK catalytic subunits CDK  
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9 4 and CDK 2, and their regulatory subunits, cyclin D1 and cyclin E, are believed to be a  
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11 crucial event in the regulation of S-phase entry, which appears to define the restriction  
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13 point in the late G<sub>1</sub> phase. Cyclin D expression is frequently deregulated in human  
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15 neoplasias, and agents that can down-regulate cyclin D expression may be helpful in both  
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17 their prevention and treatment (Sausville et al., 2000). Further, it has been found that  
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19 cyclin E, which is one of the key cell-cycle regulators, is over-expressed in primary  
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21 carcinoma tissue (Wang et al., 1994). Cyclin A is particularly interesting among the cyclin  
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23 family because it can activate two different types of CDKs and function in both S-phase  
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25 and mitosis. Cyclin A associated protein kinase activity is critically required for G<sub>1</sub> to S-  
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27 phase transition and further entry into M-phase (Johnson and Walker, 1999). The results  
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29 imply that the expression of cyclin D1, CDK4, cyclin E, Cyclin A, and CDK2 are down-  
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31 regulated by TS extracts, which corroborates the G<sub>1</sub> block in HL-60 cells. It has been  
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33 shown that impairment of a growth stimulation-signaling pathway induces the expression  
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35 of CDK inhibitor, which binds to and subsequently inhibits cyclin-CDK activity (Sandal et  
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37 al., 2002). Our results suggest that inducing p27<sup>KIP</sup> expression *via* treatment with TS  
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39 extracts/gallic acid may account for a large part of the reduction in CDK activity and,  
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41 subsequently, block cell-cycle progression. Our study has also demonstrated that there  
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43 were no significant differences in the expression of cyclin B1 and CDC2 after treatment  
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45 with the TS extracts and gallic acid. The evidence suggests that the complex formed by the  
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47 association of cyclin B1 and CDC2 plays a major role at entry into mitosis (Kuo et al.,  
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49 2006). These results suggest that the observed inhibition of proliferation in HL-60 cells  
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51 associated with the *T. sinensis* treatment could be the result of cell-cycle arrest during the  
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3 G<sub>1</sub> phase.

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5 Investigations have shown that apoptosis is controlled by both mitochondrial and  
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7 membrane death receptor pathways. The extrinsic pathway is initiated by the binding of  
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9 transmembrane death receptors, including Fas, FasL, TNFR1, and TRAIL receptors with  
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11 cognate extracellular ligands (Reed, 2000). Ligand receptors recruit adaptor proteins such  
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13 as TRADD and FADD which interact with and trigger the activation of caspase-8.  
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15 Activated caspase-8 further cleaves or activates downstream effector caspases, such as  
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17 caspase-3 (Reed, 2009). The present study indicates that TS extracts-induced apoptosis is  
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19 associated with up-regulation of Fas and FasL, caspase-8 activation, and down-regulation  
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21 of Bid in HL-60 cells. Our previous investigation has been demonstrated that treatment of  
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23 HL-60 cells with TS extracts can induce apoptosis *via* a mitochondrial pathway that is  
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25 associated with loss of cell viability, internucleosomal DNA fragmentation, cytochrome *c*  
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27 translocation, caspase-3 activation, poly ADP-ribose polymerase (PARP) degradation, and  
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29 Bcl-2 and Bax dysregulation (Yang et al., 2006a). However, the activation of caspase-9 by  
30  
31 TS extracts was still in debate. Caspase-9 is a crucial factor for activation of caspase-3,  
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33 which cleave several cellular targets including poly ADP ribose polymerase (Reed, 2009).  
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35 The current data filled the gape that TS extracts markedly activates caspase-9 from  
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37 procaspase-9 followed by caspase-3 activation. Analysis of our data suggests that TS  
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39 extracts-induced apoptosis is controlled by both a mitochondrial and a membrane DR  
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41 pathway.

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43 Our previous report demonstrated that catalase (H<sub>2</sub>O<sub>2</sub> scavenger) significantly decreases  
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45 TS extracts-induced cytotoxicity, DNA fragmentation, and ROS production in HL-60 cells  
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47 (Yang et al., 2006a). The present investigation further confirmed that catalase significantly  
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49 reduced TS extracts-induced cell-cycle arrest (cyclin D1, CDK4, cyclin E, CDK2, cyclin A,  
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51 pRb, and p27<sup>KIP</sup>) and apoptosis (Fas, FasL, caspase-8, Bid, and caspase-9) in HL-60 cells.  
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3 Analysis of our data suggesting TS extracts-induced HL-60 cell-cycle arrest and apoptosis  
4 could be due to the intracellular ROS generation, especially H<sub>2</sub>O<sub>2</sub>. Other workers have  
5 shown that gallic acid-induced intracellular ROS, especially H<sub>2</sub>O<sub>2</sub>, play an important role  
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7 in eliciting an early signal of apoptosis (Sakaguchi et al., 1998; Inoue et al., 2000), and that  
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9 catalase significantly reduces gallic acid-induced apoptotic cell death (Yang et al., 2006a;  
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11 Isuzugawa et al., 2001). In addition, recent studies appear to support the notion that TS  
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13 extracts may possess protective antioxidant properties (Cho et al., 2003; Hsieh et al., 2004;  
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15 Hseu et al., 2008a). Several researchers have shown that antioxidants produce genetic  
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17 changes that cause apoptosis in cancer cells by mechanisms other than antioxidant effect  
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19 (Yang et al., 2006b). Thus, TS extracts might serve as a mediator for the reactive oxygen-  
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21 scavenging system and potentially act as both a pro-oxidant and an antioxidant, depending  
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23 on the redox state of the biological environment. However, the detailed mechanisms of the  
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25 chemotherapeutic action of *T. sinensis* are unknown, and further investigations are needed.  
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29 Angiogenesis is tightly regulated by an intricate balance between stimulators and  
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31 inhibitors. Among them, VEGF, a soluble angiogenic factor produced by many tumors as  
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33 well as normal cell lines, plays a key role in regulating normal and pathologic  
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35 angiogenesis (Tonini et al., 2003; Hseu et al., 2011b). A previous report clearly evidenced  
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37 that increased angiogenesis in bone marrow region from patients with acute myeloid  
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39 leukemia (Hussong et al., 2000). These observations also suggest that the increased  
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41 anangiogenesis is critically mediated by VEGF expression, which play crucial role for the  
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43 further onset of tumor progression. Therefore, the therapeutic strategies have been  
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45 developed for acute myeloid leukemia also targets anti-angiogenic processes, with  
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47 promising results, because of the critical dependence of tumor growth and metastasis on  
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49 angiogenesis. It is noteworthy that TS extracts significantly down-regulates both VEGF  
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51 expression and release in HL-60 cells. A similar pattern of results were found in our  
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3 previous study that TS extracts potentially inhibits VEGF-induced angiogenesis in  
4 vascular endothelial cells (Hseu et al., 2011a). Taken together, the inhibitory effect of TS  
5 extracts on VEGF activity or angiogenesis in leukemia or endothelial cells are strong  
6 evidence for development of anti-cancer/anti-angiogenic drug from this vital source.  
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11 Furthermore, tumor inhibition was observed after treatment with TS extracts in the nude  
12 mice xenograft model in this study. Both incidence and mean tumor volume and weight  
13 were significantly reduced by TS extracts. Experiments using animals and circulating  
14 blasts from leukemia patients have yielded evidence that apoptosis also occurs in response  
15 to chemotherapy *in vivo*. Human acute-leukemia cell lines (HL-60 cells) have proven  
16 particularly informative in study of chemotherapy-associated apoptotic proteolytic events  
17 (Hseu et al., 2004). Moreover, in this study the *in vivo* toxicity of TS extracts was also  
18 examined superficially from body weight change and histological study of vital organs  
19 (data not shown), with no apparent signs of significant negative effects at exposures of 7.5  
20 and 10 mg/kg. Analysis of our data suggests that TS extracts exert anti-proliferative action  
21 and growth inhibition on HL-60 cells *in vitro* or *in vivo*.  
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33 Natural products, including plants, provide rich resources for anticancer drug discovery.  
34 In our previous study, a number of compounds, including gallic acid, methyl gallate, ethyl  
35 gallate, kaempferol, kaempferol-3-O- $\beta$ -D-glucoside, quercetin, quercitrin, quercetin-3-O-  
36  $\beta$ -D-glucoside, and rutin, was isolated from the leaves of *T. sinensis*; identity of the  
37 compounds was determined by HPLC and based on the analogous information reported in  
38 the literature (Yang et al., 2006a; Hsu et al., 2003). The total phenolic content of the TS  
39 extract was estimated to be  $130 \pm 26$  mg of gallic acid equivalent/g of plant extracts (Yang  
40 et al., 2006a). The yield of gallic acid, the natural phenolic component purified from TS  
41 extracts, was about 6%. Although it remains unclear which of the components of *T.*  
42 *sinensis* are active compounds, gallic acid has received increased attention recently  
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3 because of some interesting new findings regarding its biological activities (Chen et al.,  
4 2009). Gallic acid is widely distributed in various plants and fruits, such as gallnuts, sumac,  
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6 oak bark, green tea, apple peels, grapes, strawberries, pineapples, bananas, lemons, and in  
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8 red and white wine (You et al., 2010). Even though the therapeutic utility of gallic acid in  
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10 this regard is unknown, its common occurrence in fruits and food as well as its small  
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12 molecular weight (170 Da) might be an advantage in terms of safety and dosing design.  
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14 Studies have demonstrated that gallic acid selectively induces cancer cell death by  
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16 apoptosis; however, gallic acid shows no cytotoxicity against normal cells (Yang et al.,  
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18 2006a). Other workers have shown that gallic acid causes inactivating phosphorylation of  
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20 CDC25A/CDC25C-CDC2, leading to cell-cycle arrest, and apoptosis induction in human  
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22 prostate carcinoma DU 145 cells (Agarwal et al., 2007). Raina et al (2008) revealed that  
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24 gallic acid treatment remarkably decreased human prostate cancer cell xenografted tumor  
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26 incidence in mice. Therefore, gallic acid may be a useful phytochemical for cancer  
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28 chemoprevention (Surh, 2003). These results corroborate other studies which have  
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30 implicated that gallic acid is the main constituent responsible for the antiproliferative  
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32 activity (Chen et al., 2009). These results imply that gallic acid as one of the major active  
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34 constituents responsible for the antitumor activities of *T. sinensis* leaf extracts. Moreover,  
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36 in future we have planned to investigate antitumor effect of other bioactive compounds  
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38 isolated from the aqueous leaf extracts of *T. sinensis*.  
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42 The results obtained *in vitro* and *in vivo* in this study imply that *T. sinensis* could act as a  
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44 chemopreventive agent with respect to inhibition of the growth of human leukemia HL-60  
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46 cells through the induction of cell-cycle arrest and apoptosis. We also believed that the  
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48 antitumor activity of *T. sinensis* may be the abundance of gallic acid. These data provide  
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50 an important step that might help model the effects of *T. sinensis* for potential future  
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52 studies with animal models and human patients, and thereby facilitate the development of  
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7 **Conflict of interest statement**

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9 The authors have no conflict of interest to declare.  
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13 **Acknowledgments**

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4 **Figure legends**  
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6 **Fig. 1.** Effects of TS extracts on cell-cycle distribution in HL-60 cells. Cells were treated  
7 with 75 µg/mL of TS extracts for 0-18 h, stained with PI, and analyzed by flow cytometry  
8 for sub-G<sub>1</sub> and cell-cycle. Distribution (as percentage) of cells in the different phases of the  
9 cell-cycle (sub-G<sub>1</sub>, G<sub>1</sub>, S, and G<sub>2</sub>/M) after treatment is shown. Apoptotic nuclei were  
10 identified as a subdiploid DNA peak, and were distinguished from cell debris on the basis of  
11 forward light scatter and PI fluorescence. Representative flow cytometry patterns are also  
12 presented. Results are presented as mean±SD (n=3). \*: indicates significant difference in  
13 comparison to control group ( $p<0.05$ ).  
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24 **Fig. 2.** Western blot analysis of cyclin D1, CDK4, cyclin E, CDK2, cyclin A, p27<sup>KIP</sup>,  
25 cyclin B, CDC2, and p15 protein levels in HL-60 cells after exposure to TS extracts. Cells  
26 were treated with 75 µg/mL of TS extracts for 2, 4, and 6 h, and with 0, 10, 25, 50, and 75  
27 µg/mL of TS extracts for 6 h plus catalase (10 U/mL) as indicated. Protein (50 µg) from  
28 each sample was resolved on 10-12% SDS-PAGE, and western blot performed. β-actin  
29 was used as a control. Relative changes in protein bands were measured using  
30 densitometric analysis. Typical result from three independent experiments is shown.  
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41 **Fig. 3.** Western blot analysis of Fas, FasL, caspase-8, Bid, and caspase-9 protein levels in  
42 HL-60 cells after exposure to TS extracts. Cells were treated with 75 µg/mL of TS extracts  
43 for 2, 4 and 6 h, and with 0, 10, 25, 50, and 75 µg/mL of TS extracts for 6 h plus catalase  
44 (10 U/mL) as indicated. Protein (50 µg) from each sample was resolved on 12% SDS-  
45 PAGE, and western blot performed. β-actin was used as a control. Relative changes in  
46 protein bands were measured using densitometric analysis. Typical result from three  
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7 **Fig. 4.** TS extracts mediated down-regulation of VEGF. (A) Western blot analysis of the  
8 protein levels of VEGF in HL-60 cells after exposure to TS extracts (0-75 µg/mL) for 6 h.  
9 Proteins (50 µg) from each sample were resolved on 8-15% SDS-PAGE. β-actin was used  
10 as a loading control. Relative changes in protein bands were measured using densitometric  
11 analysis with the control being 100% as shown just below the gel data. Typical results  
12 from three independent experiments are shown. (B) ELISA assay of VEGF release in HL-  
13 60 cells after exposure to TS extracts (0-75 µg/mL) for 6 h. Concentration of VEGF  
14 released into the medium was determined by ELISA. Results are presented as mean ± SD  
15 of three assays. \*Significant difference in comparison to the control group ( $p<0.05$ ).  
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28 **Fig. 5.** Time-course effect of TS extracts on the growth of HL-60 xenograft was evaluated  
29 from measurements of body weight (A) and tumor volume (B) every 3 days. HL-60 cells  
30 were implanted subcutaneously into the flanks of nude mice on day 0, the animals were  
31 then treated with TS extracts (7.5 and 10.0 mg/kg/every two days) or without (as a control)  
32 as described in Materials and Methods. Results are presented as mean±SE (n=6). \*:   
33 indicates significant difference in comparison to control group ( $p<0.05$ ).  
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43 **Fig. 6.** *In vivo* inhibition of HL-60 xenograft tumors by TS extracts. Nude mice were  
44 treated with TS extracts (7.5 and 10.0 mg/kg/every two days) or without (control). On the  
45 21th day after tumor implantation, the animals were sacrificed and the tumors removed (A)  
46 and weighed (B). Results are presented as mean±SE (n=6). \*: indicates significant  
47 difference in comparison to control group ( $p<0.05$ ).  
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3 **Fig. 7.** Histopathological findings of tumor cells in HL-60 xenograft tumors. The control  
4 HL-60 xenograft tumors and the HL-60 xenograft tumors after TS extract (7.5 and 10.0  
5 mg/kg/every two days) treatments were sectioned, stained with hematoxylin and eosin, and  
6 examined with light microscopy (400×). Arrows indicate the mitotic nuclei in tumor cells  
7 (control), and TS extracts-induced (7.5 and 10.0 mg/kg) shrunken tumor cells. Typical  
8 results from three independent experiments are shown.  
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17 **Fig. 8.** (A) Effects of gallic acid on cell-cycle distribution in HL-60 cells. Cells were  
18 treated with 5 µg/mL of gallic acid for 0-18 h, stained with PI, and analyzed by flow  
19 cytometry for sub-G<sub>1</sub> and cell-cycle. Distribution (as percentage) of cells in the different  
20 phases of the cell-cycle (sub-G<sub>1</sub>, G<sub>1</sub>, S, and G<sub>2</sub>/M) after treatment is shown. Representative  
21 flow cytometry patterns are also presented. Results are presented as mean±SD (n=3). \*:  
22 indicates significant difference in comparison to control group ( $p<0.05$ ). (B) Western blot  
23 analysis of cyclin D1, CDK4, cyclin E, CDK2, cyclin A, p27<sup>KIP</sup>, cyclin B, CDC2, and p15  
24 protein levels in HL-60 cells after exposure to TS extracts. Cells were treated with 5  
25 µg/mL of gallic acid for 2, 4, and 6 h, and with 0, 5, and 10 µg/mL of gallic acid for 6 h.  
26 Protein (50 µg) from each sample was resolved on 12-15% SDS-PAGE, and western blot  
27 performed. β-actin was used as a control. Relative changes in protein bands were measured  
28 using densitometric analysis. Typical results from three independent experiments are  
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Figure 1

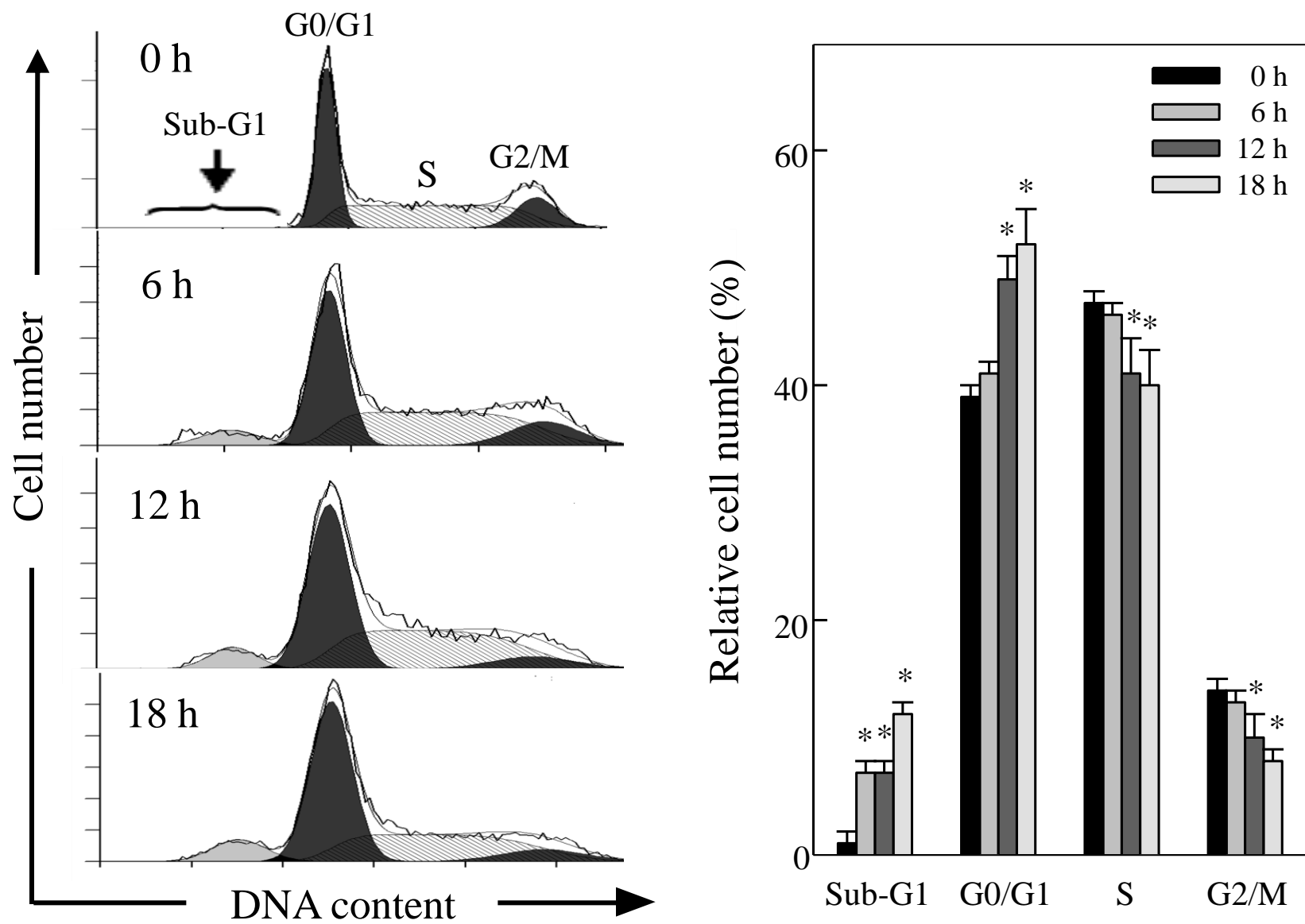


Fig. 1.

Figure 2

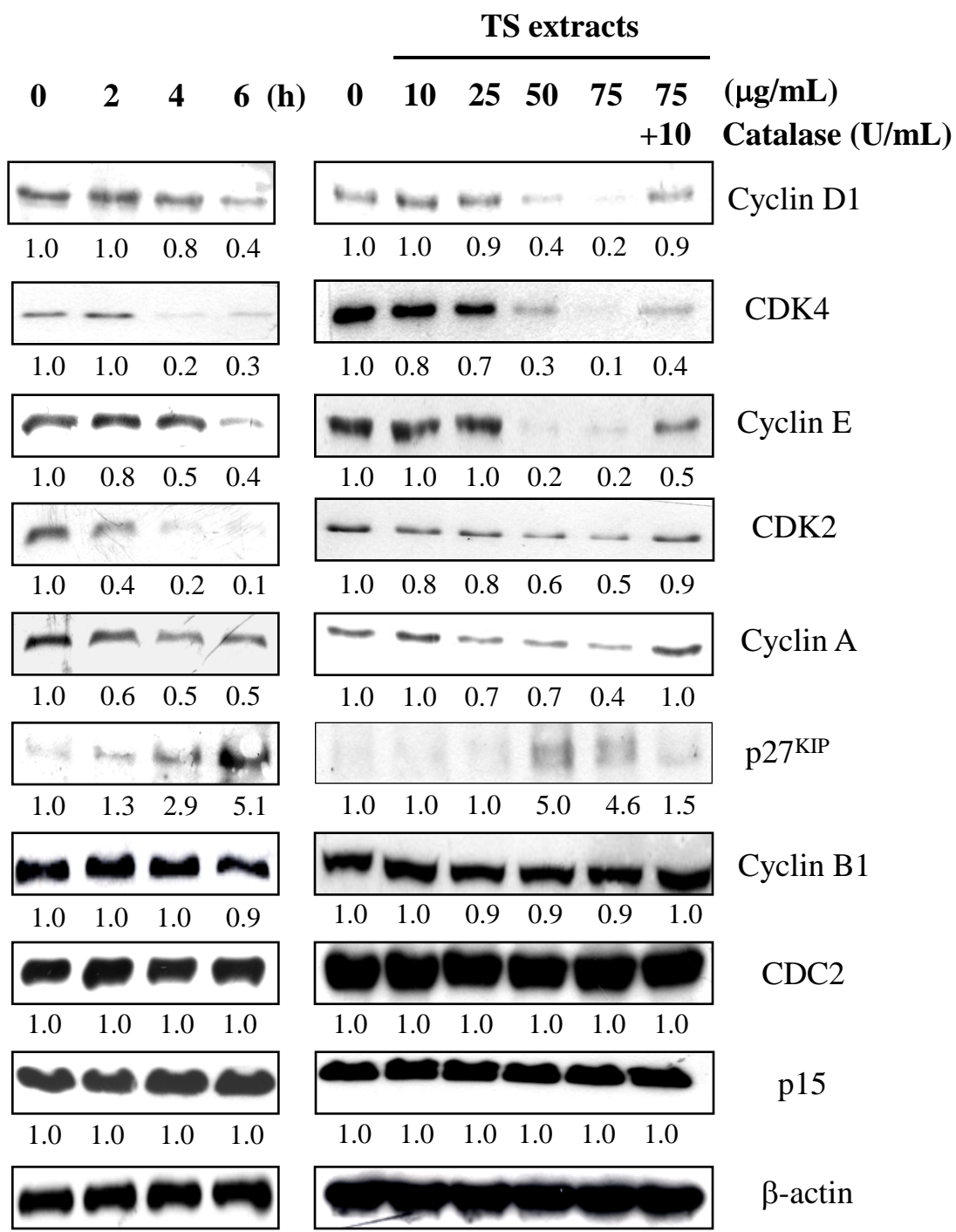


Fig. 2

Figure 3

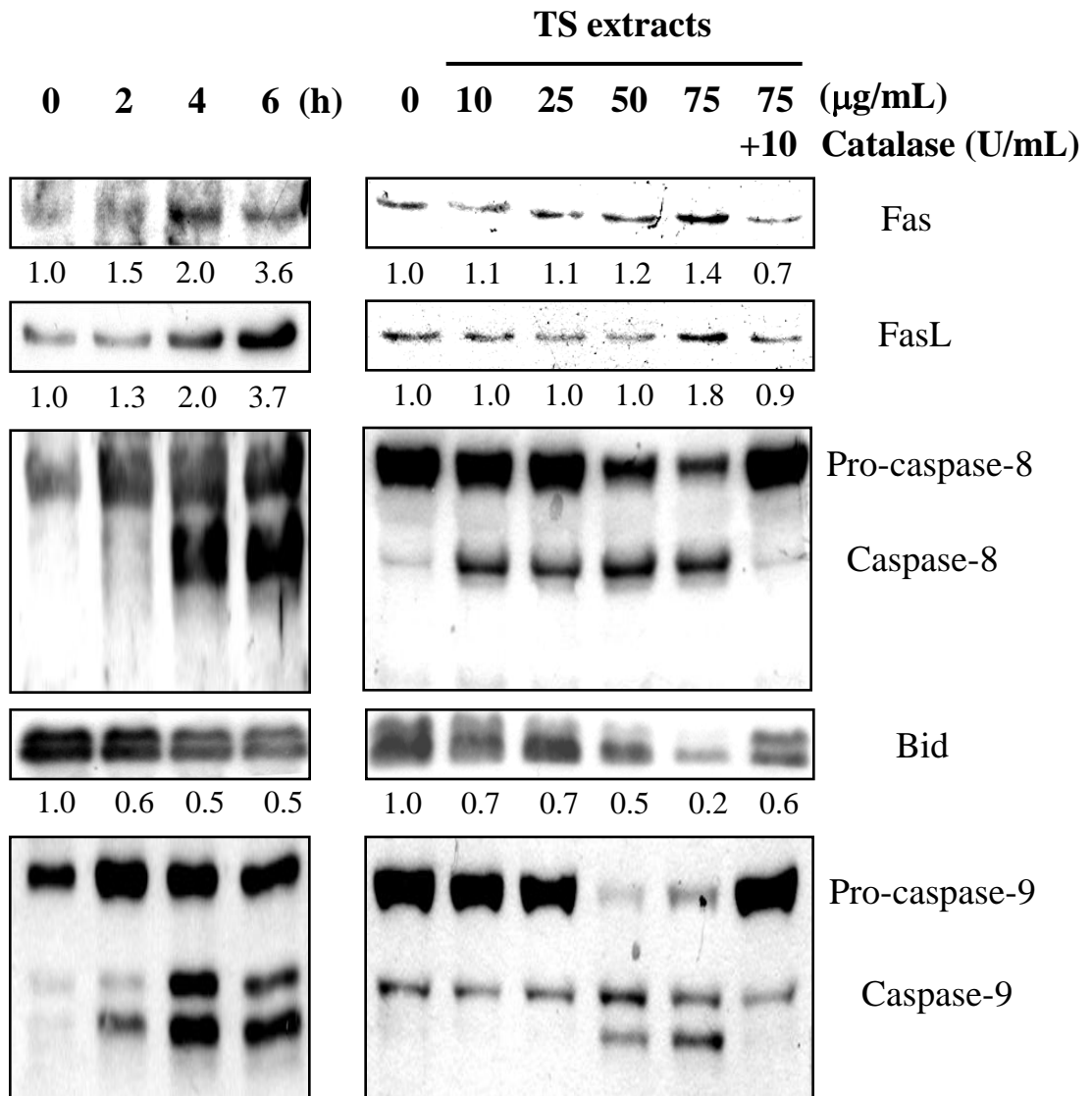


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Figure 4

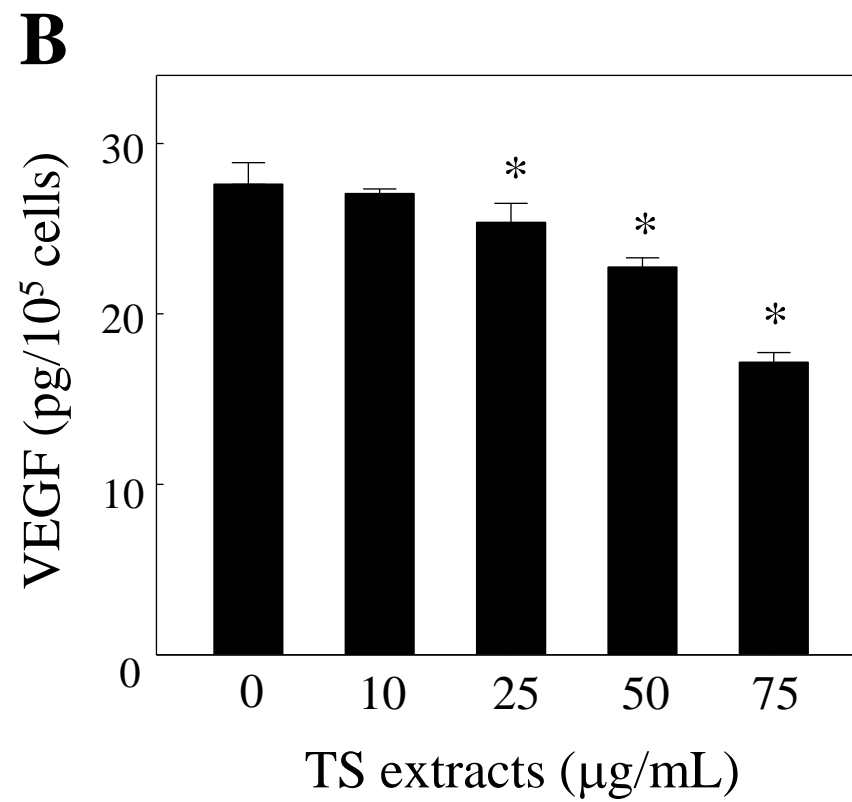
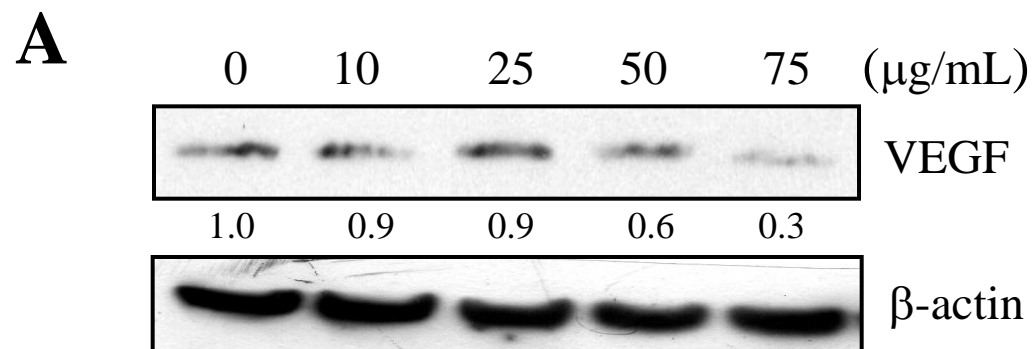
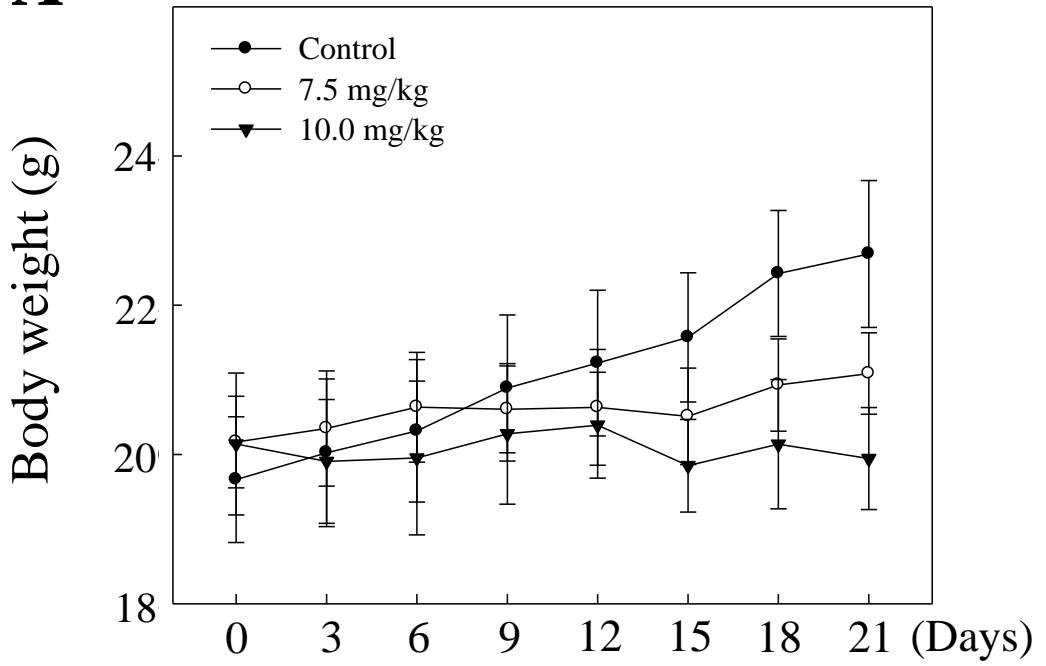


Fig. 4.

**A**



**B**

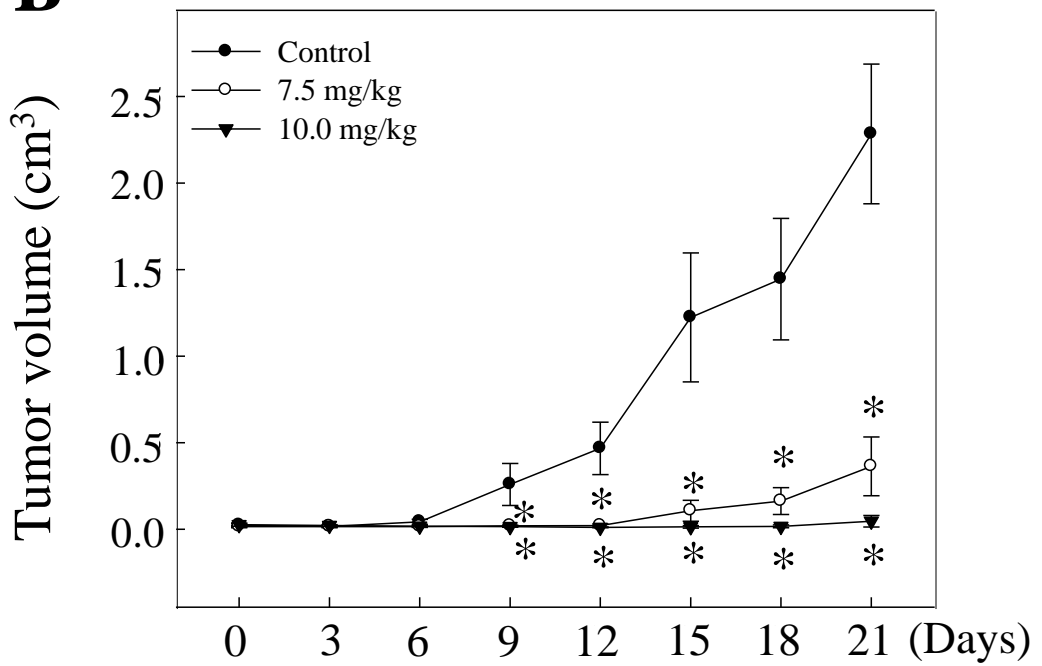
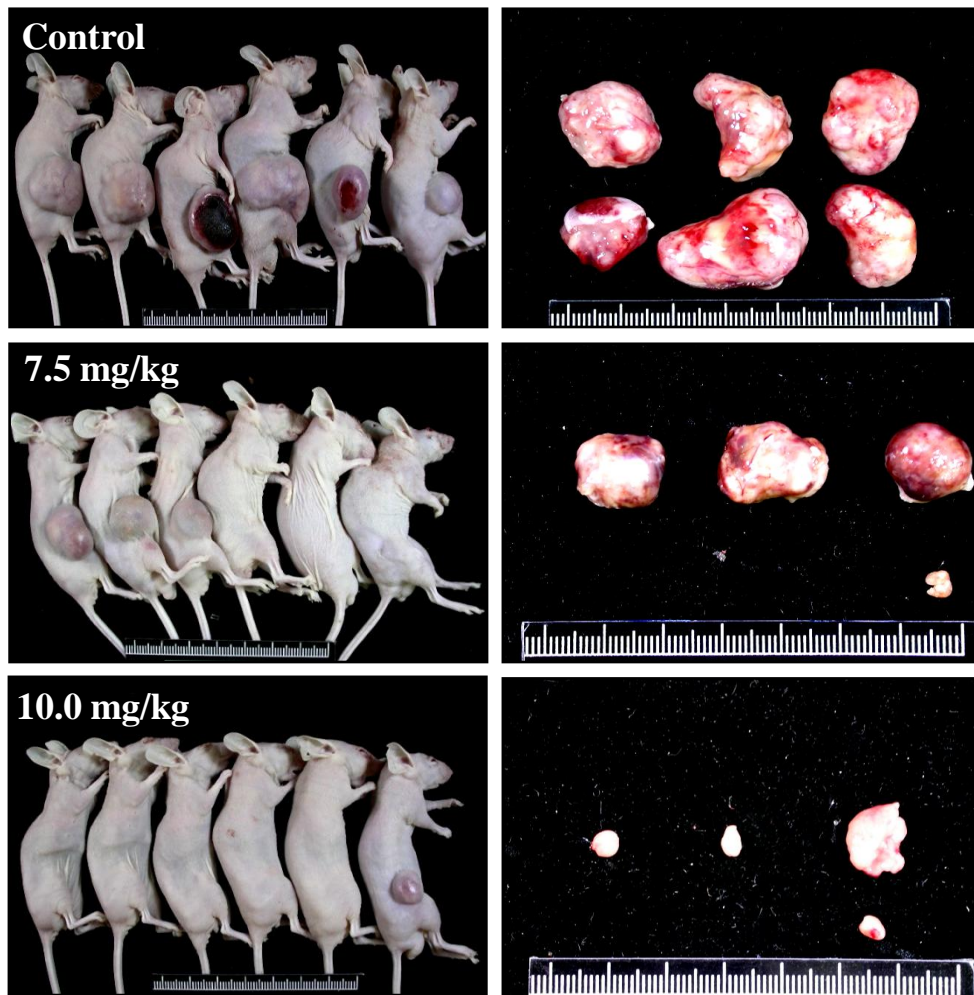


Fig. 5.

Figure 6

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**B**

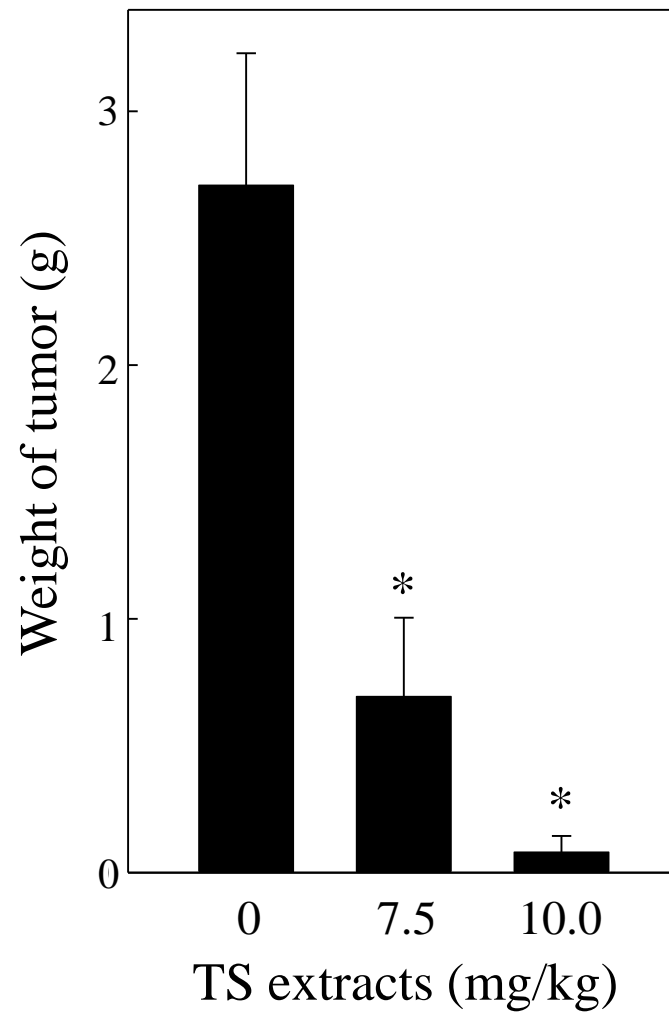


Fig. 6.



Figure 7

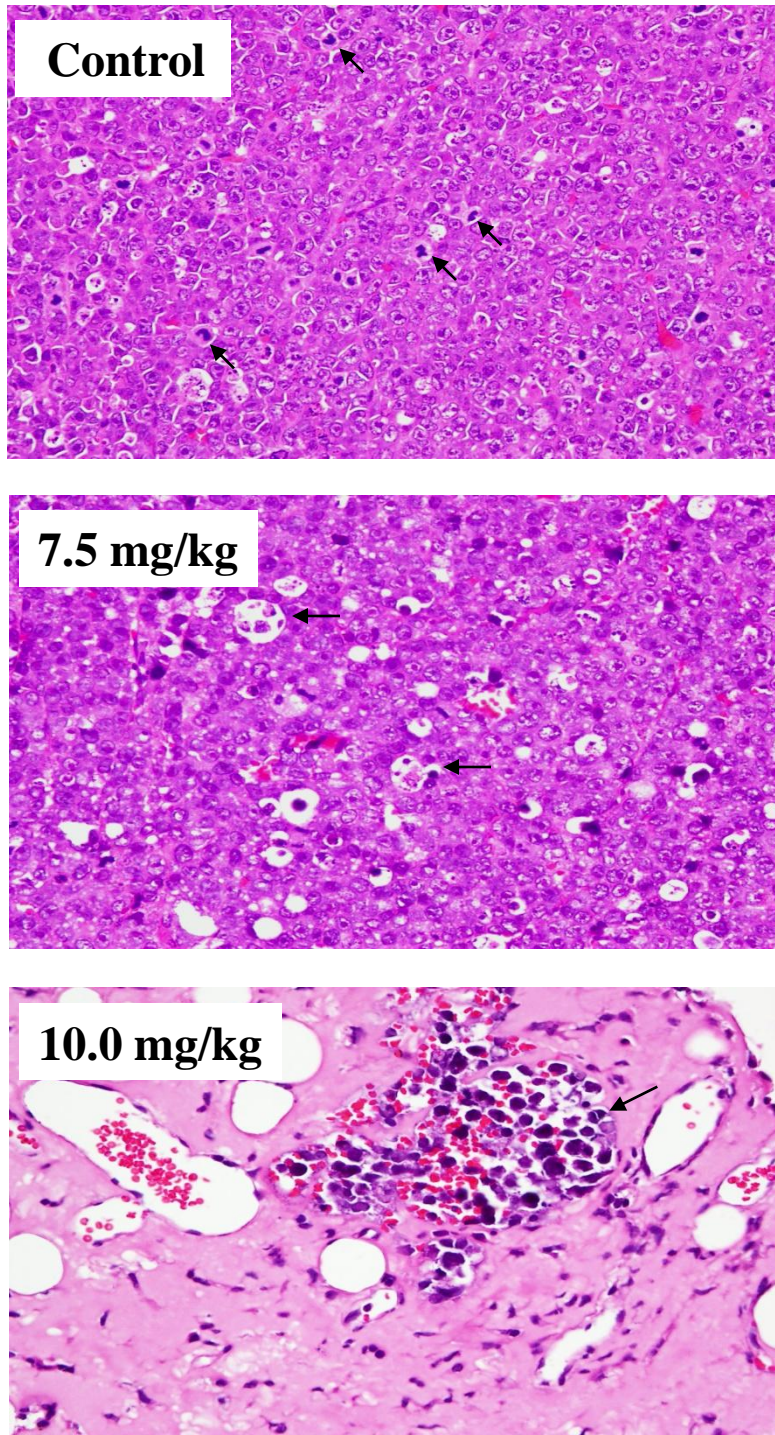


Fig. 7.

Figure 8

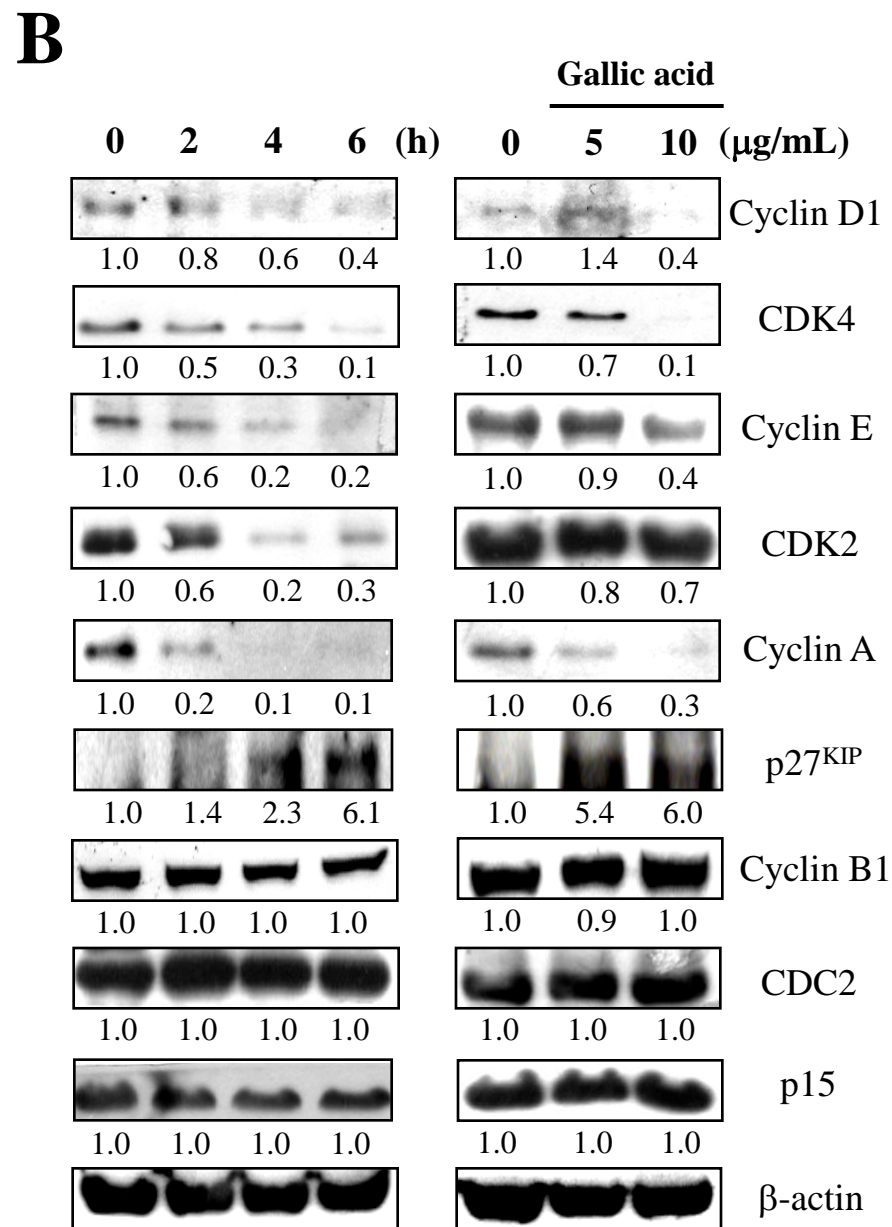
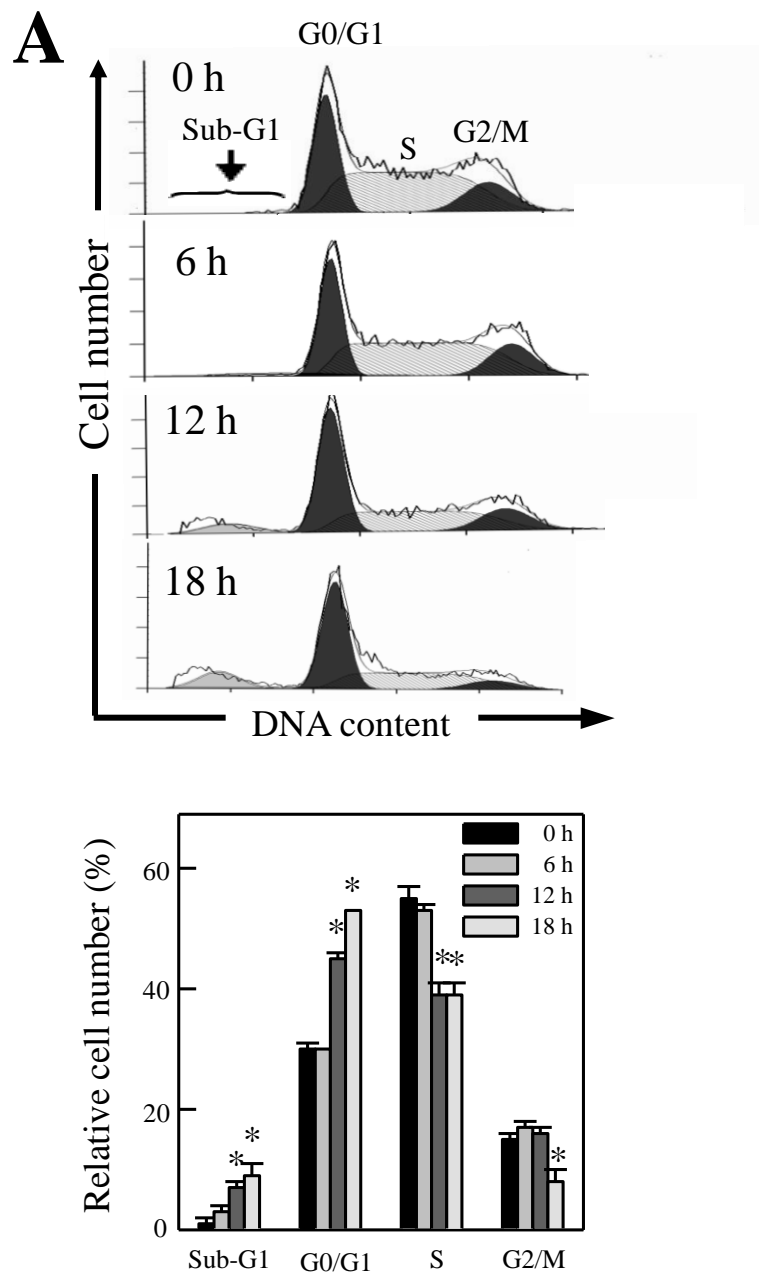


Fig. 8

## ABSTRACT

*Toona sinensis* is one of the most popular vegetarian cuisines in Taiwan and it has been shown to induce apoptosis in cultured human premyelocytic leukemia (HL-60) cells. In the present study, we examined the effects of *Toona sinensis* leaf extracts (TS extracts) on tumor regression using *in vitro* cell culture and an *in vivo* athymic nude mice model. We found that TS extracts (10-75  $\mu\text{g}/\text{mL}$ ) arrested HL-60 cells at the G<sub>1</sub>-S transition phase through the reductions of Cyclin D1, CDK4, Cyclin E, CDK2, and Cyclin A, and induction of CDK inhibitor p27<sup>KIP</sup> levels. Furthermore, VEGF expression and release was significantly inhibited by TS extracts. Notably, TS extracts treatment was effective in terms of delaying tumor incidence in the nude mice inoculated with HL-60 cells as well as reducing the tumor burden. Histological analysis confirmed that TS extracts significantly modulated tumor progression in xenograft tumor. Furthermore, a similar pattern of results were observed from gallic acid (5 and 10  $\mu\text{g}/\text{mL}$ ), a major compound in TS, caused G<sub>1</sub> arrest through regulations of cell-cycle regulatory proteins. Our data suggest that *Toona sinensis* exerts antiproliferative effects on HL-60 cells *in vitro* and *in vivo* due mainly to the presence of gallic acid.