

1 **Haplotype of *BAK1* (*BCL2 antagonist killer 1*) polymorphisms associated with the**
2 **risk of developing Kawasaki disease in Taiwanese children**

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4 Yu-Chuen Huang,^{1,2} Ying-Ju Lin,^{1,2} Jeng Sheng Chang,⁴ Shih-Yin Chen,^{1,2} Lei Wan,¹⁻³
5 Jim Jinn-Chyuan Sheu,¹⁻³ Chih-Ho Lai,⁵ Cheng-Wen Lin,⁶ Shih-Ping Liu,⁷ and
6 Fuu-Jen Tsai^{1,3,8}

7
8 ¹ Genetics Center, Department of Medical Research, China Medical University
9 Hospital, Taichung, Taiwan

10 ² Graduate Institute of Chinese Medical Science, College of Chinese Medicine, China
11 Medical University, Taichung, Taiwan

12 ³ Department of Biotechnology and Bioinformatics, Asia University, Taichung,
13 Taiwan

14 ⁴ Department of Pediatrics, China Medical University Hospital, Taichung, Taiwan

15 ⁵ Department of Microbiology, School of Medicine, China Medical University,
16 Taichung, Taiwan

17 ⁶ Department of Medical Laboratory Science and Biotechnology, China Medical
18 University, Taichung, Taiwan

19 ⁷ Center for Neuropsychiatry, China Medical University Hospital, Taichung, Taiwan

20 ⁸ Department of Medical Genetics, China Medical University Hospital, Taichung,

21 Taiwan

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23 **Running title:** *BAK1* polymorphisms in Kawasaki disease

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25 Corresponding author: Prof. Fuu-Jen Tsai, MD, Ph.D. Department of Medical

26 Research, China Medical University Hospital, No. 2, Yuh Der Road, Taichung 404,

27 Taiwan.

28 e-mail: d0704@mail.cmuh.org.tw

29 **ABSTRACT**

30 Kawasaki disease (KD) is a pediatric systemic vasculitis of unknown etiology for
31 which a genetic influence is suggested. BCL2 antagonist killer 1 (*BAK1*) has been
32 considered to play a critical role in the development of autoimmune disease. The aim
33 of this study was to examine the association of *BAK1* polymorphisms with KD risk in
34 Taiwanese children. Five single nucleotide polymorphisms (SNPs)—rs210132,
35 rs210135, rs210139, rs210145 and rs396746—in the *BAK1* gene were analyzed in a
36 case-control study comprising 93 KD patients and 680 gender- and age-matched
37 healthy controls. The results shown that the frequencies of the SNP rs210132 TT
38 genotypes were significantly higher in KD patients without coronary artery aneurysm
39 than in control subjects (OR, 1.93 [95% CI: 1.08–3.46]; $p = 0.037$). The estimated
40 frequency of the GAGC haplotype (rs210132-rs210139-rs210145-rs396746) was
41 significantly lower in KD patients than in controls (OR, 0.60 [95% CI: 0.36–1.00]; p
42 = 0.047). In addition, the frequency of the TAGC haplotype
43 (rs210132-rs210139-rs210145-rs396746) was statistically higher in KD patients than
44 in control subjects (OR, 9.97 [95% CI: 3.72–26.7]; $p < 0.0001$). In conclusions, the
45 results suggest that the *BAK1* gene polymorphisms are associated with the risk of KD
46 in the Taiwanese population.

47 **KEYWORDS:** Autoimmune, *BAK1*, Kawasaki disease, polymorphism

48 INTRODUCTION

49 Kawasaki disease (KD) is an acute self-limited immune-mediated form of
50 vasculitis that primarily affects infants and young children. Inflammation caused by
51 the disease can lead to coronary artery aneurysm (CAA) and heart attack, making KD
52 the most common cause of acquired heart disease in children in developed countries^{1,2}.
53 The cause of KD remains unknown but is presumably the interaction between genetic
54 and environmental factors, and possibly an infection³. Genetic factors have been
55 suspected of contributing to KD development on the basis of the following
56 observations. Children of Asian ethnicity are at higher risk for developing the disease;
57 KD has been reported in most ethnic groups, but the disease is overrepresented among
58 Asian and Asian-American populations⁴. The risk of developing KD is higher in
59 siblings of children with KD, especially twins with KD, than in other children.
60 Moreover, children with a parent who had KD as a child also have a higher disease
61 risk^{5,6,7}.

62 *BAK1* (BCL2 antagonist killer 1, OMIM*600516) is a proapoptotic member of
63 the Bcl-2 family and is located at 6p21.3^{8,9}. Several studies have demonstrated that the
64 overlapping roles of BAK1 and BAX are essential gateways for apoptosis and for
65 maintaining B-cell homeostasis. Deletion of *Bax* and *Bak* in adult mice results in the

66 accumulation of immature and mature follicular B cells as well as in the development
67 of severe autoimmune disease¹⁰.

68 Thus, these findings suggest that *BAKI* might play a critical role in the
69 development of autoimmune disease. Since KD may be an autoimmune disorder.
70 Therefore, we examined the association of *BAKI* polymorphism with KD risk in
71 Taiwanese children.

72 **MATERIALS AND METHODS**

73 **Study subjects**

74 From 1998 to 2005, we enrolled 93 individuals who fulfilled the diagnostic
75 criteria for KD, according to the Department of Pediatrics, China Medical University
76 Hospital, Taiwan, as previously described by Lin et al¹³. The clinical parameters were
77 obtained in children with KD, including white blood cell (WBC) counts and levels of
78 hemoglobin (Hb), platelet (PLT), alanine aminotransferase (AST), aspartate
79 aminotransferase (ALT), erythrocyte sedimentation rate (ESR), and C-reactive protein
80 (CRP). The control group consisted of samples from 680 healthy children randomly
81 selected from the Han Chinese Cell and Genome Bank, in which 3,312 unrelated
82 descendants of the Han Chinese have been recruited based on their geographic
83 distribution across Taiwan^{11,12}. Control subjects were matched for gender and age
84 with the study patients. The study was approved by the Human Studies Committee of
85 China Medical University Hospital, and informed consents were obtained from the
86 participants or their parents.

87

88 **SNP genotyping**

89 The 5 SNPs (rs210132, rs210135, rs210139, rs210145 and rs396746) in the
90 *BAKI* gene were reported from the SNP database for genotyping from the National

91 Center for Biotechnology Information. Genomic DNA was extracted from peripheral
92 blood leukocytes using the QIAamp Blood Kit (Qiagen, Chatsworth, CA). SNPs were
93 genotyped using high-throughput matrix-assisted laser desorption ionization
94 time-of-flight (MALDI-TOF) mass spectrometry, as described previously by Lin et
95 al¹³.

96

97 **Statistical analysis**

98 Pearson χ^2 or Fisher's exact tests were used to assess the difference of the
99 genotype and allele distribution. Differences in clinical parameters of KD patients
100 between groups were analyzed using the Mann-Whitney U test. Hardy-Weinberg
101 equilibrium (HWE) was tested for each marker using the goodness of fit χ^2 test.
102 Haplotype analysis were performed using the HAPLOVIEW program, v4.1¹⁴. Odds
103 ratios (OR) and 95% confidence intervals (CI) were estimated for the associations
104 between the risk alleles, genotypes, and haplotype with KD in a logistic regression
105 model. Statistical analyses were performed using the Statistical Package for the Social
106 Sciences software package, v15.0 (SPSS Inc., Chicago, IL), and *p* value of <0.05 was
107 considered significant.

108 **RESULTS**

109 **Polymorphisms in the *BAKI* gene**

110 Allelic and genotypic frequencies of *BAKI* genetic polymorphism are shown in
111 Table 1. Genotype frequencies of all analyzed SNPs, except rs210135, were in HWE.
112 Therefore, we excluded rs21013 from further analysis. In addition to rs210135, none
113 of the allele or genotype frequencies of the *BAKI* gene polymorphisms showed
114 significant differences between the KD patient group and the control group.

115

116 ***BAKI* polymorphisms and occurrence of CAAs**

117 As shown in Table 2, the frequencies of the SNP rs210132 TT genotype were
118 significantly higher in KD patients without CAA than in control subjects (OR, 1.93
119 [95% CI: 1.08–3.46]; $p = 0.037$). The genotype frequencies were not statistically
120 different in KD patients with CAA as compared with that of the control subjects.
121 Other *BAKI* genetic polymorphisms were compared between KD patients with CAA
122 and controls or KD patients without CAA and controls, and we did not find any other
123 significant differences in these SNPs.

124

125 **Haplotype analysis**

126 We analyzed 4 SNPs in the *BAKI* gene: rs210132, rs210139, rs210145 and

127 rs396746. Four *BAK1* gene haplotypes with frequencies of more than 5% accounted
128 for approximately 93% of all haplotypes in both KD patients and controls. As shown
129 in Table 3, the frequency of the estimated GAGC haplotype was significantly lower in
130 KD patients than in controls (OR, 0.60 [95% CI: 0.36–1.00]; $p = 0.047$). In addition,
131 the frequency of the TAGC haplotype was statistically higher in KD patients than in
132 control subjects (OR, 9.97 [95% CI: 3.72–26.7]; $p < 0.0001$). These findings suggest
133 that the presence of the GAGC haplotype may have a protective effect against KD,
134 while the presence of the TAGC haplotype may increase an individual's risk of
135 developing KD.

136 **DISCUSSION**

137 To the best of our knowledge, this is the first study evaluating the role of *BAK1*
138 in the development of KD. Results from this case-control study showed that the
139 frequencies of the SNP rs210132 TT genotype were associated with KD children
140 without CAA. It indicates that rs210132 may involve in disease susceptibility and
141 progression. We further analyzed the clinical parameters, including WBC, Hb, PLT,
142 AST, ALT, ESR, and CRP in KD patients with different rs210132 genotypes. There
143 were no significant differences in the mean levels of the clinical parameters
144 mentioned above between KD patients with the G/G or G/T genotypes and patients
145 with the T/T genotype (data not shown).

146 In terms of haplotypes, there were 4 major *BAK1* haplotypes (estimated
147 frequencies >5%) in our populations. The GAGC haplotype conferred protection
148 against KD development, while the TAGC haplotype conferred the risk of KD
149 development (OR, 0.60 [95% CI, 0.36–1.00]; $p = 0.047$ for haplotype GAGC; OR,
150 9.97 [95% CI, 3.72–26.7]; $p < 0.0001$ for haplotype TAGC). The difference between
151 haplotypes GAGC and TAGC is due to SNP rs210132. Located in the 3'-untranslated
152 region (3'-UTR) of the *BAK1* gene, rs210132 is suspected to have an effect on the
153 expression level of BAK1, which confers the risk of developing KD. The biological
154 effect of this SNP in KD pathogenesis requires further study.

155 Elevated Bak expression in autoimmune diseases has been attributed to the
156 simultaneous high local expression of interferon- γ (INF- γ), which is able to induce
157 Bak upregulation in both epithelial and endothelial cells^{15,16}. Recently, Kerekes et al
158 and Amezcua-Guerra et al reported that serum concentrations of INF- γ were
159 significantly higher in rheumatoid arthritis (RA) patients and INF- γ were associated
160 with vascular endothelial dysfunction in patients with RA^{17,18}. Although the etiology
161 of KD remains unknown, activation of the immune system is a central feature and
162 endothelial dysfunction is a key event in the process of atherogenesis of KD¹⁹. Results
163 from a genetic study indicate that *BAK1* genetic polymorphisms influence the risk of
164 acquiring autoimmune rheumatic diseases in Colombian women²⁰. In addition,
165 abnormal BAK1 expression can disrupt the apoptotic or survival signal, which may
166 also result in the development of autoimmune diseases²⁰. Since KD is a type of
167 autoimmune vasculitis, these evidences suggest that *BAK1* may be involved in the
168 pathogenesis of KD.

169 Several genetic association studies have reported that human major
170 histocompatibility complex (MHC) class I and II genes contribute to the pathogenesis
171 of KD^{13,21,22,23}. Since *BAK1* is located in the extended MHC, an indirect association
172 due to linkage disequilibrium with MHC loci must be considered. Another limitation
173 of this study is that the sample size of KD patients is relatively small for a

174 case-control association study; hence, despite the statistically significant data, the
175 results should be interpreted with caution. Future studies with a larger number of
176 subjects are needed to confirm these findings.

177 In conclusion, we have shown that *BAKI* gene polymorphism influences the risk
178 of developing KD in Taiwanese children. According to our observations, these results
179 may contribute to the genetic background of KD pathogenesis.

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183

184 **Conflicts of interest: None**

185 **Abbreviations Used**

186 3'-UTR: 3'-untranslated region

187 *BAK1*: BCL2 antagonist killer 1

188 CAA: coronary artery aneurysm

189 CI: confidence interval

190 HWE: Hardy–Weinberg equilibrium

191 KD: Kawasaki disease

192 MALDI-TOF: matrix-assisted laser desorption ionization time-of-flight

193 MHC: major histocompatibility complex

194 OR: odds ratio

195 SNP: single nucleotide polymorphism

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Table 1. Allelic and genotypic frequency distribution in KD patients and controls

dbSNP ID	Chromosome position	SNP allele 1/2	Subjects	Genotype			Allele 1 vs. Allele 2	Genotype 1/1 vs. 1/2 + 2/2	
				1/1	1/2	2/2	<i>p</i> value	<i>p</i> value	OR (95% CI)
rs210132	33644648	G/T	KD	31 (33.3)	41 (44.1)	21 (22.6)	0.3983	0.8401	0.93 (0.59–1.47)
			Control	237 (35.0)	324 (47.9)	116 (17.1)			
rs210135	33648670	A/T	KD	65 (98.5)	1 (1.5)	0	0.0001	2.14E-07	22.7 (3.13–165.0)
			Control	492 (74.1)	172 (25.9)	0			
rs210139	33651387	C/A	KD	59 (63.4)	31 (33.3)	3 (3.2)	0.6096	0.0986	1.49 (0.95–2.32)
			Control	416 (61.8)	220 (32.7)	37 (5.5)			
rs210145	33655418	C/G	KD	66 (71.0)	24 (25.8)	3 (3.2)	0.6633	0.7454	1.11 (0.69–1.79)
			Control	463 (68.7)	183 (27.2)	28 (4.2)			
rs396746	33665023	C/A	KD	84 (90.3)	9 (9.7)	0	0.5390	0.5763	1.31 (0.63–2.70)
			Control	592 (87.7)	81 (12.0)	2 (0.3)			

CI: confidence interval; KD: Kawasaki disease; OR: odds ratio; SNP: single nucleotide polymorphism

Table 2. Association of *BAK1* gene polymorphisms in KD patients according to the presence or absence of CAA

dbSNP ID	Genotype	Controls n = 680	KD	KD	KD CAA (+)		KD CAA (-)	
			CAA (+) n = 30	CAA (-) n = 63	vs. Controls		vs. Controls	
					<i>p</i>	OR (95% CI)	<i>p</i>	OR (95% CI)
rs210132	Containing G	561 (82.8)	27 (90.0)	45 (71.4)	0.440	Ref	0.037	Ref
	TT	116 (17.1)	3 (10.0)	18 (28.6)		0.54 (0.16–1.80)		1.93 (1.08–3.46)
rs210139	Containing C	636 (94.5)	28 (93.3)	62 (98.4)	0.680	Ref	0.241	Ref
	AA	37 (5.5)	2 (6.7)	1 (1.6)		1.23 (0.28–5.35)		0.28 (0.04–2.06)
rs210145	Containing C	646 (95.8)	28 (93.3)	62 (98.4)	0.369	Ref	0.502	Ref
	GG	28 (4.2)	2 (6.7)	1 (1.6)		1.65 (0.37–7.27)		0.37 (0.05–2.78)
rs396746	CC	592 (87.7)	28 (93.3)	56 (88.9)	0.522	Ref	0.941	Ref
	Containing A	83 (12.3)	2 (6.7)	7 (11.1)		0.51 (0.12–2.18)		0.89 (0.39–2.02)

BAK1: BCL2 antagonist killer 1; CAA: coronary artery aneurysm; CI: confidence interval; KD: Kawasaki disease; OR: odds ratio; SNP: single nucleotide polymorphism

Table 3. Distribution of *BAKI* haplotype frequencies in KD patients and controls

Haplotype	KD (%)	Control (%)	OR (95% CI)	<i>p</i> value
GCCC	44.5	41.5	1.13 (0.83–1.54)	0.439
TCCC	35.8	36.3	0.98 (0.71–1.35)	0.910
GAGC	9.7	15.1	0.60 (0.36–1.00)	0.047
TAGC	5.1	0.5	9.97 (3.72–26.7)	1.98E-08

BAKI: BCL2 antagonist killer 1; CI: confidence interval; KD: Kawasaki disease; OR: odds ratio;

SNP: single nucleotide polymorphism

Order of SNPs comprising the *BAKI* haplotypes: rs210132, rs210139, rs210145, rs396746