

1 **A long-term follow-up study of 176 Taiwanese women with adult-type ovarian**
2 **granulosa cell tumors – from the Taiwanese Gynecologic Oncology Group**
3 **(TGOG)**

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26 Running title: adult type granulosa cell tumors of ovary

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32 Abstract

33 Objective

34 Because of rarity, indolent clinical course, and of most importance, small sample size
35 studies of previous adult-type ovarian granulosa cell tumors (GCTs), this study was
36 conducted to report the clinical characteristics and long-term outcomes of 176
37 pathologically confirmed GCTs.

38 Patients and methods

39 Between 1984 and 2010, we retrospectively evaluated 176 Taiwanese patients from
40 multiple medical centers in Taiwan (TGOG Study Group).

41 Results

42 The mean age at the diagnosis was 46 years (range, 15–83 years) and nearly half of
43 the patients (45.7%) were in their fourth or fifth decades of life. The most common
44 symptoms included abdominal pain (28.5%, n = 58), following by irregular
45 menstruation (16.7%, n = 34). The mean tumor size was 10.4 cm (range, 0.2–40 cm).
46 The stage distribution at diagnosis was stage I in 77.8% of patients, stage II in 5.1%,
47 stage III–V in 6.1%, and unknown in 11% of patients. The median follow-up period
48 was 60.7 months (range, 1–316 months). The recurrence rate was 21%. The overall 5-
49 and 10-year survival rates were 96.5% and 94.1%, respectively. In univariate
50 Cox-regression analysis, initial stage, presence of residual tumor after initial surgery,

51 need for adjuvant chemotherapy, and tumor size were associated with disease
52 recurrence. In the multivariate Cox-regression analysis, only presence of residual
53 tumor after initial surgery and tumor size were significantly associated with
54 recurrence.

55 Conclusions

56 The outcomes of patients with GCTs were good, with nearly to 95% of patients
57 surviving 5 and 10 years. The prognosis was related to initial tumor status, including
58 initial stage, presence of residual tumor after initial surgery, and tumor size (> 13.5
59 cm), suggesting complete removal of the tumor during the initial operation is
60 important; different surgical methods and/or adjuvant therapy appear not to affect the
61 outcome.

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64 Key words: characteristics of recurrence; granulosa cell tumors; outcomes

65 Introduction

66 Adult-type ovarian granulosa cell tumors (GCTs) are very rare neoplasms that arise
67 from the sex cord stromal cells of the ovaries. These neoplasms have a long natural
68 history and a relatively favorable prognosis.¹ There is no doubt that some patients
69 with GCTs have recurrent disease and finally die of the disease.² Symptoms of GCTs
70 are often non-specific, although abnormal vaginal bleeding or precocious puberty may
71 be the initial presentation, because of estrogen-production by GCTs.^{3,4} After diagnosis
72 of a GCT, complete surgical excision is the mainstay of treatment,^{1,4} and the majority
73 of patients can be adequately controlled or frequently cured, especially patients with
74 early-stage disease. The value of postoperative adjuvant therapy is uncertain,¹
75 although multi-agent chemotherapy is often administered to patients with high-risk or
76 advanced disease. A handful papers address the topic of GCTs and some prognostic
77 factors are proposed, including disease stage, tumor size, tumor rupture, age at
78 diagnosis, nuclear atypia, mitotic index, and presence of residual tumor after initial
79 surgery.³⁻²⁸ Some of these factors remain controversial. Nonetheless, because of their
80 rarity, indolent clinical course, and of most importance, small sample sizes of these
81 studies,⁴⁻²⁷ a larger sample size is needed to improve our understanding of GCTs.
82 Thus, the purpose of this study was to characterize this rare tumor and identify the
83 factors associated with its prognosis among Taiwanese women.

84

85 Patients and methods

86 The Institutional Review Boards of all medical centers in the Taiwanese Gynecologic

87 Oncology Group Study Group (TGOG Study Group) approved the study. After

88 excluding the overlapping patients between the different medical centers and the cases

89 which loss of follow up, 176 Taiwanese women with pathologically confirmed GCTs

90 diagnosed between 1984 and 2010 were analyzed. A retrospective review of patient

91 medical records was conducted.

92 The characteristics recorded included age at diagnosis, height, weight, gravidity,

93 parity, menopausal status, chief complaint, presence of endometrial pathology,

94 surgical and adjuvant treatment, presence of recurrent disease and mortality, and

95 survival in months.

96 The statistical analysis was conducted using SPSS ver. 18 (SPSS, Inc., Chicago,

97 Illinois). $P < 0.05$ was defined as statistically significant; all tests were 2-tailed. The

98 recurrence curves were calculated using the Kaplan-Meier method and the log-rank

99 test was used to compare the recurrence curves. Univariate and multivariate analyses

100 were performed using Cox's regression model. Pearson's chi-squared or Fisher's exact

101 test was used to compare the differences of proportions.

102

103 Results

104 A total of 176 patients underwent surgical treatment for GCTs at the multiple medical
105 centers in Taiwan (TGOG Study Group) during the period of 1984–2010.

106 The mean age of patients was 46 years (range, 15–83 years) and almost half of the
107 cases (45.7%) occurred in the fourth and fifth decades of life. The mean gravidity was
108 3.0 and the mean parity was 2.1. The most common symptoms included abdominal
109 pain (28.5%, n = 58), following by irregular menstruation (16.7%, n = 34). Other
110 presenting symptoms were palpable mass, abdominal distention, and vaginal bleeding.
111 Some 29 patients (14.3%) were asymptomatic and were diagnosed with GCTs during
112 routine examinations such as ultrasonography or computerized tomography. The mean
113 tumor size was 10.4 cm (range, 0.2–40 cm). The clinical characteristics of the patients
114 are summarized in Table 1.

115 The type of initial surgery was conservative and staging surgery. Of the 176
116 patients, 98 underwent conservative surgery, which included unilateral oophorectomy,
117 bilateral salpingo-oophorectomy, or total abdominal hysterectomy with bilateral
118 salpingo-oophorectomy. Another 78 patients underwent staging surgery. Seven
119 patients had residual tumors after surgery and 28 patients received postoperative
120 adjuvant chemotherapy. Indications for chemotherapy and chemotherapeutic regimens
121 were not standardized.

122 We found that the majority of patients had stage I disease (137 cases, 77.8%),
123 while 5.1% had stage II, 6.1% had stage III–V, and in 11% of patients, the stage was
124 unknown. The follow-up began with the initial surgery and the mean follow-up period
125 was 60.7 months (range, 1–316 months).

126 There were 36 cases (21.0%) of recurrence during follow up, including five cases
127 of disease-related death (Table 2). The median time to relapse was 57.6 months (range,
128 2–166 months). Among the cases of recurrence, peritoneal recurrence was the most
129 common (77.4%). The clinical findings of patients with recurrent disease are
130 summarized in Table 3. The overall 5-year survival and 10-year survival rates for all
131 stages were 96.5% and 94.1%, respectively.

132 Kaplan-Meier curves illustrate the recurrence outcomes (Figs. 1). Following
133 univariate Cox regression modeling, recurrence was associated with advanced stage
134 ($P = 0.015$), residual tumor during surgery ($P < 0.001$), and adjuvant chemotherapy (P
135 $= 0.017$, see below). After excluding interfering factors, in the multivariate analysis,
136 residual tumor during surgery and tumor size (13.5 cm) were significantly associated
137 with recurrence; tumor size and cutoff point were evaluated using the receiver
138 operator characteristic curve. Age at diagnosis, menopausal status, body mass index,
139 gravidity and parity, surgical method (conservative surgery or staging surgery), and
140 type of adjuvant chemotherapy were not statistically significantly associated with

141 disease recurrence (Figs. 2).

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143 Discussion

144 GCTs of the ovary are very rare sex cord stromal tumors. They are low-grade

145 malignancies with relatively favorable prognoses compared to more commonly

146 encountered epithelial ovarian tumors.^{1,2} Nonetheless, some patients diagnosed with

147 GCTs still suffer from late recurrence or disease-related mortality after treatment.²³

148 Additionally, the rarity of GCT and its slow progression make it difficult to clearly

149 understand its clinical course, provide adequate treatment, and recognize its

150 prognostic factors. Most patients with GCTs have mass effects, such as abdominal

151 pain and distension or hormone-related symptoms such as vaginal bleeding or

152 irregular menstruation, and these findings are considered nonspecific findings for

153 ovarian tumors. Estradiol, inhibin, and anti-Müllerian hormone are reported as GCT

154 tumor markers,¹⁻³ but routine tumor markers such as CA-125 are not correlated with

155 the progression of the disease. Indolent growth, lack of specific symptoms, and the

156 absence of specific tumor markers make it difficult to diagnose GCT before surgery

157 and lead to large tumors at diagnosis.

158 Most studies report that the leading prognostic factors of GCTs include stage of

159 the disease, tumor size, tumor rupture, age at diagnosis, nuclear atypia, mitotic index,

160 and presence or absence of residual tumor after initial surgery.³⁻²⁸ The currently
161 available data on these tumors, including at least 30 cases with prognostic factors, are
162 summarized in Table 4. From another point of view, there are too few studies on GCTs
163 to identify the prognostic factors clearly. Therefore, prediction of the prognostic
164 factors of these rare tumors is important.

165 In our study, age at diagnosis, stage of disease, presence or absence of
166 macroscopic residual disease, tumor size, surgical method, and use of adjuvant
167 chemotherapy modalities were investigated in order to determine their prognostic
168 significance. Disease stage is the most widely accepted GCT prognostic factor (Table
169 4.³⁻²⁸ Many studies show a relationship between stage and survival rate. Five-year
170 survival is reported to be 80%–95%³⁻²⁸ for patients with early stage disease and
171 survival declined to 25%–40%³⁻²⁸ in patients with advanced disease. Our results also
172 indicated that the disease stage was significantly related to GCT recurrence ($P = 0.011$,
173 Fig. 1).

174 In our study, the Kaplan-Meier curves illustrate that the most significant prognostic
175 factor is the presence of residual tumor after initial resection ($P < 0.001$, Fig. 1).

176 Theoretically, presence of residual tumor after initial surgery means the tumor will
177 progress over time, and almost all patients who had residual tumor after their initial
178 resection ended up with advanced-stage disease.³⁻¹⁰ In our study, seven patients had

179 residual tumor after their initial resection and six (85.7%) of them had tumor
180 recurrence during follow up.

181 Adjuvant chemotherapy for high risk ovarian cancer is commonly accepted.
182 Additionally, it is usually recommended for treatment of adult type GCTs.⁵ Among
183 our patients, adjuvant chemotherapy was associated with a greater probability of GCT
184 recurrence ($P = 0.014$, Fig. 1). At first glance, this is illogical, but patients who
185 received adjuvant chemotherapy had the greatest numbers of risk factors for
186 recurrence. Adjuvant chemotherapy is especially recommended for patients with
187 residual tumor after initial surgery.^{5,8,12} Adjuvant chemotherapy using multiple
188 modalities is currently used and most regimens are platinum-containing protocols.¹⁵
189 Due to the rarity of GCTs, there is no standard regimen for postoperative adjuvant
190 chemotherapy. In our study different regimens were used for postoperative adjuvant
191 chemotherapy. Regardless of the regimen used, the results showed greater recurrence
192 in the adjuvant chemotherapy group than in the group without adjuvant chemotherapy.
193 In certain special conditions such as advanced age or multiple medical problems,
194 adjuvant chemotherapy might not be considered at all.

195 In our study, most patients (82.9%) were diagnosed in the early stage of their
196 disease and mean tumor size at diagnosis was 10.4 cm. Nonetheless, a tumor size of
197 10.4 cm is not considered to be associated with an early diagnosis. In the statistical

198 analyses, tumor sizes greater than 13.5 cm were associated with more recurrence
199 (95% CI 1.10–5.41, P = 0.028). Tumors as large as 10.4 cm had a long indolent
200 growth phase and already existed for a long time before discovery and diagnosis. We
201 believe this is the main reason why large tumors are poor prognostic factors in our
202 study.

203 Other parameters such as age at diagnosis, menopausal status, gravidity and parity,
204 and type of surgery (conservative surgery or staging surgery) were not significantly
205 associated with relapse of GCT (all P > 0.05).

206 Patient age is reported as a prognostic factor in some studies, but there is no
207 standardized age recognized and it is highly variable.⁵ The patient age in our study
208 ranged from the very young to elderly (7–83 years), with the peak incidence in the
209 perimenopausal age group (fifth decade of life, 25.4%) and mean age of 46 years.
210 These data are compatible with those of other authors.^{4,24} When patients were
211 categorized by age (≤ 40 or >40 , ≤ 50 or >50 , ≤ 60 or >60) the results did not differ
212 significantly. The recurrence rates were similar among the groups.

213 There was no significant difference in recurrence between patients who underwent
214 conservative surgery and those who underwent staging surgery in our study. Many
215 studies proved that there was no survival or recurrence advantage with standard
216 surgery compared to conservative surgery.^{12–14} Complete resection of the tumor,

217 without any residual tumor, is the most important achievement for prevention of
218 recurrence. For some special conditions such as fertility preservation or poor medical
219 condition, complete staging surgery may not necessary.

220 The rarity of GCTs, make it hard to conduct prospective and well-controlled
221 studies. Including our study, most of the previous studies have this weak point.
222 Another weak point in this study is that it included patients without identical
223 interventions and some data were incomplete due to loss of follow up. Other possible
224 inevitable factors that could affect the study outcomes include the patient age,
225 experience of the primary surgeons, different hospitals, and new and evolving
226 regimens of combination chemotherapy.⁶ The rarity of this disease leads to the need
227 for a very long time period for the accumulation of cases. Conversely, the strongest
228 aspects of our study include 1) its long-term follow-up period of up to 26 years and
229 highly complete information; 2) each GCT case was handled or supervised by
230 gynecologic oncologists (TGOG); 3) our study of 176 cases of adult type ovarian
231 GCT represents one of the largest scale studies reported.

232

233 Conclusions

234 The most important prognostic factor in adult type ovarian GCT is initial disease stage.

235 It is also very important to achieve complete resection of the tumor, without any

236 macroscopic residual tumor, since any residual tumor is a poor prognostic factor for
237 recurrence. Tumor size greater than 13.5 cm is another poor prognostic factor.
238 Nevertheless, complete staging surgery or adjuvant chemotherapy dose does not
239 influence recurrence. In some cases, fertility preserving surgery can be performed.
240 Due to the rarity of ovarian GCTs, we suggest prospective, randomized,
241 well-controlled, multi-center studies are needed to accumulate greater case numbers
242 with well-established standard treatment modalities to clarify other possible
243 prognostic factors of GCTs.

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251 Conflict of Interest Statement

252 Dr. Sun, Dr. Lin, Dr. Jao, Dr. Wang, Dr. Liou, Dr. Hung, Dr. Chiang, Dr. Lu, Dr. Lai,
253 and Dr. Yu have no conflict of interest.

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335

336 **FIGURE AND TABLE LEGENDS**

337 Figure 1. Relations between each prognostic factor and recurrence. C/T

338 chemotherapy

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340 Figure 2. Univariate and multivariate Cox regression analyses.

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342 Table 1. Characteristics of the patients with adult-type ovarian granulosa cell tumors.

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344 Table 2. Flow chart of managements and outcomes.

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346 Table3. Clinical findings of patients with adult-type GCTs who had recurrent disease

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348 Table 4. Prognostic factors of more than thirty cases of adult type GCTs.

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