1 Acquisition of an Enhanced Aggressive Phenotype in H1299 Human Lung Cancer Cells 2 Selected by Suboptimal Doses of Cisplatin Following Cell Deattachment and 3 Reattachment Jeng-Long Hsieh<sup>a,1</sup>, Chia-Sing Lu<sup>b,1</sup>, Chin-Ling Hwang<sup>b</sup>, Gia-Shing Shieh<sup>c</sup>, Bing-Hua Su<sup>d</sup>, 4 Yu-Chu Su<sup>b</sup>, Che-Hsin Lee<sup>e</sup>, Meng-Ya Chang<sup>f</sup>, Chao-Liang Wu<sup>b,\*</sup> and Ai-Li Shiau<sup>d,\*</sup> 5 <sup>a</sup> Department of Nursing, Chung Hwa University of Medical Technology, Tainan Hsien, 6 Taiwan; <sup>b</sup> Department of Biochemistry and Molecular Biology, National Cheng Kung 7 University Medical College, Tainan, Taiwan; <sup>c</sup> Department of Urology, Tainan Hospital, 8 Department of Health, Executive Yuan, Taiwan; <sup>d</sup>Department of Microbiology and 9 10 Immunology, National Cheng Kung University Medical College, Tainan, Taiwan; <sup>e</sup> 11 Department of Microbiology, School of Medicine, China Medical University, Taichung, *Taiwan*; <sup>f</sup> *Graduate Institute of Clinical Medicine*, *Tzu Chi University*, *Hualien*, *Taiwan*. 12 13 <sup>1</sup>Both authors contributed equally to this work. <sup>\*</sup> Corresponding authors: Ai-Li Shiau, PhD, Department of Microbiology and Immunology, 14 15 National Cheng Kung University Medical College, 1 University Road, Tainan 70101, Taiwan; 16 Phone: +886-6-2353535 ext. 5629; Fax: +886-6-2363715; E-mail: alshiau@mail.ncku.edu.tw 17 and Chao-Liang Wu, PhD, Department of Biochemistry and Molecular Biology, National 18 Cheng Kung University Medical College, 1 University Road, Tainan 70101, Taiwan; Phone: 19 +886-6-2353535 ext. 5536; Fax: +886-6-2741694; E-mail: wumolbio@mail.ncku.edu.tw 20 Abbreviations: ABC, ATP-binding cassette; CSC, cancer stem cell; EMT: 21 epithelial-to-mesenchymal transition; IC50: 50% inhibition of proliferation; NSCLC: 22 23 non-small cell lung carcinoma; OPN: osteopontin; SP: side population

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### 25 Abstract

26 Platinum-based chemotherapy is one major approach for treating non-small cell lung 27 carcinoma (NSCLC). However, the progression-free survival rate depends on whether there 28 is tumor metastasis and drug resistance after treatment. The biological behavior for these two characteristics remains to be clarified. Here, we treated H1299 NSCLC cell line with cisplatin 29 30 at the IC<sub>50</sub> dose (1  $\mu$ g/ml). Most attached cells were surviving cells (H1299-A), whereas only 31 a small portion of detached cells survived and reattached to tissue culture plates (H1299-R1) 32 for further growth. A series of sublines (H1299-R2~H1299-R5) were also generated using the 33 same selection procedure. Cisplatin treatment inhibited the adhesion ability of H1299-R cells 34 compared with their H1299 and H1299-A counterparts. H1299-R cells exhibited increased 35 drug resistance to cisplatin, increased invasiveness, metastatic potential, and increased 36 expression of CD44. Compared with mice subcutaneously injected with H1299 cells, mice subcutaneously injected with H1299-R cells showed an increase in the number of metastatic 37 38 lung nodules. We conclude that H1299-R cells selected by suboptimal doses of cisplatin 39 following detachment from and reattachment to the tissue culture plate acquire an enhanced 40 malignant phenotype. Therefore, they provide a more faithful lung cancer model associated 41 with biological aggressiveness for studying clinically recurrent cancers after chemotherapy. 42

- 43 Keywords: lung cancer, chemoresistance, cell adhesion, metastasis, CD44
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### 45 **1. Introduction**

Lung cancer is the leading cause of cancer death worldwide. This disease is categorized 46 47 as small cell lung carcinoma (SCLC) or non-small cell lung carcinoma (NSCLC). The latter 48 type, which accounts for 80% of lung tumors, has a poor prognosis because of local or distant 49 metastasis. Treatment is decided based upon the stage and type of tumor identified. For 50 patients with early-stage NSCLC, surgical resection is preferred. Most will require 51 chemotherapy even if their initial surgery is potentially curative [1]. A multimodality 52 approach that includes both radiotherapy and chemotherapy has been used to treat patients 53 with locally advanced cancer or metastatic disease.

54 Tumor recurrence and metastasis are the major causes of unsuccessful lung cancer 55 treatment. Tumor cells resistant to chemotherapy may recur locally and migrate to distal organs via vessels and lymph nodes through the following steps: angiogenesis, de-adhesion, 56 57 migration, intravasation, and extravasation [2]. Increasing evidence suggests that tumor 58 progression is critically involved with the acquisition of an epithelial-to-mesenchymal 59 transition (EMT) phenotype, which allows tumor cells to acquire the capacity to infiltrate 60 surrounding tissue and to metastasize to distant sites [3]. EMT was first recognized as a 61 transient process characterized by phenotypic and molecular alterations during 62 embryogenesis. It has been suggested that EMT is crucially involved in the conversion of a 63 primary tumor to an invasive tumor [4]. EMT progression is associated with the acquisition 64 of a mesenchymal phenotype accompanied by the loss of epithelial markers and the 65 activation of mesenchymal markers, which leads to increased cell invasion [5, 6]. These processes coincide with the acquisition of cancer stem cell (CSC) characteristics [7]. CSCs 66 67 are similar to normal stem cells in their ability to self-renew and to generate large populations of more differentiated descendants within tumors. CSCs have been isolated from various 68 69 types of solid tumors [8-10]. The recurrence and metastasis of tumors is believed to be

70 strongly linked with the properties of CSCs [11]. Emerging evidence shows that cells with an 71 EMT phenotype induced by different factors are rich sources for CSCs [12, 13], which 72 suggests that CSCs and EMT phenotypic cells share biological similarities. Furthermore, the 73 induction of EMT in tumor cells can lead to drug resistance, which implies that the capacity 74 for drug resistance and metastasis may coexist within a certain subset of tumor cells [14, 15]. 75 Resistance to chemotherapeutic agents available for treating NSCLC is one of the 76 biggest obstacles to improving long-term outcomes for patients. Chemoresistance occurs not 77 only to clinically established therapeutic agents, but also to novel targeted therapeutics, a trait 78 known as multidrug resistance (MDR). Molecular biology studies [16] report the existence of 79 multiple genetic aberrations in tumor cells, such as cell cycle alteration, apoptosis inhibition, 80 DNA repair adducts, and changes in cellular drug accumulation that confer MDR on tumor 81 cells. The ATP-binding cassette transporters (ABC) are transmembrane proteins that facilitate 82 the transport of specific substrates across the membranes of tumor cells [17]. ABC activation 83 in tumors is responsible for pumping multiple cytotoxic cancer drugs out of the cells, thus 84 preventing them from reaching therapeutic level [18]. 85 Cisplatin is one of the most common anti-cancer agents for the treatment of NSCLC [19]. By interacting with DNA, cisplatin inhibits both RNA transcription and DNA 86 87 replication, and leads to cell cycle arrest and apoptosis. However, the outcome of cisplatin 88 therapy on NSCLC seems to be unsatisfactory because of the acquired or intrinsic resistance 89 of tumor cells to this drug. Here, we proposed that in the cellularly and molecularly 90 heterogeneous lung cancer cells, a subset of tumor cells can exhibit a more invasive 91 phenotype and be relatively resistant to conventional chemotherapy. In a human NSCLC cell 92 line, H1299, treated with cisplatin, very few tumor cells from the suspended cell debris 93 survived and reattached to the culture plate after drug selection. These cisplatin-resistant, 94 reattached cells, H1299-R, were collected and their drug resistance, cell adhesion, and

- 95 malignancy were analyzed. Our results may provide some new insights on tumor metastasis
- 96 and drug resistance in patients who undergo chemotherapy.

### 98 **2. Materials and Methods**

### 99 2.1. Cell Lines and Animals

Human lung cancer cell lines H1299 (ATCC CRL-5803) and its sublines,
H1299-R1~H1299-R5, were cultured in the complete medium consisting of Dulbecco's

102 modified Eagle's (DMEM), 10% cosmic calf serum (Hyclone, Logan, UT), 2 mM

103 L-glutamine, and 50 µg/ml of gentamicin at 37°C in 5% CO<sub>2</sub>. Male C57BL/6 mice at 6-8

104 weeks of age were purchased from the Laboratory Animal Center of National Cheng Kung

105 University. The experimental protocol adhered to the rules of the Animal Protection Act of

106Taiwan and was approved by the Laboratory Animal Care and Use Committee of National

107 Cheng Kung University.

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## 109 2.2 Immunoblotting, Immunofluorescence, and Immunohistochemistry

110 Total cell lysates were prepared as previously described [20], and then separately probed 111 with mouse antihuman N-cadherin monoclonal antibody (BD Biosciences, Franklin Lakes, 112 NJ), mouse vimentin monoclonal antibody (RV202; BD Biosciences), mouse antihuman snail 113 monoclonal antibody (L70G2; Cell Signaling, Danvers, MA), rabbit antihuman p-Akt and 114 Akt polyclonal antibodies (Cell signaling), rabbit antihuman  $\beta$ -catenin polyclonal antibody 115 (Cell signaling), mouse monoclonal CD44 antibody (8E2) (Cell Signaling), mouse antihuman 116 occludin monoclonal antibody (OC-3F10; Invitrogen, Carlsbad, CA), rat antihuman ABCG2 117 monoclonal antibody (BXP-53; Abcam, Cambridge, UK), rabbit antihuman osteopontin 118 polyclonal antibody (Abcam), β-actin (AC-15; Sigma-Aldrich, St. Louis, MO), and GAPDH (Santa Cruz Biotechnology, Santa Cruz, CA). The rat CD44 blocking antibody (IM7; 119 120 eBioscience, San Diego, CA) was used to abolish the function of CD44. For the 121 immunofluorescence analysis, cells grown on a 96-well plate were fixed in 3.7% formalin, 122 permeabilized with 0.5% Triton X-100, incubated with  $\beta$ -catenin and CD44 antibodies at 4°C

123 overnight, and subsequently incubated with DyLight488-conjugated goat anti-rabbit antibody 124 (Jackson ImmunoResearch Laboratories, West Grove, PA) and fluorescein-conjugated goat 125 anti-mouse antibody (KPL, Gaithersburg, MD), respectively, at room temperature for 1 h. 126 Nuclei were stained with 50 µg/ml of DAPI. The expression and localization of the proteins 127 were observed under a fluorescent microscope. For immunohistochemistry, lung tissue was 128 collected, fixed in 4% formalin, and then embedded in paraffin. Sections were stained with 129 mouse CD44 monoclonal antibody and sequentially incubated with the appropriate 130 peroxidase-labeled secondary antibody and 3-amino-9-ethylcarbazole as the substrate 131 chromogen. The slides were counterstained with hematoxylin.

132

## 133 2.3. Sirius Red Stain

Cells grown on 24-well plates were fixed in 3.7% formalin and stained with 0.1% Sirius red (Sigma-Aldrich) for 1 h. The Sirius red dye was then dissolved from the cells with 0.1 N NaOH/100% methanol, and then the absorbance at 540 nm that stands for the content of type I collagen within the cells was measured.

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## 139 2.4. Cell Proliferation and Colony Formation Assays

140 To analyze cell proliferation, 1,000 cells were seeded in 96-well plates in the complete 141 medium at 37°C on day 0. The number of cells was counted daily from day 1 to day 4 using a 142 cytometer (Celigo<sup>TM</sup> Cytometer; Cyntellect, San Diego, CA) according to the manufacturer's 143 instructions. The proliferation rate is expressed as a ratio of the number of cells counted on 144 days 2, 3, and 4 by the number counted on day 1. For colony formation assays, cells were 145 thoroughly dissociated with 0.1% trypsin to prepare a single-cell suspension. Each well of 6-well plates was covered with a basal layer of 6 ml of 0.5% agarose containing 50% 146 147 complete medium. After the medium was solidified, 1 ml of 0.35% agarose containing 2,000

cells and 50% complete medium in triplicate was added to each well. These wells were
finally covered with 500 µl of complete medium and the plates were incubated at 37°C. The
number of cells in each colony was then counted on day 6 and day 20 using the cytometer.

152 2.5. Cell Motility Assay

153 Cell invasion was analyzed using Boyden chamber assays with 8-µm pore 154 polycarbonate filters (Neuro Probe, Gaithersburg, MD) coated with 0.1 µg/ml of gelatin 155 (Sigma-Aldrich). The lower chambers containing 28 µl of the complete medium were 156 covered with the filters. Cells were seeded in the upper chambers containing serum-free medium at a density of  $2.5 \times 10^4$  per well. After 12 h of incubation at 37°C, the cells were 157 158 fixed with methanol and stained with Giemsa solution (Invitrogen) for 1 h. Cells on the upper 159 surface of the filter were scraped with cotton buds. Invaded cells on the underside of the filter 160 were then photographed and counted using phase contrast microscopy.

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### 162 2.6. Drug Sensitivity Assay

Cells  $(1.5 \times 10^3)$  seeded in 96-well plates were incubated at 37°C. After 24 h, a medium 163 containing cisplatin in various concentrations (0 to 50 µg/ml) was added to each well. After 164 165 72 h of incubation, the surviving cells were assessed using the WST-8 assay (Dojindo Labs, 166 Tokyo, Japan). The absorbance at 450 nm that stands for surviving cells was measured with 167 the reference wavelength at 595 nm. The percentage of survival is expressed as a ratio of O.D. 168 values measured at each drug concentration (0.19 to 50  $\mu$ g/ml) to that of 0  $\mu$ g/ml. Drug 169 sensitivity was determined as the drug concentration required for 50% inhibition of 170 proliferation (IC<sub>50</sub>).

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172 2.7. Flow Cytometry

173 Cells  $(2 \times 10^6 \text{ per analysis})$  were stained with fluorescein-conjugated rat anti-mouse 174 CD44 monoclonal antibodies (IM7; BD Pharmingen, San Diego, CA) for 1 h at room 175 temperature. The cells were then washed twice with staining buffer and suspended in 176 DMEM-based buffer for flow cytometric analysis.

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178 2.8. Animal Studies

Groups of 4 or 5 mice were subcutaneously inoculated with H1299 or H1299-R5 cells (1  $\times 10^{6}$ ) on day 0. Palpable tumors were measured every week in two perpendicular axes with a tissue caliper, and the tumor volume was calculated as: (length of tumor) × (width of tumor)<sup>2</sup>  $\times 0.45$ . The mean tumor volumes were calculated only when all the mice within the same group were alive.

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185 2.9. Statistical Analysis

186 An unpaired, two-tailed Student's *t*-test was used to determine differences between 187 groups to compare collagen production, cell proliferation, the number of migratory cells, 188 colonies formed in soft agar, and tumor volume. A non-parametric Mann-Whitney U test was 189 used to compare the number of tumor nodules between groups. Significance was set at p190 <0.05.

### 192 **3. Results**

193 3.1 Decreased Expression of Cell Adhesion Molecules and Type I Collagen in H1299-R1
194 Cells

We treated H1299 cells with cisplatin at the  $IC_{50}$  dose (1 µg/ml) for three days. Surviving cells attached to the tissue culture plate, whereas most detached cells underwent apoptosis after treatment. However, a small portion of the suspended cells, which were designated H1299-R1, survived and reattached to the plate for proliferation when cultured in a drug-free medium. Both the drug-resistant attached (H1299-A) and reattached (H1299-R1) cells were collected.

201 Treatment with cisplatin may aberrantly activate some genes and change their cell 202 adhesion ability. Compared with the H1299-A and H1299 cells, the expression of 203 epithelial-mesenchymal molecules, such as N-cadherin, vimentin, β-catenin, and occludin 204 was lower in H1299-R1 cells. Notably, the expression of Snail protein, an EMT marker, was 205 higher in H1299-R1, especially after induction by cisplatin (Fig. 1A). β-catenin was 206 significantly involved in cell adhesion. The immunofluorescence data revealed that reduced 207 expression of β-catenin on the membrane of H1299-R1 cells may account for the weak cell 208 adhesion (Fig. 1B). In addition, both H1299 and H1299-A cells exhibited a similar 209 morphology seeded on the plate; however, H1299-R1 cells adhered in more-rounded and 210 transparent shapes and displayed a loose appearance with greater gaps between cells under 211 immunofluorescence microscopy (Fig. 2A).

Collagens are one major component of extracellular matrix and are essential elements for cell attachment. H1299-R1 cells stained with Sirius Red showed a reduced aggregation of collagen. It was confirmed that the expression of type I collagen in H1299-R1 cells was significantly lower than that in H1299 and H1299-A cells (P = 0.0021 and P = 0.0062, respectively, Fig. 2B). Cell-cell adhesion and cell attachment abilities were similar in H1299

and H1299-A cells, but reduced in H1299-R1 cells. Because H1299 and H1299-A cells

218 developed similar phenotypes, we used H1299 cells for the following analysis.

219

## 220 3.2 Increased Resistance to Cisplatin in H1299-R Cells

221 To enrich the population of reattached cells, we treated H1299-R1 cells with cisplatin 222 for three days. The detached, surviving cells were collected and reattached to the plates. The 223 same procedure was conducted for four times and generated the subsequent H1299-R2, 224 H1299-R3, H1299-R4, and H1299-R5 sublines (the concentrations of cisplatin used for 225 selection were 1, 2, 2, and 2 µg/ml, respectively). Immunoblot analysis revealed that in 226 contrast to the EMT markers, the expression of which was gradually reduced, the expression 227 of p-Akt proteins increased from H1299-R1 to H1299-R5 cells (Fig. 3A). These results 228 indicated that a more specific subpopulation with drug resistance could be selected through 229 this isolation procedure. The chemosensitivity was further evaluated, and the  $IC_{50}$  values for 230 the H1299 and H1299-R1~H1299-R5 cells were 1.39, 2.83, 2.76, 2.96, 2.67, and 2.79, 231 respectively (Fig. 3B). H1299-R1 cells were 2.03 times more resistant to cisplatin than their 232 parental cells. ABCG2, one of the ABCs induced by cisplatin, was overexpressed in the 233 H1299-R sublines (Fig. 3C). The elevated expression of ABCG2 potentiated these cells to 234 efflux the drug out of the cells and account for the acquired drug resistance. Because the five 235 sublines (H1299-R1~H1299-R5) developed a similar level of resistance, we used H1299-R5 236 for the following analysis. The replication rate of H1299-R5 cells was significantly slower 237 than that of H1299 cells (Fig. 3D, P < 0.0001). Cells that have the ability to efflux the dye Hoechst 33342 are referred to as "side population" (SP). H1299-R5 cells displayed a higher 238 239 percentage of SP (0.021%  $\pm$  0.067%) than did H1299 cells (0.09%  $\pm$  0.047%, P < 0.0001, Fig. 240 3E). These data suggest that after drug selection, a significantly higher fraction of H1299-R cells may survive and become a reservoir for generating new cancer cells. 241

242

243 3.3 Increased Cell Migration and Clone Formation Abilities in H1299-R5 Cells

The other factor that leads to tumor metastasis is the increased migration in addition to the loosened cell adhesion of cancer cells. The H1299-R5 cells migrated more vigorously than did H1299 cells, and more H1299-R5 cells underwent migration than did H1299 cells ( $861.25 \pm 119.44$  vs.  $737 \pm 88.86$ , P = 0.033, Fig. 4A). Additionally, larger and more colonies were formed in H1299-R5 cells than in H1299 cells (Fig. 4B).

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250 3.4 High Level of CD44 Expression in H1299-R Cells

251 CD44 is a principal receptor of hyaluronate and is vital in cancer cell adhesion and 252 metastasis. The immunoblotting results revealed that the expression of the CD44 total protein 253 was high in the H1299-R sublines, and that it was most abundantly expressed in H1299-R5 254 cells (Fig. 5A). H1299-R5 cells expressed more CD44 on the cell surface than did H1299 255 cells (Fig. 5B). Immunofluorescence confirmed that the expression of CD44 on the 256 membrane of H1299-R5 cells was higher and more abundantly than on the membrane of 257 H1299 cells (Fig. 5C). Because osteopontin (OPN) can induce chemoresistance via the CD44 258 signaling pathway, we analyzed the expression of OPN in H1299-R5 cells. The expression of OPN and ABCG2 was higher in H1299-R5 cells than in H1299 cells (Fig. 5D); however, the 259 260 expression of both was inhibited when anti-CD44 blocking antibody was present. The 261 increased cell migration and survival abilities of H1299-R5 cells may result in a more 262 aggressive tumor invasion.

263

264 3.5 More Invasive Phenotype of H1299-R Cells in Mice

To test the spontaneous metastatic potential of H1299-R5 cells, we subcutaneously injected them  $(1 \times 10^6)$  into mice. Tumor development was monitored weekly. The tumors

generated in H1299-group mice were larger ( $4696.86 \pm 3012.38 \text{ mm}^3$ ) than those in 267 H1299-R5-group mice  $(591.3 \pm 1082.15 \text{ mm}^3, P = 0.0049)$  on week 9 (Fig. 6A). Although 268 269 the H1299-R5 cells formed smaller tumors *in situ*, they developed larger tumors in the lungs, 270 indicating a higher level of invasiveness in these cells (Fig. 6B, left). More metastatic tumor 271 nodules were observed in the lung tissue of the H1299-R5-inoculated mice (Fig. 6B, middle). 272 The number of tumor nodules in mice inoculated with H1299-R5 cells was significantly 273 greater than that in mice inoculated with H1299 cells (Fig. 6B, P = 0.0297). Furthermore, 274 more intense staining of CD44 was seen in mice inoculated with H1299-R5 cells, suggesting 275 the significance of CD44 in tumor invasion. More tumor nodules were found in mice 276 intravenously inoculated with H1299-R5 cells than with H1299 cells (data not shown). These 277 results suggested that the cisplatin-resistant H1299-R5 cells were more invasive, which might 278 have been attributed to the overexpression of CD44.

### 280 **4. Discussion**

281 Establishment of drug-resistant lung cancer cell lines with invasive phenotypes is 282 instrumental for developing therapeutic strategies for cancer patients. In the present study, we 283 isolated and enriched a subpopulation of the H1299 cell line, the H1299-R5 cell line, from 284 cell culture. H1299-R5 cells detached from the substratum during cisplatin selection and 285 reattached when the drug was withdrawn. The expression of genes involved in cell adhesion 286 decreased, but the expression of those involved in cell motility and drug efflux increased in H1299-R5 cells. Moreover, these cells have a higher metastatic potential in NOD/SCID mice 287 288 than H1299 cells. In previous studies [21, 22], the methods used to establish the 289 drug-resistant tumor cell lines were either the transient (24-72 h) or chronic exposure of cells 290 to drugs, and only the adherent surviving cells were collected. The cisplatin-resistant cell 291 lines established in these approaches would keep the same or even lose their ability to 292 metastasize compared with their parental cell lines. This phenomenon suggested that "reverse 293 transformation" can occur in cells. One substantial fraction of surviving cells suspended in 294 the culture medium would be ignored, and their potential involvement in tumor progression 295 would be underestimated. Therefore, we collected the surviving cells from the suspension 296 after the drug had been added. Compared with H1299-A cells, which were selected using the 297 conventional method, H1299-R1 cells showed lower expression levels of genes involved in 298 cell adhesion and collagen type I secretion. The incidence of bone metastasis in lung cancer 299 patients is approximately 30-40% [23]. Metastasis involves a series of de-adhesion and 300 adhesion events, coupled with regulated tissue degradation to facilitate tumor cell migration 301 and spread. Our methods provide a more clinically relevant way to characterize cells that 302 have metastatic potential after chemotherapy. To identify the phenotypes displayed in these 303 cells should provide more insight into the process of metastasis and even the therapeutic 304 targets of lung cancer.

305 H1299-R1 cells expressed fewer epithelial (β-catenin and occludin) and mesenchymal 306 (N-cadherin and vimentin) markers than did H1229 and H1299-A cells. However, the 307 expression of Snail was higher in H1299-R1 cells particularly in the presence of cisplatin. 308 Whether H1299-R cells underwent EMT remained unclear. Nevertheless, it is recognized that 309 EMT seems not to be a homogenous "black and white" cellular scenario. Snail protein is a 310 transcriptional repressor that belongs to the Snail family, which is critical for cancer cells to 311 acquire radioresistance and chemoresistance. The process is orchestrated through the 312 acquisition of a novel subset of gene targets that effectively inactivates p53-mediated 313 apoptosis, while another subset of targets continues to mediate EMT [24]. Although the 314 H1299 cell line does not have normal p53, Snail may mediate cisplatin resistance through a 315 p53-independent pathway [25, 26].

316 During serial selection, in contrast to the expression of EMT markers ( $\beta$ -catenin, 317 occludin, and N-cadherin), the expression of p-Akt and Akt increased gradually in the 318 subsequent sublines. This indicated that the reattached cells with the same properties were 319 enriched by repeating the selection procedure. The activation of the antiapoptotic cascade 320 phosphatidylinositol 3-kinase (PI3K)/Akt is important for cell survival. Disseminated tumor 321 cells detected in bone marrow from patients with lung cancer contain activated Akt [27]. Akt 322 activation regulates the proliferation, survival, migration, and EGF-mediated signaling in 323 lung-cancer-derived disseminated tumor cells [27]. Because we used a consistent 324 concentration  $(2 \mu g/ml)$  of cisplatin during the selection, the chemoresistance and the 325 expression of ABCG2 remained unchanged in these sublines. The acquired chemoresistance of H1299-R cells appeared to be involved in increasing cell survival and migration. 326 327 CD44 is a membrane-bound glycoprotein, acting as a cell-cell or cell-extracellular matrix adhesion protein. The interaction of CD44 with other cellular proteins, such as 328 329 hyaluronan, mediates a complex range of functions and has been implicated in tumor

330 invasion and metastasis [28, 29]. Flow cytometry used to select the CD44-positive cells from 331 several lung cancer cell lines showed that in vitro and in vivo tumorigenicity were both higher 332 in sorted CD44-positive tumor cells [30]. CD44 consists of various isoforms resulting from 333 alternative splicing of its mRNA. It has been suggested that variant forms of CD44 may 334 participate in cell growth, differentiation, survival, and metastasis, particularly CD44v6 [31, 335 32]. In the H1299-R5 cells, the standard (CD44s) and variant forms (CD44v3, CD44v8, 336 CD44v9, and CD44v10) all increased compared with H1299 cells (Supplementary Fig. 1). 337 The roles of these isoforms involved in tumor progression require further investigation. 338 Interaction between CD44 and hyaluronate can induce chemoresistance in NSCLC cells [33]. 339 In our study, in addition to CD44, the expression of OPN increased in H1299-R5 cells. OPN 340 is involved in multidrug resistance by increasing CD44 binding to hyaluronate [34]. Furthermore, OPN produced by osteoblasts also bound to CD44 and activated the PIK3/Akt, 341 342 NF-kB, and matrix metalloproteinase-2 signaling pathway for tumor formation and 343 metastasis [35]. The circulating level of OPN is highly associated with the stage of NSCLC 344 [36]. Consistent with previous data [30, 36], our results suggest that the aberrant expression 345 of CD44 and its ligands within cells when acquiring chemoresistance may be significant for 346 tumor migration and metastasis.

347 Several recent lines of evidence have suggested that tumor progression is driven by a 348 small population of CSCs, which have the ability to self-renew and to regenerate the 349 phenotypic heterogeneous lineages of cancer cells, thereby initiating tumors and metastasis 350 [37, 38]. Lung cancer progenitor cells, bronchioalevolar stem cells (BASCs), have been 351 identified in healthy lung tissue and lung cancer tissue using a murine model [39]. These cells 352 may be the putative cells of origin for adenocarcinoma. Our results showed that, compared 353 with H1299 cells, the expression of stem-cell markers, OPN, CD44, ABCG2, and SP were all 354 higher in the H1299-R sublines, indicating that there are a higher proportion of CSCs in

H1299-R cells. Indeed, the CD44-expressing subpopulations from some NSCLC cell lines
had enriched stem cell-like properties [36]. When anticancer drugs are added, the
extracellular stimuli can induce an expansion of a pre-existing stem cell population within
tumors through the Snail signaling pathway. Notably, our *in vitro* and *in vivo* results show
that the insensitivity of H1299-R cells to cisplatin may cause cancer cells to proliferate at a
reduced rate.

361 The approach we collected cancer cells is different from previously reported methods: 362 first, instead of isolating a single cell, we collected a mixed population of cells with weak cell 363 adhesion; second, instead of maintaining the cells as tumor spheres in a culture system, we 364 re-plated the cells to the substratum to allow further differentiation [40, 41]. When 365 undergoing chemotherapy, some tumor cells with low adhesion ability, including CSCs, 366 detach from the basal lamina, migrate into the circulation, and disseminate to distant sites to 367 reattach. Both of these steps are essential for metastasis. It was reported that the number of 368 circulating tumor cells could be a predictor of overall survival after chemotherapy in patients 369 with various types of cancer [42]. To avoid serious side effects, patients with NSCLC usually 370 received a safer dose of drugs by increasing treatment cycles. This is the reason we used low 371 dose of cisplatin (1 or 2 µg/ml) during H1299-R cells selection. Our method may provide a 372 model more relevant to what actually occurs in cancer patients.

In summary, we have isolated and enriched new cisplatin-resistant lung cancer cell lines
that are more aggressive and invasive. These cells may be useful as targets when developing
therapeutics for metastatic lung cancer.

376

# 377 Acknowledgements

- 378 This work was supported by the National Science Council (NSC 96-3112-B-006-011, NSC
- 379 97-3112-B-006-001, and NSC 98-2320-B-006-030-MY3) and the Department of Health,
- 380 Executive Yuan (DOH 98-TD-G-111-032 and DOH 99-TD-G-111-032), Taiwan.
- 381

## 382 **Conflict of interest**

383 The authors do not have any conflict of interest to disclose.

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### 508 Figure Legends

509 Fig. 1. The expression of EMT markers in cisplatin-resistant H1299-R1 cells. (A) The 510 expression of N-cadherin, vimentin, Snail, β-catenin, and occludin in H1299-R1 and H1299 511 cells was detected by immunoblotting.  $\beta$ -actin served as the loading control. (B) The 512 distribution of  $\beta$ -catenin was detected on the surface of cells using immunofluorescence ( $\times 200$  magnification, scale bar = 100  $\mu$ m, upper; the inset represents the magnified area, 513 514 ×400 magnification, lower). 515 Fig. 2. Cisplatin-resistant H1299-R1 cells showed less cell adhesion. (A) The morphology 516 517 and arrangement of cells was observed after the cells had been stained with Calcium AM ( $\times$ 320 magnification, scale bar = 20 µm). (B) The cells were stained with Sirius Red ( $\times$ 200 518 519 magnification, scale bar =  $100 \mu m$ ) and the levels of type I collagen were quantified. Each value represents means of four determinations  $\pm$  standard deviation (SD), \*\*P <0.01. 520

521

Fig. 3. The expression of EMT molecules and chemoresistance against cisplatin in H1299 522 523 and five H1299-R sublines. (A) The N-cadherin,  $\beta$ -catenin, occludin, p-Akt, and Akt proteins 524 in H1299-R sublines (H1299-R1~H1299-R5) were detected by immunoblotting. β-actin 525 served as the loading control. (B) The cells were treated with an increasing concentration of cisplatin (from 0 µg/ml to 50 µg/ml) for three days, and cell viability was determined using 526 527 the WST-8 assay. Each point represents the mean of four determinations  $\pm$  SD. The IC<sub>50</sub> value 528 was determined based on the cell survival curve. (C) The expression of ABCG2 was higher in 529 the five H1299-R sublines than in the H1299 cell line. (D) The proliferation rate was slower 530 in H1299-R5 cells than in H1299 cells (P < 0.0001). Each point represents the mean of five 531 determinations  $\pm$  SD. (E) The cells were stained with dye (Hoechst 33342 and Calcium AM) for 30 min. The side population (SP) was the fraction of cells capable of exporting the 532

533	Hoechst 33342 out of cells. The percentage of SP is expressed as the ratio of the number of
534	cells free of Hoechst 33342 divided by the total number of cells stained with Calcium AM.
535	Each bar represents the means of twelve determinations $\pm$ SD, *** <i>P</i> <0.0001.

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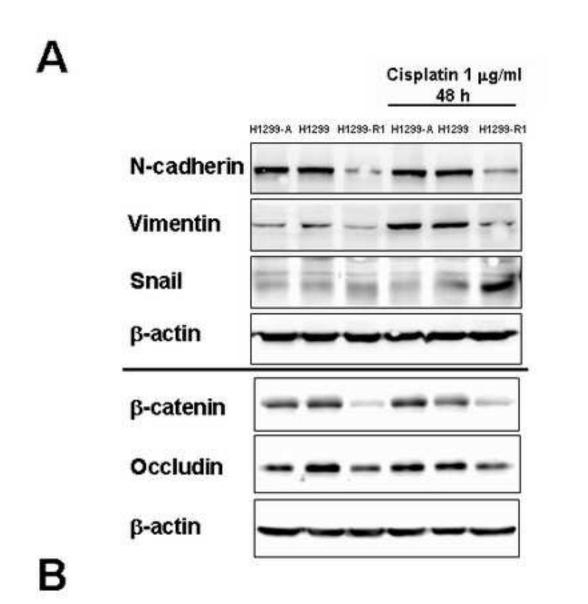
Fig. 4. Cell motility and colony formation in H1299-R5 cells. (A) The cell migration was 537 538 detected using a Boyden chamber assay (Giemsa stain,  $\times 200$  magnification, scale bar = 200 539 μm). The number of migratory cells was quantified, and the data are presented as the means of eight determinations  $\pm$  SD, \*P <0.05. (B) The colonies (arrow heads) were formed on soft 540 541 agar and the representative results from three randomly chosen areas are shown for day 6 542 (×40 magnification, scale bar = 500  $\mu$ m) and day 20 (×40 magnification, scale bar = 200  $\mu$ m). 543 The number of colonies with different sizes on day 20 (diameter of colony: >400  $\mu$ m, <400 544  $\mu$ m, and <100  $\mu$ m) in each cell line was quantified.

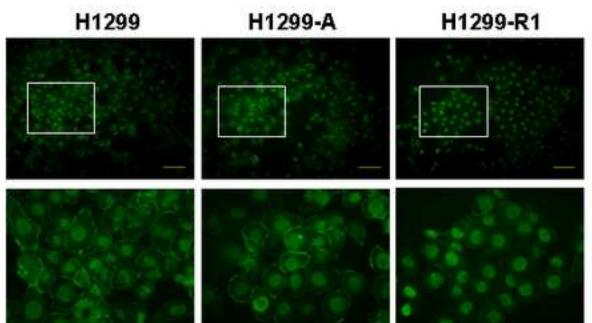
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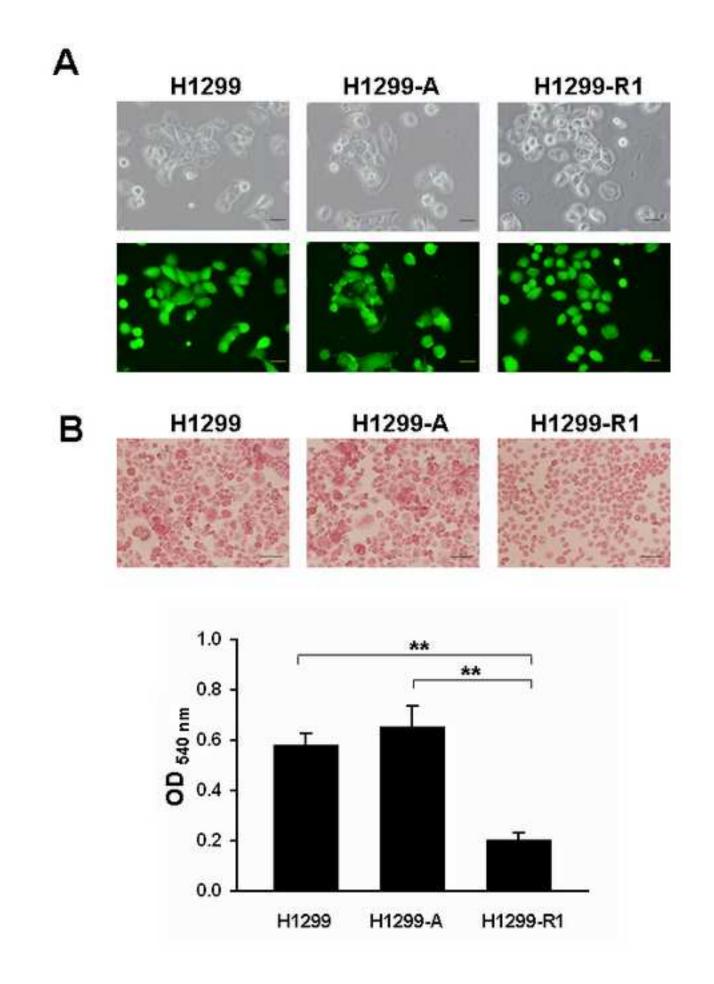
546 Fig. 5. Expression of CD44 and OPN in H1299-R5 cells. (A) The CD44 expression in 547 H1299-R sublines was detected by immunoblotting.  $\beta$ -actin served as the loading control. (B) 548 The intensity of CD44 expression on the cell surface was analyzed using flow cytometry. The 549 CD44 expression on the surface of H1299-R5 cells (blue line) and H1299 cells (black line); 550 and the green and red lines represent background fluorescence on H1299-R5 and H1299 cells, 551 respectively. (C) The distribution of CD44 expression on cell membranes was analyzed using 552 immunofluorescence ( $\times 200$  magnification, scale bar = 50 µm, left; the insets represent the 553 magnified area,  $\times 400$  magnification, right). (D) Cells were incubated with 10 µg/ml of 554 anti-CD44 antibody for 4 h. The cultures were then washed five times with PBS, and the proteins were isolated for detecting the expression of OPN and ABCG2 proteins by 555 556 immunoblotting. GAPDH served as the loading control.

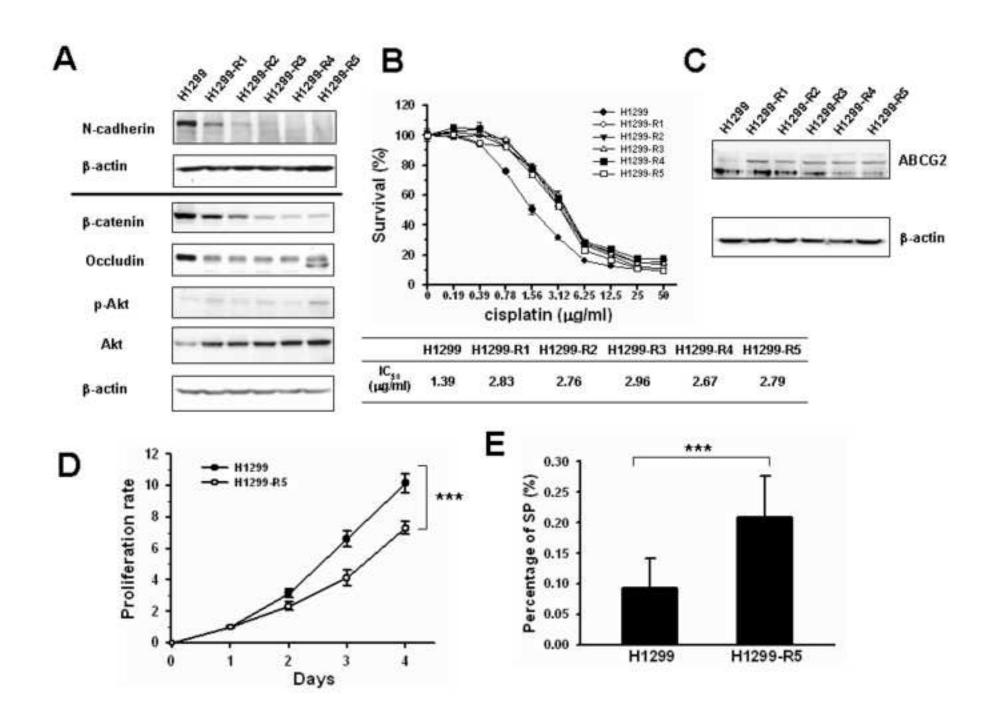
558	Fig. 6. Increased invasion and CD44 expression of H1299-R5 cells in mice. (A) Cells were
559	subcutaneously injected into NOD/SCID mice on week 0, and the differences in the tumor
560	volumes (means $\pm$ SD, n = 5 in the H1299 group and n = 4 in the H1299-R5 group) between
561	the two groups were compared on week 9. (B) The lung tissue was removed on week 9, and
562	representative samples of the macroscopic and histologic appearance of lung tissue were
563	shown (hematoxylin and eosin stain). The number of metastatic nodules was counted and
564	assessed using the Mann-Whitney U-test, $*P < 0.05$ . (C) The expression of CD44 was
565	detected using anti-CD44 antibody (hematoxylin and eosin stain, $\times 100$ magnification, scale
566	bar = 20 $\mu$ m, left; immunohistochemical staining, ×100 magnification, scale bar = 20 $\mu$ m,
567	middle; the insets represent the magnified area, $\times 200$ magnification, scale bar = 20 $\mu$ m,

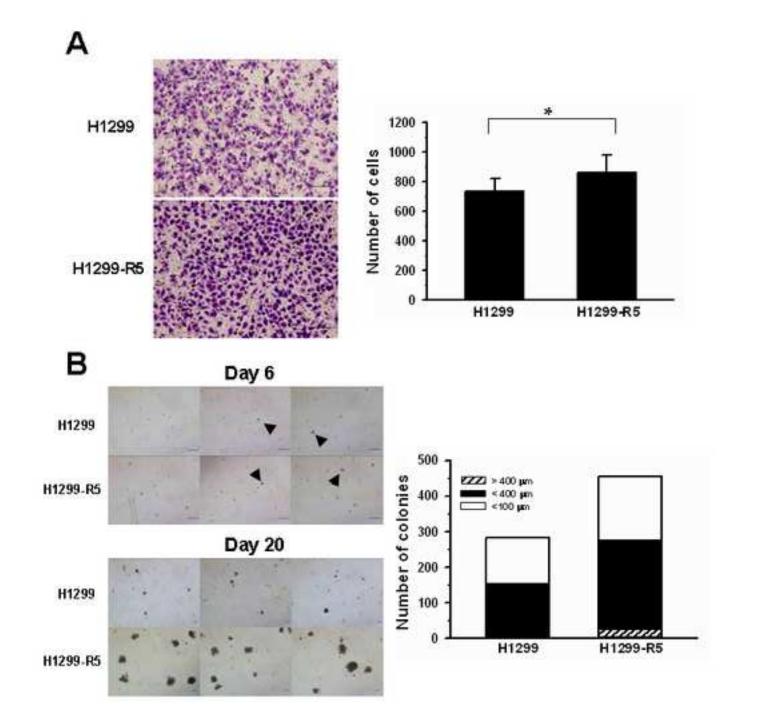
568 right).

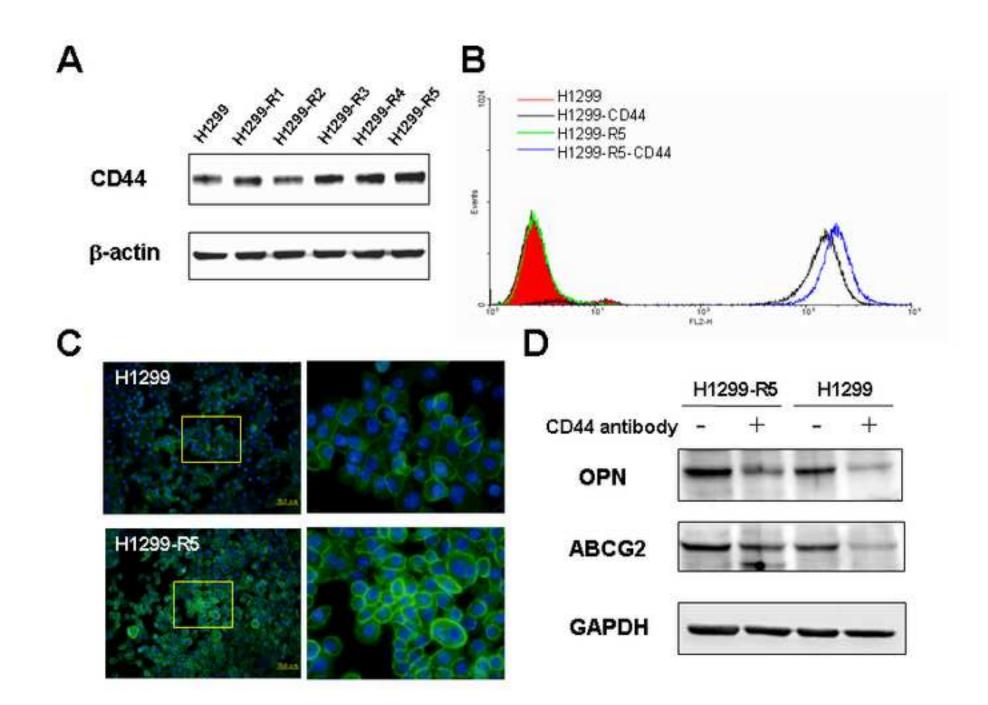


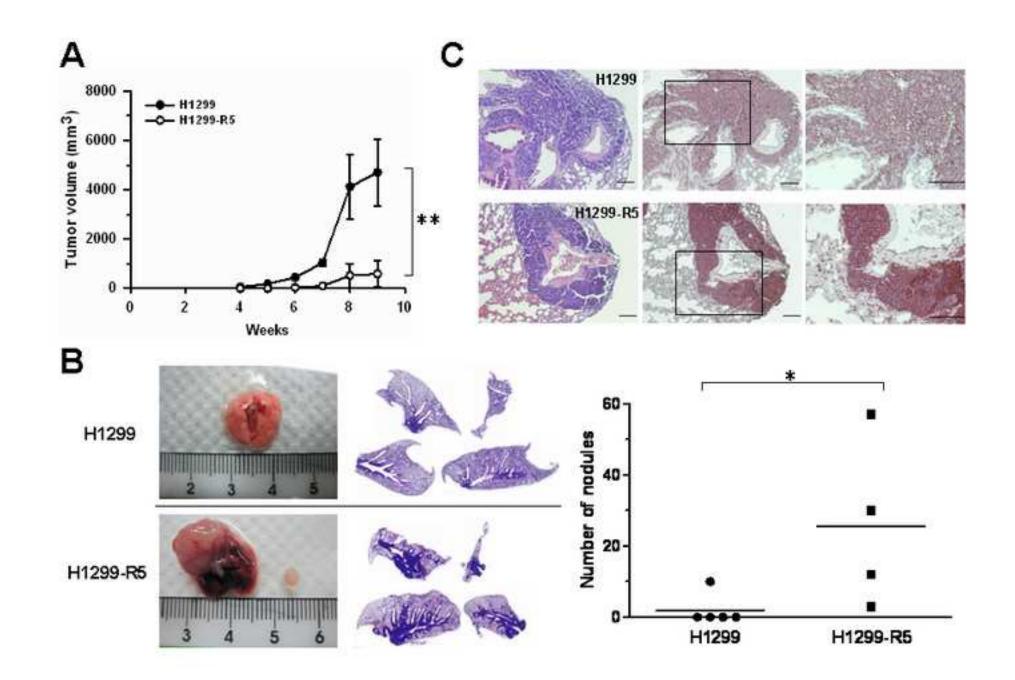












Supplementary Figure 1 Click here to download Supplementary File: sup.Fig. 1..tif Reviewer suggestions for manuscript:

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# **Conflict of Interest**

None of the authors have financial relationship with a commercial entity that has an interest in the content of this manuscript.