

# NADPH Oxidase Subunit 4-mediated Reactive Oxygen Species Contribute to Cycling Hypoxia-promoted Tumor Progression in Glioblastoma Multiforme

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1 **Abstract**

2  
3 BACKGROUND: Cycling and chronic tumor hypoxia are involved in tumor  
4 development and growth. However, the impact of cycling hypoxia and its molecular  
5 mechanism on glioblastoma multiforme (GBM) progression remain unclear.

6  
7 METHODOLOGY: Glioblastoma cell lines, GBM8401 and U87, and their xenografts  
8 were exposed to cycling hypoxic stress *in vitro* and *in vivo*. Reactive oxygen species  
9 (ROS) production in glioblastoma cells and xenografts was assayed by *in vitro* ROS  
10 analysis and *in vivo* molecular imaging studies. NADPH oxidase subunit 4 (Nox4) RNAi-  
11 knockdown technology was utilized to study the role of Nox4 in cycling hypoxia-  
12 mediated ROS production and tumor progression. Furthermore, glioblastoma cells were  
13 stably transfected with a retroviral vector bearing a dual reporter gene cassette that  
14 allowed for dynamic monitoring of HIF-1 signal transduction and tumor cell growth *in*  
15 *vitro* and *in vivo*, using optical and nuclear imaging. Tempol, an antioxidant compound,  
16 was used to investigate the impact of ROS on cycling hypoxia-mediated HIF-1 activation  
17 and tumor progression.

18  
19 PRINCIPAL FINDINGS: Glioblastoma cells and xenografts were compared under  
20 cycling hypoxic and normoxic conditions; upregulation of NOX4 expression and ROS  
21 levels were observed under cycling hypoxia in glioblastoma cells and xenografts,  
22 concomitant with increased tumor cell growth *in vitro* and *in vivo*. However, knockdown  
23 of Nox4 inhibited these effects. Moreover, *in vivo* molecular imaging studies  
24 demonstrated that Tempol is a good antioxidant compound for inhibiting cycling  
25 hypoxia-mediated ROS production, HIF-1 activation, and tumor growth.  
26 Immunofluorescence imaging and flow cytometric analysis for NOX4, HIF-1 activation,  
27 and Hoechst 3342 in glioblastoma also revealed high localized NOX4 expression  
28 predominantly in potentially cycling hypoxic areas with HIF-1 activation and blood  
29 perfusion within the endogenous solid tumor microenvironment.

30  
31 CONCLUSIONS: Cycling hypoxia-induced ROS via Nox4 is a critical aspect of cancer  
32 biology to consider for therapeutic targeting of cycling hypoxia-promoted HIF-1  
33 activation and tumor progression in GBM.

46 **Introduction**

47

48 Tumor hypoxia is a crucial microenvironmental condition that promotes tumor  
49 progression and resistance to chemo- or radiotherapy [1,2,3]. It has been classified into 2  
50 types. Acute hypoxia is associated with inadequate blood flow while chronic hypoxia is  
51 the consequence of increased oxygen diffusion distance due to tumor expansion.  
52 Temporal instability in oxygen transport has classically been termed “intermittent” or  
53 “acute” hypoxia [4]. Recent review articles have summarized the overall features of the  
54 oxygenation state within tumors and have used the term “cycling hypoxia” to describe the  
55 cyclical features of intermittent or acute hypoxia in tumor hypoxia [5]. It has long been  
56 thought that chronic hypoxia, rather than acute hypoxia, plays the main role in promotion  
57 of cancer progression and in the efficacy of radiation therapy or chemotherapy [1],  
58 because the major phenotypic shift associated with chronic hypoxia involves tumor cell  
59 resistance to chemotherapy or radiotherapy, in addition to more invasive and metastatic  
60 features. However, there is ample evidence to suggest that cycling hypoxia also  
61 influences many aspects of tumor progression and therapy resistance [6,7,8]. These  
62 pioneer works have made oncologists aware of the potential role of cycling hypoxia in  
63 tumor progression and treatment.

64

65 Cycling hypoxia modulates tumor growth, angiogenic processes, metastasis, and  
66 radioresistance in several tumor models [6,7,8]. However, some phenotypes seem to be  
67 dependent upon tumor type. Different tumor types have distinct effects on cycling  
68 hypoxia-mediated tumor progression. To our knowledge, the impact of cycling hypoxia  
69 on tumor progression in glioblastoma multiforme (GBM) has not been investigated.  
70 Although the detailed mechanism is still undefined, earlier studies have suggested that  
71 reactive oxygen species (ROS) and hypoxia-inducible transcription factor 1 $\alpha$  (HIF-1 $\alpha$ )  
72 are potential mediators of cycling hypoxia-mediated tumor progression and radiotherapy  
73 resistance [4,5]. ROS and HIF-1 $\alpha$  were shown to be the key mediators of tumor  
74 angiogenesis, invasion, and metastasis [9,10]. However, the lack of direct evidence from  
75 the *in vivo* tumor microenvironment is a significant impediment to supporting this notion.

76

77 ROS are produced during cycling hypoxia and leads to tumor progression, but the  
78 mechanisms of ROS generation and the targets of ROS signals are not well understood.  
79 Nox-family NADPH oxidases have proven to be a major source of ROS production in  
80 various cell types and have crucial roles in various physiological and pathological  
81 processes [11]. Recent studies have demonstrated that NADPH oxidase subunit 4 (Nox4)  
82 is expressed in several tumor types such as hepatoma [12], breast cancer [13], ovarian  
83 cancer [14], melanoma [15], prostate cancer [16], and various neuroepithelial neoplasms  
84 [17], and is involved in cellular senescence, resistance to apoptosis, tumorigenic  
85 transformation, cell proliferation, cell survival, and radiation resistance. Strong evidence  
86 suggests that these processes are upregulated via Nox4 generation of ROS. Nox4 can also  
87 serve as an oxygen sensor to regulate TASK-1 enzyme activity [18] and HIF activity  
88 [19]. Based on these data, we hypothesized that Nox4 might be a critical mediator of  
89 cycling hypoxia-mediated ROS generation and tumor progression in GBM.

90

91 The purpose of this study is to explore the impact of cycling hypoxia on GBM  
92 progression and to investigate the potential mechanism of this process using molecular  
93 biology and imaging techniques. We have now shows that cycling hypoxic stress  
94 significantly increases ROS production, HIF-1 activation, and tumor growth *in vitro* and  
95 *in vivo*. Our results also indicate that Nox4 is a critical mediator of these processes and its  
96 expression tends to occur in potential cycling hypoxic areas with HIF-1 activation and  
97 blood perfusion within the endogenous tumor microenvironment.  
98  
99

## 100 **Materials and methods**

### 101 *Cell culture*

102 GBM8401 and U87 were cultured in DMEM (Life Technologies) supplemented with  
103 10% fetal bovine serum (FBS), 10 mM HEPES, and 1% penicillin-streptomycin.  
104  
105  
106

### 107 *In vitro hypoxic treatments*

108 The cells were treated in a Biospherix C-Chamber (Biospherix) inside a standard  
109 culture chamber by means of exhausting and gassing with 95% N<sub>2</sub> and 5% CO<sub>2</sub> to  
110 produce oxygen concentrations of 0.5 to 1% for 4 h at 37°C to achieve non-interrupted  
111 hypoxic conditions. For the cycling hypoxic treatment, cell cultures were exposed to 12  
112 cycles of 0.5 to 1% O<sub>2</sub> for 10 min interrupted by 5% CO<sub>2</sub> and air for 10 min at 37°C in a  
113 hypoxia chamber with a timer-controlled regulator. *In vitro* medium oxygen during  
114 cycling hypoxia was determined using the Oxford Oxylite fiberoptic probe (Oxford) and  
115 this condition resulted in the medium pO<sub>2</sub> of 0.8-1.5 mmHg during hypoxic phase  
116  
117

### 118 *In vitro ROS production*

119 ROS production was assessed by using 10-acetyl-3,7-dihydroxyphenoxazine (Amplex  
120 Red, Molecular Probes) to evaluate H<sub>2</sub>O<sub>2</sub> or carboxy-2'7'-dihydrodichlorofluorescein  
121 diacetate (H2DCFDA, Molecular Probes) to assess total ROS. Cells were incubated in  
122 phenol-free medium in the presence of 50 μmol/L Amplex Red and 0.1 U/mL horseradish  
123 peroxidase or 10 μM H2DCFDA under *in vitro* hypoxic treatments. Fluorescence was  
124 measured in a SpectraMax M2/M2e Microplate Reader (Molecular Devices) with  
125 excitation at 530 nm and emission at 590 nm for Amplex Red or excitation at 485 nm and  
126 emission at 520 nm for H2DCFDA.  
127  
128

### 129 *Real-time quantitative PCR*

130 Q-PCR analysis was performed as described previously [20]. The primers for  
131 quantitative analysis of Nox4 and the housekeeping gene 60S acidic ribosomal proteins  
132 were: Nox4 (F) 5'-ACAGGGGTCTGCATGGTGGT-3' and (R) 5'-  
133 GCAGCCCTCCTGAAACATGC-3'; and the house keeping gene 60S acidic ribosomal  
134 protein (F) 5'-ACGAGGTGTGCAAGGAGGGC-3' and (R) 5'-  
135 GCAAGTCGTCTCCCATCTGC-3'.  
136

137

138 ***Western blot analysis***

139

140 Whole cell and nuclear extracts were prepared as described previously [20]. Nox4  
141 protein in GBM cells was detected in 150 µg of cell extract by using a monoclonal Nox4  
142 antibody (1:650; Novus). Nuclear extracts were examined for HIF-1 activation using a  
143 monoclonal HIF-1α antibody (1:750; Novus). Western blots were normalized using a  
144 monoclonal anti-β-actin antibody for cell extracts (diluted 1:10,000; Santa Cruz  
145 Technology) and a monoclonal anti-TATA binding protein (TBP) (diluted 1:2,000;  
146 Abcam) for nuclear extracts.

147

148 ***Small interfering RNA transfection***

149

150 GBM8401 or U87 cells were transfected with Nox4 small interfering RNA (siRNA)  
151 (Santa Cruz Biotechnology) using the OligofectAMINE transfection reagent (Invitrogen)  
152 according to the manufacturer's instructions.

153

154 ***Vector constructions and viral transduction***

155

156 The lentiviral vector pLKO AS2 (National RNAi Core Facility, Taiwan) served as the  
157 backbone to generate a lentiviral vector bearing a luciferase (*Luc*) reporter gene. The *Luc*  
158 gene was PCR-amplified from pTA-Luc (Clontech) and inserted into pLKO AS2 under  
159 the cytomegalovirus (CMV) promoter at the *NheI* and *EcoRI* restriction sites. We used  
160 PCR cloning to insert the NESTKGFP:dMODC [20] fusion reporter gene in place of the  
161 original TKGFP fusion reporter gene in dxHRE-tk/eGFP-cmvRed2XPRT [21]. The  
162 lentiviral vector pLVCT-tTR-KRAB (Addgene) was used to express Nox4 shRNA  
163 (Sigma) following the manufacturer's protocol. Lentivirus or retrovirus production and  
164 cell transduction were carried out according to protocols described elsewhere [21,22].  
165 The GBM8401 and U87 cells bearing the *Luc* reporter gene and the dual reporter gene  
166 cassette were termed GBM8401-*Luc* or U87-*Luc* and GBM8401/hif-1-r or U87/hif-1.

167

168 ***Animal models***

169

170 Eight-week-old female athymic nu/nu mice were used to establish animal tumor  
171 models. For the subcutaneous GBM xenograft model,  $5 \times 10^6$  GBM8401-*Luc* or  
172 GBM8401/hif-1-r cells with or without Nox4 short-hairpin RNA (shRNA) transduction  
173 were injected subcutaneously into the dorsal aspects of the left anterior limbs and small  
174 ( $80 \pm 16.0 \text{ mm}^3$ ) subcutaneous tumors developed 14 days later were used for animal  
175 imaging studies. For the orthotopic GBM xenograft model, the procedure was carried out  
176 following published methods [23]. Briefly,  $2 \times 10^5$  GBM8401-*Luc* cells or  
177 GBM8401/hif-1-r cells were harvested by trypsinization and injected into the right basal  
178 ganglia of anesthetized mice. Mice bearing the orthotopic GBM8401-*Luc* or  
179 GBM8401/hif-1-r xenograft after 12 days were used for *in vivo* cycling hypoxic stress  
180 studies. All animal studies were conducted according to the Institutional Guidelines of  
181 China Medical University and approved by the Institutional Animal Care and Use  
182 Committees of China Medical University (approval number 97-65-N).

183

#### 184 ***Animal treatments***

185

186 Mice bearing the orthotopic GBM8401 reporter xenograft received drinking water  
187 containing 5% sucrose only (control), 5% sucrose plus 300 µg/mL Tempol (Tempol  
188 treatment), or 5% sucrose plus 2 mg/mL Dox (knockdown) and 24 d of *in vivo* cycling  
189 hypoxia. Tumor progression was monitored by weekly bioluminescence imaging and  
190 mice were monitored daily for survival. The procedure for *in vivo* cycling hypoxic  
191 treatment was carried out following published methods [7, 8]. Briefly, the tumor-bearing  
192 mice were exposed to continuous flow of a humidified gas mixture to induce *in vivo*  
193 hypoxia in 6-liter hypoxia chambers. The mice were exposed to normal air (control) or  
194 7% O<sub>2</sub> for 4 h for non-interrupted hypoxic treatment or 12 cycles of 10 min 7% O<sub>2</sub>  
195 breathing interrupted by 10 min periods of normal air breathing for cycling hypoxic  
196 treatment. Tumor oxygen was determined with an Oxford Oxylite fiberoptic probe  
197 (Oxford) and this condition resulted in the tumor pO<sub>2</sub> of 1.2-2.5 mm Hg during hypoxic  
198 phase. At least 6 mice were used for each cohort. Animals exhibiting significant  
199 neurologic compromise, such as limping, or any significant paresis that impaired the  
200 ability to obtain food, were euthanized with carbon dioxide gas.

201

#### 202 ***Animal imaging***

203

204 The luminescent probe L-012 (Wako Chemical) was administered intravenously (40  
205 mg/kg) after *in vivo* hypoxic treatments for *in vivo* ROS analysis [24]. At 5 min after  
206 probe administration, luminescence from the animals was recorded with the IVIS  
207 Imaging System 200 Series (Caliper Life Sciences). To image HIF-1 activity, mice were  
208 injected with  $9.25 \times 10^6$  Bq <sup>18</sup>F-9-(4-fluoro-3-hydroxymethyl- butyl) guanine (FHBG)  
209 and imaged on a small-animal PET scanner (microPET; Concorde Microsystems) [20]. *In*  
210 *in vivo* GFP and DsRed expression were measured in an IVIS imaging system 200 series  
211 with excitation at 445-490 nm and emission at 515-575 nm for GFP or excitation at 500-  
212 550nm and emission at 575-650 nm for DsRed. The image capture condition was set up  
213 as binning (8×8), f2, FOV13, 3s. Signal intensity after background subtraction was  
214 quantified by Living Imaging software. For *in vivo* bioluminescence imaging (BLI) of  
215 tumor progression, mice were anesthetized with isoflurane and imaged 15 min after  
216 intraperitoneal injection of luciferin. Signal intensity was quantified within a region of  
217 interest over the head that was defined with LivingImage software.

218

#### 219 ***Immunofluorescence imaging***

220

221 A perfusion marker, Hoechst 33342 (1 mg/mouse; Sigma), was intravenously (i.v.)  
222 administered 30 min prior to tumor excision. Tumor tissues were frozen in the OCT  
223 embedding matrix (Shandon Lipshaw). Frozen tissue sections (10 µm) were obtained  
224 with an OTF cryomicrotome (Bright-Hacker), fixed in ice-cold methanol for 10 min, and  
225 washed with PBS. Tumor sections were co-stained for Nox4 by including Nox4 antibody  
226 (Novus) at a final concentration of 10 µg/mL. Sections were washed 3 times in PBS, each  
227 wash lasting 5 min. For Nox4 staining, sections were incubated with DyLght 649-  
228 conjugated goat anti-rabbit antibody (1:100; Molecular Probes) and washed again. Tissue

229 fluorescence was visualized with the Axio Observer A1 digital fluorescence microscope  
230 system (ZEISS).

231

### 232 ***Flow cytometry***

233

234 Tumor tissues were disaggregated with an enzyme cocktail containing collagenase type  
235 III (Sigma), hyaluronidase (Sigma), and collagenase type IV (Sigma), washed several  
236 times, and resuspended in phosphate-buffered saline (PBS) to produce a single cell  
237 suspension. Prior to flow cytometry, cells were incubated with rabbit polyclonal anti-  
238 Nox4 antibody in cold fluorescence-activated cell sorting (FACS) buffer (PBS, 0.5%  
239 BSA) on ice for 30 min. After washing in FACS buffer, cells were incubated with  
240 DyLight 649-conjugated goat anti-rabbit antibody. After the final washing step,  
241 fluorescence was measured using a FACScalibur instrument and FACSDiva 6.0 software  
242 (BD Bioscience). Tumor cells were gated according to DsRed expression and side scatter  
243 (SSC). Nox4 expression was further evaluated after Hoechst 3342 and GFP gating on  
244 cycling hypoxic tumor cells (DsRed<sup>+</sup>, Hoechst 3342<sup>+</sup>, and GFP<sup>+</sup>), chronic hypoxic tumor  
245 cells (DsRed<sup>+</sup>, Hoechst 3342<sup>-</sup> and GFP<sup>+</sup>), or normoxic tumor cells (DsRed<sup>+</sup>, Hoechst  
246 3342<sup>+</sup>, and GFP<sup>-</sup>).

247

### 248 ***Immunohistochemistry***

249

250 Tumor tissues were fixed in 4% paraformaldehyde and embedded in OCT compound.  
251 Five micrometer sections were immunostained with mouse monoclonal anti-Nox4  
252 (Novus Biologicals), visualized with an AEC kit (InnoGenex), and counterstained with  
253 hematoxylin.

254

### 255 ***Statistical analysis***

256

257 For multiple comparisons of nonparametric variables, Kruskal-Wallis ANOVA was  
258 used. For parametric variables, ANOVA was used along with Fisher's least-significant-  
259 difference (LSD). For survival analysis, statistical software for Kaplan-Meier Survival  
260 Analysis with Tarone-Ware statistics (SPSS Inc) was used.  $P < 0.05$  was considered  
261 significant. All analyses were two-tailed.

262

## 263 **Results**

264

### 265 ***Cycling hypoxia triggers ROS production via Nox4 in glioblastoma cells***

266

267 We first examined the effect of experimentally imposed non-interrupted or cycling  
268 hypoxic stress on ROS production and Nox4 expression. An increasing fluorescent signal  
269 was observed in cycling hypoxia-treated GBM8401 and U87 cells (Fig. 1A and B). In  
270 contrast to cycling hypoxia treated-cells, no significant increase in ROS levels was found  
271 in normoxic or non-interrupted hypoxic cells. Furthermore, Q-PCR and western blot  
272 analysis also showed significantly increased levels of Nox4 mRNA and protein were  
273 expressed in cycling hypoxia-treated cells (Fig. 1C and D). To study the source of ROS  
274 generation in cycling hypoxia, we used a specific silencing siRNA to knockdown Nox4

275 induction in GBM8401 and U87 cells under cycling hypoxia. RT-PCR and western blot  
276 analysis showed that this siRNA successfully knocked down Nox4 expression, whereas  
277 the negative control (Neg) siRNAs did not (Fig. 1C and D). Cycling hypoxia-induced  
278 ROS was inhibited by Nox4 knockdown and by treatment with the NADPH oxidase  
279 inhibitor diphenyleneiodonium chloride (DPI, 10  $\mu$ M). These results indicate that cycling  
280 hypoxia triggers ROS production via Nox4 in glioblastoma cells.

281

### 282 ***Nox 4 knockdown and antioxidant compound suppress cycling hypoxia-induced ROS*** 283 ***levels in glioblastoma xenografts***

284

285 Having linked cycling hypoxia with ROS production, we next sought to determine  
286 whether Nox4 knockdown or treatment with an antioxidant compound can suppress  
287 cycling hypoxia-induced ROS levels in glioblastoma xenografts. We first utilized  
288 tetracycline-inducible lentiviral vectors encoding shRNAs to stably and specifically  
289 knockdown Nox4 in GBM8401-Luc cells. These cells expressed a low level of Nox4 in  
290 the presence of Dox (Fig. 2A). The Nox4 immunohistochemical analysis in GBM8401-  
291 Luc xenografts demonstrated that Dox induction of shRNAs targeting Nox4 *in vivo* also  
292 led to suppression of Nox4 expression in glioblastoma tumors (Fig. 2B). As shown in  
293 Fig. 2C and D, the ROS levels were significantly higher in cycling hypoxia-pretreated  
294 glioblastoma tumors than in control tumors. However, Nox4 knockdown or Tempol  
295 treatment in glioblastoma xenografts under cycling hypoxic stress inhibited additional  
296 cycling hypoxia and endogenous tumor microenvironment-induced ROS production.  
297 These results suggest that cycling hypoxia generates oxidative stress to produce ROS  
298 within the tumor microenvironment. Nox4 knockdown or Tempol treatment *in vivo*  
299 suppresses cycling hypoxia-induced ROS levels in glioblastoma.

300

### 301 ***Cycling hypoxia induces high, long-term HIF-1 activation in vitro and in vivo***

302

303 The amount of HIF-1 $\alpha$  protein in nuclear extracts was assayed by Western blot  
304 analysis after 4 h of *in vitro* hypoxic treatment. Both non-interrupted and cycling  
305 hypoxic stress caused GBM8401 and U87 cells to increase expression of HIF-1 $\alpha$  protein.  
306 However, HIF-1 $\alpha$  protein levels in GBM8401 and U87 cells under cycling hypoxic stress  
307 were higher than in cells under non-interrupted hypoxic stress (Fig. 3A). We next  
308 verified whether this effect could further induce differential HIF-1 signal transduction. As  
309 shown in Fig. 3B, transcriptional activity at the hypoxia-responsive elements (HRE) in  
310 cycling hypoxia-treated GBM8401/hif-1-r was significantly higher than in the non-  
311 interrupted hypoxia-treated group. We then sought to validate our *in vitro* findings in  
312 GBM xenografts. *In vivo* optical imaging was used to record reporter activity in 14-day  
313 GBM xenografts in mice following *in vivo* hypoxic treatments. Time-course data showed  
314 that HIF-1 signal transduction increased steadily over time and peaked at 24 h after non-  
315 interrupted hypoxic stress and at 48–72 h after cycling hypoxic stress (Fig. 3C). These  
316 data indicate that cycling hypoxic stress results in significantly prolonged elevation of  
317 HIF-1 signal transduction in glioblastoma cells.

318

### 319 ***ROS is required for cycling hypoxia-induced HIF-1 activation in vitro and in vivo***

320



321 To investigate whether ROS is required for cycling hypoxia-induced HIF-1 activation,  
322 GBM8401/hif-1-r and U87/hif-1-r cells were treated with Tempol over a 4-h period of  
323 cycling hypoxia treatment and Tempol prevented ROS generation in these conditions  
324 (Fig 1A and B). FACS demonstrated that HIF-1 signal transduction activity in the cycling  
325 hypoxia-treated cells increased steadily after treatment (Fig. 4A). Tempol treatment  
326 following cycling hypoxia abrogated the increase in HIF-1 signal transduction. We then  
327 sought to verify our *in vitro* findings *in vivo*. MicroPET and *in vivo* optical imaging  
328 studies demonstrated that mice bearing GBM8401/hif-1-r xenografts under cycling  
329 hypoxic stress had significantly higher [<sup>18</sup>F]FHBG accumulation and fluorescence  
330 intensity in GBM tumors compared to control mice (Fig. 4B and C; Table 1). Moreover,  
331 the cycling hypoxia-induced [<sup>18</sup>F]FHBG accumulation and fluorescence intensity in  
332 GBM tumors was inhibited by Tempol treatment. These results indicate that ROS are  
333 required for cycling hypoxia-induced HIF-1 activation, and Tempol is an effective ROS  
334 inhibitor for blocking cycling hypoxia-mediated HIF-1 activation.

335

### 336 ***The majority of HIF-1 signal transduction activity and Nox4 expression occurs in*** 337 ***endogenous cycling hypoxic areas in solid tumor***

338

339 To investigate the biosignature of Nox4 expression and HIF-1 signal transduction  
340 within the tumor microenvironment, mice bearing 18-d orthotopic GBM8401/hif-1-r  
341 xenografts were injected intravenously with a perfusion marker (Hoechst 33342) and the  
342 tumors were removed for tissue immunofluorescence imaging. Tight colocalization of  
343 higher GFP intensity and Hoechst 33342 signals was observed (Fig. 5A), indicating that  
344 the majority of HIF-1 signal transduction occurs in areas with relatively high perfusion.  
345 Areas with positive Hoechst 33342 staining and GFP expression were also potential  
346 cycling hypoxic areas. However, areas that were positive for GFP expression but negative  
347 for Hoechst 33342 were mostly chronic hypoxic areas. Furthermore, Nox4 expression  
348 tended to occur in the cycling hypoxic areas but not in the chronic hypoxic areas. To  
349 better verify endogenous tumor microenvironment-mediated HIF-1 activation and Nox4  
350 expression in the solid tumor, we identified subpopulations of tumor cells from  
351 GBM8401/hif-1-r xenografts based on differential Hoechst 33342 and GFP fluorescence  
352 and investigated Nox4 expression in these subpopulations using flow cytometry. As  
353 illustrated in Fig. 5B, the tumor suspension consisted of approximately  $28 \pm 4\%$  cycling  
354 hypoxic cells (Hoechst 33342<sup>+</sup> and GFP<sup>+</sup>),  $10 \pm 2\%$  chronic hypoxic cells (Hoechst 33342<sup>-</sup>  
355 and GFP<sup>+</sup>), and  $58 \pm 6\%$  normoxic cells (Hoechst 33342<sup>+</sup> and GFP<sup>-</sup>). Moreover, Nox4  
356 expression was significantly higher in cycling hypoxic cells than in chronic hypoxic cells  
357 or normoxic cells (Fig 5C). These results suggest that the majority of HIF-1 signal  
358 transduction activity and Nox4 expression occurs in areas of endogenous cycling hypoxia  
359 in solid tumors.

360

### 361 ***Cycling hypoxia promotes tumor growth via Nox4-mediated ROS***

362

363 We utilized BLI for assessing intracranial tumor response to cycling hypoxia, Nox4  
364 knockdown, and Tempol treatment in the orthotopic GBM8401-Luc xenograft model.  
365 There was a highly significant increase in tumor growth rate in the group receiving  
366 cycling hypoxia treatment compared to the control group (Fig. 6A). Both cycling

367 hypoxia-pretreated mice and control mice treated with Dox or Tempol showed inhibition  
368 of tumor growth. Our results also demonstrated that the mean survival time in cycling  
369 hypoxia-pretreated mice was significant lower than in control mice (Fig. 6B). Dox or  
370 Tempol treatment significantly prolonged the survival of cycling hypoxia-pretreated mice  
371 and normoxic mice. In summary, Nox4 knockdown and Tempol treatment during GBM  
372 progression may be a therapeutic approach to block the impact of cycling hypoxia on  
373 tumor progression.

374

## 375 **Discussion**

376

377 Hypoxia and reoxygenation are distinct stimuli capable of stimulating ROS formation.  
378 Hypoxia stimulates ROS formation from mitochondria [25] and xanthine oxidase [26],  
379 whereas re-oxygenation induces NADPH oxidase-derived ROS formation [27]. However,  
380 little is known of the difference in tumor ROS levels between cycling hypoxia and non-  
381 interrupted hypoxia and the oxygen sensor that regulates ROS production in the tumor  
382 microenvironment has not been identified. Recent studies have demonstrated that Nox  
383 proteins are expressed in many cell types and tissues [28]. Their expression and function  
384 varies from tissue to tissue. Nox-based oxidases promote cancer development and  
385 overproduction of intracellular ROS is thought to increase the risk of cancer [29]. In the  
386 present study, *in vitro* and *in vivo* ROS analysis clearly showed that cycling hypoxia  
387 could induce more ROS production than normoxia in GBM cells and xenografts. We also  
388 examined the role of Nox4 in cycling hypoxia-induced ROS production because it has  
389 been suggested that Nox4 is expressed in human glioma [17]. The following evidence  
390 demonstrates that Nox4 is essential for cycling hypoxia-induced ROS production. First,  
391 cycling hypoxia induced Nox4 mRNA and protein expression in GBM cells. Second, up-  
392 regulation of Nox4 expression tended to occur in the cycling hypoxic areas of the solid  
393 tumor. Third, knockdown of Nox4 expression or DPI treatment suppressed cycling  
394 hypoxia-induced ROS generation. We conclude that Nox4 is a critical mediator of the  
395 tumor microenvironment under cycling hypoxia, and mediates ROS production in GBM.

396

397 GBM tumors may contain numerous hypoxic areas that exhibit elevated HIF-1 signal  
398 transduction activity [30], which results in increased expression of many downstream  
399 target genes that contribute to tumor malignancy [31]. Activation of HIF-1 signal  
400 transduction in GBM appears to be initiated through a vicious cycle of poorly functioning  
401 vasculature that perpetuates the development of chronic or cycling hypoxic regions  
402 throughout the tumor [32]. Since it is difficult to explore the naturally occurring variation  
403 of different hypoxia-induced responses, we used a direct experimental technique to  
404 modify tumor oxygenation and induce additional chronic or cycling hypoxia in tumors.  
405 With this approach, we can directly observe the effects of chronic and cycling hypoxia on  
406 relevant responses or mechanisms in living subjects. In addition, the dynamics of HIF-1  
407 signal transduction activity mediated by cyclic hypoxia in a tumor is fast due to the  
408 instability of the HIF-1 $\alpha$  protein under reoxygenation; a reporter gene with a high  
409 temporal resolution is required for monitoring such dynamic processes [20]. Although  
410 TKGFP has been used for monitoring temporal dynamics and spatial heterogeneity of  
411 HIF-1 signal transduction within tumors in living subjects, its use is impractical for real-  
412 time monitoring of the dynamics of activity mediated by hypoxia and reoxygenation in

413 tumors because of its poor temporal resolution [21]. To more faithfully reflect the  
414 dynamics of HIF-1 signal transduction activity mediated by cyclic hypoxia *in vitro* and *in*  
415 *vivo*, we developed a modified TKGFP (NESTKGFP:dMODC) for observing the  
416 temporal dynamics and spatial heterogeneity of HIF-1 signal transduction activity in  
417 tumors. In this study, *in vitro* and *in vivo* data clearly demonstrate that GBM cells or  
418 GBM-bearing mice exposed to cycling hypoxia induce more prolonged and higher tumor  
419 HIF-1 signal transduction activity than that of non-interrupted hypoxia. Our *in vivo*  
420 results validate the *in vitro* results derived from earlier studies [4,6,33] and suggest that  
421 cycling hypoxia, like chronic hypoxia, can induce HIF-1 transcriptional activity in living  
422 subjects.

423

424 Although *in vitro* or *in vivo* hypoxic treatments of tumor cells or xenografts can  
425 provide indirect evidence of the biosignature of cycling hypoxic cells *in vivo*, it is best to  
426 directly validate these biosignatures in the endogenous tumor microenvironment. We  
427 have established a reliable protocol of cycling hypoxic cell identification that allows  
428 subsequent immunofluorescence imaging or flow cytometric analysis of the biosignature  
429 in these cells. We modified a technique based on a previously reported protocol [34,35].  
430 This technique utilizes the diffusion/consumption properties of Hoechst 3342 when it  
431 passes through several cell layers and can separate tumor cells as a function of their  
432 distance from the blood supply. However, the original technique cannot be used to  
433 distinguish or isolate cycling hypoxic cells and chronic hypoxic cells from a  
434 heterogeneous population of tumor cells in the solid tumor due to a lack of a cycling  
435 hypoxic biomarker. Here, we identify these cells according to the physiological and  
436 molecular characteristics of cycling hypoxia. Cycling hypoxia tended to occur in highly  
437 vascular regions with relatively high permeability and therefore, cycling hypoxic areas  
438 still have blood perfusion after transient occlusion or narrowing of the vasculature [5,36].  
439 In contrast, chronic hypoxic areas do not have blood perfusion, even when the blood  
440 perfusion of the areas proximal to the blood vessels has been restored. Therefore, the  
441 perfusion marker, Hoechst 33342, stains positive in both normoxic and cycling hypoxic  
442 cells within solid tumors when the marker is injected into living mice and permitted to  
443 circulate for a period of time [34]. Moreover, it has been shown that cells exposed to  
444 cycling hypoxia exhibit more robust HIF-1 activation than cells that are chronically  
445 hypoxic [6,33,37]. Therefore, reporter gene expression is induced by HIF-1 activation in  
446 both cycling and chronic hypoxic cells within solid tumors. Cells that are positive for  
447 Hoechst 33342 staining and HIF-1 activation are potential cycling hypoxic cells.  
448 Therefore, the combination of Hoechst 33342 staining and HIF-1 activation labeling,  
449 together with immunofluorescence imaging or flow cytometric analysis, is an effective  
450 approach to identifying hypoxic heterogeneous populations in solid tumors.

451

452 The effects of chronic hypoxia on HIF-1 regulation have been extensively studied, and  
453 it is clear that chronic hypoxia can stabilize HIF-1 $\alpha$  due to blockage of the degradation  
454 pathway, further inducing its signal transduction activity [38]. Recently, it has been  
455 shown that cells exposed to cycling hypoxia exhibit a more robust HIF-1 response than  
456 cells that are chronically hypoxic [6]. The results from earlier works, as well as our  
457 present results, clearly demonstrate that cells exposed to cycling hypoxia can induce  
458 more HIF-1 $\alpha$  protein expression and activity than they do under non-interrupted

459 hypoxia. Although the mechanisms of this effect are complex and still not fully clear,  
460 ROS may play a role in cycling hypoxia-enhanced HIF-1 $\alpha$  protein expression and signal  
461 transduction activity [4]. In our current work, *in vitro* and *in vivo* results confirmed that  
462 ROS is required for cycling hypoxia-induced HIF-1 activation and the antioxidant  
463 compound, Tempol, inhibited cycling hypoxia-induced HIF-1 signal transduction  
464 activity. Although the mechanism of ROS-mediated HIF-1 signal transduction activity  
465 under cycling hypoxia is still not defined, 2 mechanisms for ROS-mediated HIF-1  
466 activation have been suggested. One possibility is that ROS stabilizes HIF-1 $\alpha$ . Early  
467 studies demonstrated that the production of ROS under normoxia stabilizes HIF-1 $\alpha$  and  
468 contributes to HIF-1 activation [39]. The other possibility is that ROS depolymerizes  
469 stress granules and further enhances downstream HIF-1 signaling [40]. Pioneer studies  
470 showed that a pool of HIF-1-regulated transcripts were kept untranslated in the course of  
471 hypoxia in stress granules that were depolymerized during reoxygenation, allowing the  
472 rapid translation of sequestered transcripts under normoxia. These mechanisms could also  
473 explain, at least in part, how ROS enhances HIF-1 activation and transduction activity  
474 under cycling hypoxia.

475

476 In earlier studies, cycling hypoxia-promoted tumor invasion was found in animal  
477 models [7,8]. However, the different tumor growth rates between cycling hypoxia-treated  
478 mice and control mice were observed in human cervical carcinoma-bearing mice but not  
479 in KHT tumor-bearing mice, suggesting that cycling hypoxia has different effects on  
480 progression of different tumors. To our knowledge, the impact of cycling hypoxia on  
481 tumor progression in GBM has not been investigated. In this study, we found that cycling  
482 hypoxia promoted tumor growth in GBM. Importantly, we have also demonstrated that  
483 Nox4 and ROS are crucial mediators in cycling hypoxia-promoted tumor growth. Nox4  
484 knockdown or Tempol treatment suppressed tumor ROS and tumor growth in cycling  
485 hypoxia-treated mice and control mice. Recently, it has been shown that endogenous  
486 ROS play an important role in angiogenesis and tumor growth [10]. Many cancer cells  
487 show increased levels of ROS via genetic alternations or growth factors. The increased  
488 ROS could modulate signaling pathways and transcription factors for tumor initiation and  
489 progression. Here, we highlighted how the tumor microenvironment, cycling hypoxia,  
490 increased tumor cell ROS via Nox4 and further promoted tumor growth in GBM.  
491 Blockage of ROS production via Nox4 shRNA or Tempol treatment inhibits endogenous  
492 tumor microenvironment or exogenous cycling hypoxia-mediated tumor growth,  
493 suggesting that ROS play crucial roles in the promotion of tumor growth induced by  
494 cycling hypoxia. Although it is possible that other ROS-mediated signaling pathways are  
495 involved, we report here that ROS play important roles in cycling hypoxia-mediated HIF-  
496 1 activation and further promote tumor progression in GBM. This information may be  
497 useful to understanding new mechanisms of tumor microenvironment-promoted  
498 tumorigenesis and to develop new therapeutic strategies by targeting ROS signaling in  
499 human GBM.

500

## 501 **Conclusion**

502

503 Cycling hypoxia-induced ROS via Nox4 is a critical aspect of cancer biology to  
504 consider for therapeutic targeting of HIF-1 activation and cancer progression in GBM.

505

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507

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510

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512

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615  
616

## 617 **Figure Legends**

618

619 Figure 1. Cycling hypoxia triggers ROS production via Nox4 in glioblastoma cells.  
620 GBM8401 and U87 cells were treated with cycling hypoxic stress for 4 h in the absence  
621 or presence of Nox4 siRNA or 10  $\mu$ M diphenyleneiodonium chloride (DPI), and the  
622 levels of intracellular ROS (A), H<sub>2</sub>O<sub>2</sub> (B), Nox4 mRNA (C), and Nox4 protein (D) were  
623 evaluated by H2DCHFDA reagent, Amplex Red assay, Q-PCR, and western blotting,  
624 respectively. Each bar represents the mean  $\pm$  standard deviation of triplicate  
625 measurements. \* p < 0.01 compared to normoxia. # p < 0.01 compared to cycling  
626 hypoxia.

627

628 Figure 2. Nox 4 knockdown and a antioxidant compound suppress cycling hypoxia-  
629 induced ROS levels in glioblastoma xenografts. (A) Regulation of Nox4 by Dox-  
630 inducible shRNA. GBM8401-Luc cells were infected with Tet-regulable lentiviral  
631 vectors encoding Nox4 shRNAs. The infected cells were treated with or without Dox for  
632 24 h and harvested for western blot analysis. (B) Immunohistochemical analysis of Nox4  
633 in GBM8401-Luc xenografts with or without conditional knockdown of Nox4 under  
634 cycling hypoxic stress. Original magnification,  $\times$ 200. Bar, 100  $\mu$ m. (C) *In vivo* optical  
635 imaging of GBM-bearing mice injected with L-012. (D) Quantitative data obtained from  
636 *in vivo* optical imaging of ROS levels in GBM xenografts with or without Dox or Tempol  
637 following *in vivo* cycling hypoxic stress. \* p < 0.01 compared to normoxia. # p < 0.01  
638 compared to cycling hypoxia.

639

640 Figure 3. Cycling hypoxia induces higher, long-term HIF-1 activation in glioblastoma  
641 cells and xenografts. (A) Western blot analysis of HIF-1 $\alpha$  in GBM8401 and U87 cells  
642 after cycling hypoxia. Cells were exposed to hypoxic stress, either non-interrupted or  
643 cycling, for 4 h and harvested to determine the amounts of HIF-1 $\alpha$  protein in nuclear  
644 extracts. (B) Transcriptional activity at hypoxia response elements in GBM8401 cells  
645 after cycling hypoxic stress. GBM8401/hif-1-r cells were cultured under hypoxic stress,  
646 either non-interrupted or cycling, for 4 h and grown in normoxia for different periods,  
647 followed by measurements of reporter gene expression. (C) Kinetics of HIF-1  
648 transcriptional activity in GBM8401/hif-1-r xenografts after cycling hypoxic stress. *In*  
649 *vivo* fluorescence imaging (FLI) was performed for GBM8401/hif-1-r tumors before  
650 hypoxic treatments and at different times after hypoxic treatments. The data represent the  
651 mean  $\pm$  standard deviation of the ratio of average counts within the tumor region of  
652 interest (ROI) in GFP and DsRed signals from 6 mice.

653

654 Figure 4. ROS is required for cycling hypoxia-induced HIF-1 activation in glioblastoma  
655 cells and xenografts. (A) Flow cytometric analysis of HIF-1 transcriptional activity in  
656 GBM8401/hif-1-r and U87/hif-1-r cells exposed to cycling hypoxic stress with or without  
657 Tempol. *In vivo* microPET imaging (B) and *in vivo* optical imaging (C) of HIF-1  
658 transcriptional activity in GBM8401/hif-1-r tumors with or without Tempol treatment.  
659 MicroPET imaging with [<sup>18</sup>F]FHBG and *in vivo* optical imaging were used to determine *in*  
660 *vivo* HIF-1 signal transduction activity 24 h after *in vivo* cycling hypoxia treatment.

661

662 Figure 5. The majority of HIF-1 signal transduction activity and Nox4 expression occurs  
663 in endogenous cycling hypoxic areas in a solid tumor. (A) Representative images of  
664 microscopic GBM8401/hif-1-r xenografts. Upper left, fluorescence image of DsRed  
665 reporter (red), indicating tumor cell localization within the brain. Upper right,  
666 fluorescence image of Hoechst 33342 (blue) showing perfusion within the brain and  
667 tumor tissue. Lower left, fluorescence image of GFP reporter (green), demonstrating HIF-  
668 1 transcriptional activity in tumor cells. Lower middle, fluorescence image of Nox4  
669 staining (red). Lower right, fluorescence overlay image of Hoechst 33342 (blue), GFP  
670 reporter (green), and Nox4 (red). Bar, 50  $\mu$ m. (B) Scatterplots by 2-color staining with  
671 Hoechst 3342 and GFP. (C) Mean channel fluorescence of Nox4 staining was determined  
672 in cycling hypoxic cells (Hoechst 3342<sup>+</sup> and GFP<sup>+</sup>), chronic hypoxic cells (Hoechst 3342<sup>-</sup>  
673 and GFP<sup>+</sup>), and normoxic cells (Hoechst 3342<sup>+</sup> and GFP<sup>-</sup>) as gated in scatterplots by  
674 Hoechst 3342 and GFP staining.

675

676 Figure 6. Cycling hypoxia promotes tumor growth via Nox4-mediated ROS in GBM  
677 xenografts. (A) The mean normalized BLI values associated with longitudinal monitoring  
678 of intracranial tumor growth for each treatment group. Mice bearing 12-d orthotopic  
679 GBM8401-Luc xenografts were treated daily with Dox-inducible Nox4 knockdown or  
680 100 mg/kg Tempol following *in vivo* cycling hypoxia treatment for 24 days. Bars report  
681 the mean  $\pm$  standard deviation of measurements in 6 mice. (B) The corresponding  
682 survival curves of GBM8401-Luc xenograft-bearing mice exposed to daily treatment  
683 with Dox-inducible Nox4 knockdown or Tempol following *in vivo* cycling hypoxia  
684 treatment. \*  $p < 0.01$  compared to normoxia.



685

686 **Table**

687

688 Table 1. Quantitative data obtained from microPET imaging and *in vivo* optical imaging  
689 of HIF-1 transcriptional activity in GBM8401/hif-1-r tumors with or without Tempol  
690 treatment

691

	MicroPET Imaging (% ID/g)	In Vivo Optical Imaging ( $\times 10^9$ p/s/cm <sup>2</sup> /sr)
Normoxia	0.46 $\pm$ 0.12	0.43 $\pm$ 0.17
Cycling hypoxia	1.32 $\pm$ 0.48*	1.13 $\pm$ 0.42*
Cycling hypoxia + Tempol	0.57 $\pm$ 0.21	0.52 $\pm$ 0.18

692 Each value represents the mean  $\pm$  standard deviation (SD) of the values obtained from 6  
693 mice. \*  $p < 0.01$  compared to normoxia.

694