

## Targeting L1 Cell Adhesion Molecule Using Lentivirus-Mediated Short Hairpin RNA Interference Reverses Aggressiveness of Oral Squamous Cell Carcinoma

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**Abstract:** The L1 cell adhesion molecule (L1CAM) has been implicated in tumor progression of many types of cancers, but its role in oral squamous cell carcinoma (OSCC) has not been investigated. In the present study, we demonstrated overexpression of L1CAM in OSCC cells, but not in normal keratinocytes, using both clinical specimens and cell lines. This overexpression demonstrated a strong correlation with less differentiation and a higher invasion potential of cancer cells, supporting the significance of L1CAM in human OSCC tumor progression. Targeting L1CAM gene expression in SCC4 cells overexpressing L1CAM using a lentivirus-mediated small hairpin RNA (shRNA) led to a significant reduction in cell proliferation *in vitro* via retardation of cell cycle at the G1 phase. In addition, shRNA knockdown of L1CAM strongly attenuated the migration and invasion of SCC4 cells, and this was also observed to parallel increased E-cadherin levels and decreased levels of vimentin, fibronectin, and Snail-family transcription factors, indicating that L1CAM expression was related to the epithelial-mesenchymal transition. Furthermore, while mice receiving orthotopically placed control SCC4 cells died within 40 days due to invasive tumor growth and regional lymph node metastasis, prolonged animal survival and complete suppression of tumor progression was observed in mice implanted with L1CAM-deficient SCC4 cells, further substantiating the fundamental importance of L1CAM in OSCC pathophysiology. Our findings suggested that L1CAM is a critical mediator of tumor progression in OSCC, and targeting L1CAM using lentivirus-mediated shRNA may be a useful molecular pharmaceutical approach for the treatment of advanced OSCC.

**Keywords:** L1 cell adhesion molecule; oral squamous cell carcinoma; epithelial-mesenchymal transition; RNA interference; targeted gene therapy

### Introduction

Oral cancer consistently ranks as one of the ten most frequently diagnosed cancers in the world.<sup>1</sup> Approximately

300,000 new cases of oral cancer occur each year, and nearly two-thirds of patients with this cancer will die of their disease.<sup>2,3</sup> The vast majority of malignant neoplasms in the oral cavity are squamous cell carcinomas, which account for 3–5% of all malignancies and tends to be highly invasive and spread rapidly.<sup>4</sup> Despite multidisciplinary treatment with surgery, chemotherapy, and radiation, more than 50% of patients with oral squamous cell carcinoma (OSCC) receiving

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therapy will eventually develop recurrent and metastatic disease, which carries a particularly poor prognosis.<sup>5</sup> Thus, pursuing novel therapeutic alternatives to standard therapy to improve the survival of patients with advanced OSCC is medically urgent. Molecular therapy that targets molecules and proteins selectively expressed by cancer cells is thought to offer a high therapeutic index for cancers.<sup>6</sup> Characterization of the specific molecular alterations associated with OSCC cell growth, invasion, and metastasis can advance our understanding of the molecular mechanisms underlying cancer induction and progression as well provide a basis for the development of new and effective therapeutic treatments for cancer patients.

It has become clear that adhesion molecules and adhesion processes are fundamentally involved, at various levels, in all steps of tumor progression, including detachment of tumor cells from the primary site, intravasation into the bloodstream, extravasation into distant target organs, and formation of secondary lesions.<sup>7</sup> The aberrant expression of adhesion-related molecules, such as integrins<sup>8–11</sup> and cadherins,<sup>12–14</sup> has been reported in several types of human cancers, and this is associated with the invasive and metastatic potential

of cancer cells as well as a poor prognosis, making these molecules potential candidates for antineoplastic targeted therapies.

The cell adhesion molecule L1 (L1CAM) has been recently identified as a key mediator of tumor progression due to its upregulation in certain human tumors.<sup>15</sup> Structurally, L1CAM belongs to the immunoglobulin superfamily, which is characterized by an extracellular region of multiple immunoglobulin-like domains and fibronectin type III repeats followed by a highly conserved cytoplasmic domain.<sup>16</sup> L1CAM was first described as a neural cell adhesion molecule based on a restricted distribution in the nervous system,<sup>17</sup> where it is involved in the control of neurite outgrowth, adhesion, fasciculation, migration, myelination, and axon guidance.<sup>18</sup> L1CAM promotes these cellular activities by interacting via its extracellular domain with a diverse group of cell adhesion molecules, extracellular matrix molecules, and signaling receptors.<sup>19</sup> In addition to its cell surface localization, the extracellular domain of L1CAM can be released from the cell surface by metalloproteinases, such as plasmin and ADAM10.<sup>20,21</sup> Non-neuronal expression of L1CAM has been observed in blood cells,<sup>22</sup> endothelial cells,

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epithelial cells, and reticular fibroblasts.<sup>23–25</sup> Recent studies have also demonstrated aberrant expression of LICAM in several different types of human cancers, including melanoma, glioma, renal cancer, lung cancer, colon cancer, and ovarian cancer.<sup>26–30</sup> For some malignancies, the level of LICAM is also a significant indicator of subsequent metastasis and poor prognosis,<sup>26,31</sup> strongly suggesting a tumor-promoting function of LICAM in advanced stages of these cancers. However, expression of LICAM in OSCC has not been examined, and its role in oral cancer progression remains elusive.

In this study, we described for the first time the significant association of LICAM protein expression with a more aggressive phenotype of OSCC in both clinical specimens and cell lines. LICAM-targeting shRNA was effective at inhibiting OSCC cell growth with concomitant cell-cycle retardation at the G1 phase, which led to a nearly complete suppression of the tumorigenicity in an orthotopic OSCC animal model. Moreover, modulation of LICAM expression in OSCC cell lines also caused changes in cell motility and gene expression that favored cancer cells undergoing epithelial–mesenchymal transition. Our results demonstrated the importance of LICAM in OSCC tumor progression and

the therapeutic potential of LICAM-targeting shRNAs for treatment of advanced oral cancer.

## Materials and Methods

**Cell Cultures.** Human oral squamous cell carcinoma cell lines SAS, SCC4, SCC9, and SCC25<sup>32</sup> were a gift from Dr. Kuo-Wei Chang at the Institute of Oral Biology, National Yang-Ming University, Taiwan. SAS cells were grown in DMEM medium with high glucose. SCC4, SCC9, and SCC25 cells were maintained in DMEM/F12 (1:1) medium (Invitrogen, Carlsbad, CA, USA) supplemented with 1% nonessential amino acids and 200 ng/mL hydrocortisone. All media described above were supplemented with 10% fetal bovine serum (Hyclone, Logan, UT, USA) and 1% penicillin–streptomycin (Hyclone, Logan, UT, USA). Primary human oral keratinocytes (HOKs) were purchased from ScienCell Research Laboratories (San Diego, CA, USA) and maintained in oral keratinocyte medium (ScienCell, San Diego, CA, USA) according to the manufacturer's instructions.

**Vectors and RNA Interference.** RNA interference (RNAi) vectors, pLKO.1-shLICAM (sh-L1 #1, TRCN0000063917, target sequence GCTAACCTGAAGGTTAAAGAT; sh-L1 #2, TRCN0000063914, target sequence, GCCAATGCCTA-CATCTACGTT) and a mammalian nontargeting shRNA control pLKO.1-shGFP (sh-NT, TRCN0000072178, target sequence, CAACAGCCACAACGTCTATAT) were obtained from the National RNAi Core Facility (Institute of Molecular Biology, Academia Sinica, Taipei, Taiwan). These RNAi vectors were constructed by inserting annealed oligonucleotides containing the shRNA sequence into *EcoRI* and *AgeI* sites from the downstream of U6 promoter in pLKO.1 vector. Recombinant lentivirus carrying a short-hairpin (sh) RNA were produced by cotransfecting 293FT cells with a mixture of plasmid DNA consisting of pCMV- $\psi$ R8.91(Gag/Pol/Rev), pMD.G (VSV-G envelope), and pLKO.1-shRNA vectors using TurboFect reagent (Fermentas, Glen Burnie, MA, USA) according to the manufacturer's instructions. After 2 days of transfection, virus-containing culture supernatant was collected and used to infect SCC4 cells in combination with 8  $\mu$ g/mL Polybrene (Sigma-Aldrich, St. Louis, MO, USA). Stable cell lines were selected with 2.5  $\mu$ g/mL puromycin (Calbiochem, La Jolla, CA, USA) for one week. For further establishing luciferase-expressing SCC4 cells that were pretransduced with shRNA, luciferase cDNA was removed from a pGL3-basic plasmid (Promega, Madison, WI, USA) and then cloned into the S2 bicistronic retroviral vector,<sup>33</sup> in which luciferase was driven by a retroviral long terminal repeat promoter. Retroviral produc-

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162 tion and infection were performed as described previously.<sup>34</sup>  
 163 The resultant stable cell lines were selected with 0.8 mg/  
 164 mL G418 (Calbiochem, La Jolla, CA, USA) for 10 days.

165 **Western Blot Analysis.** Protein extracts from cell lines  
 166 were analyzed on SDS–polyacrylamide gels (15  $\mu$ g per lane)  
 167 and transferred to Hybond ECL nitrocellulose membranes  
 168 (GE Healthcare Life Science, Piscataway, NJ, USA). Blots  
 169 were probed with 1:1000 diluted monoclonal mouse anti-  
 170 human L1CAM (NeoMarker, Fremont, CA, USA), anti-E-  
 171 cadherin (Cell Signaling Technology, Danvers, MA, USA),  
 172 anti-vimentin (NeoMarker, Fremont, CA, USA) and anti-fi-  
 173 bronectin (BD Biosciences, Bedford, MA, USA) according  
 174 to the manufacturers' instructions. For loading control, blots  
 175 were probed with an anti-EF1- $\alpha$  monoclonal antibody (1:  
 176 10,000; R&D Systems, Minneapolis, MN, USA). After  
 177 incubation with an HRP-conjugated secondary antibody (1:  
 178 5000; GE Healthcare Life Sciences, Piscataway, NJ, USA),  
 179 chemiluminescent signals were detected using an ECL Plus  
 180 kit and exposed to Hyperfilm ECL (GE Healthcare Life  
 181 Science, Piscataway, NJ, USA). Protein band quantification  
 182 was carried out using ImageJ software.

183 **Cell Proliferation Assay.** Cells were seeded at a density  
 184 of  $5 \times 10^3$  cells/well in a 96-well plate. Viable cells were  
 185 measured using WST-1 reagent (Roche Applied Science,  
 186 Mannheim, Germany) at each time point, followed by an  
 187 additional incubation for 2 h at 37 °C. Absorbance was  
 188 recorded at 450 nm using a Synergy 2 Multi-Mode micro-  
 189 plate reader (BioTek, Winooski, VT, USA). All experiments  
 190 were performed in triplicate.

191 **Boyden Chamber Invasion Assays.** The invasion of  
 192 cancer cells was assessed using 24-well Transwell plates.  
 193 Briefly,  $2 \times 10^5$  cells in 0.5% FBS-containing media were  
 194 added to the upper chamber containing 8  $\mu$ m pore polycar-  
 195 bonate coated with 1 mg/mL of Matrigel; the lower chamber  
 196 was filled with growth medium. After a 16 h incubation, the  
 197 upper surface of the membrane was scrubbed with a cotton-  
 198 tipped swab. The invading cells on the lower surface of the  
 199 membrane were fixed and stained with 0.5% crystal violet.  
 200 Random fields (5/membrane) were photographed at 40 $\times$   
 201 magnification and then quantified by measuring absorbance  
 202 of dye extracts at 570 nm.

203 **Wound Healing Assay.** shRNA-expressing SCC4 cells  
 204 resuspended in medium were seeded into 24-well plates.  
 205 When cells reached 90% confluence, a single wound was  
 206 created in the center of the cell monolayer by gentle removal  
 207 of the attached cells with a sterile plastic pipet tip. The debris  
 208 was removed by washing with serum free medium. Cells  
 209 having migrated into the wounded area or those protruding  
 210 from the border of the wound were visualized and photo-  
 211 graphed under a Zeiss Axioplan microscope (Carl Zeiss  
 212 MicroImaging, Thornwood, NY, USA) with a 10 $\times$  objective  
 213 at three preselected time points (0, 4, and 8 h). Each  
 214 experiment was independently performed at least three times.

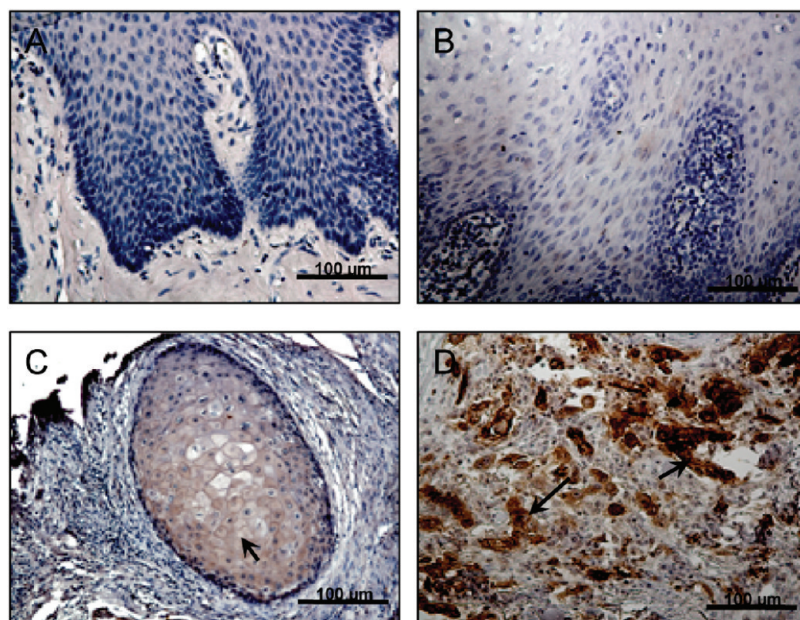
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**Immunohistochemistry.** Archived paraffin-embedded oral  
 cancer tissue specimens were collected with the approval of  
 the Institutional Review Board. Written informed consent  
 was obtained from all participants. Mouse tissues, including  
 tongue and cervical lymph nodes, were collected at the end  
 of the animal experiments (6 weeks after tumor implantation).  
 Immunohistochemical analyses were performed as described  
 previously<sup>35</sup> with the following modifications: Paraffin em-  
 bedded sections were deparaffinized and rehydrated using  
 xylene and decreasing concentrations of ethanol. Antigen  
 retrieval was performed with a steamer by heating the slides  
 in 10 mM sodium citrate (pH 6.0) for 10 min. Slides were  
 incubated with mouse anti-human L1CAM monoclonal (1:  
 40; Lab Vision, Fremont, CA, USA) and goat anti-firefly  
 luciferase (1:10,000; Abcam, Cambridge, U.K.) antibodies  
 at room temperature for 1 h, followed by incubation with  
 HRP-polymer conjugate (Upstate, Lake Placid, NY, USA)  
 under the same conditions. The chromogenic reaction was  
 performed with diaminobenzidine (Sigma, St. Louis, MO,  
 USA) for 5 min, and slides were counterstained with  
 hematoxylin.

**Immunofluorescence Staining.** shRNA-expressing SCC4  
 cells grown on Nunc chamber slides (Thermo Fisher  
 Scientific, Rockford, IL, USA) were fixed with 4% paraform-  
 aldehyde in PBS at room temperature for 15 min. The slides  
 were blocked with 2% bovine serum albumin and then  
 incubated with rabbit anti-human E-cadherin antibodies (Cell  
 Signaling Technology, Beverly, MA, USA) and rabbit anti-  
 human vimentin antibodies (Lab Vision, Fremont, CA, USA)  
 in a 1:100 dilution for overnight at 4 °C. The slides were  
 washed and then incubated with Alexa Fluor 488 (for  
 E-cadherin detection) and Alexa Fluor 546 (for vimentin  
 detection) goat anti-rabbit IgG (Invitrogen, Carlsbad, CA,  
 USA) in a 1:200 dilution for 1 h at room temperature. Slides  
 were washed and mounted for confocal microscopy.

**RNA Extraction and Real-Time Quantitative PCR.**  
 Total RNA was extracted from cell lines and first-strand  
 cDNA was generated from 1  $\mu$ g of total RNA and random  
 primers using Moloney Murine Leukemia Virus Reverse  
 Transcriptase (Invitrogen, Carlsbad, CA, USA) in a total  
 volume of 20  $\mu$ L. Quantitative RT-PCR was performed using  
 a LightCycler 480 TaqMan Master kit with Universal  
 ProbeLibrary probes (Roche Applied Science, Mannheim,  
 Germany). The primers and probes were designed using a  
 web-based Assay Design Center at www.universalprobeli-  
 brary.com, and sequences are shown in the Supporting  
 Information (Table S1). The real-time PCR reaction was  
 conducted according to the manufacturer's instructions,  
 consisting of a denaturation step (10 min) and 55 cycles of  
 amplification (95 °C for 10 s, 60 °C for 10 s, followed by  
 single fluorescence acquisition at 72 °C for 10 s). The relative  
 gene expression of a specific target in each group was  
 represented as  $2^{-\Delta\text{CT}}$ ;  $\Delta\text{CT}$  was determined by subtracting

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**Figure 1.** Detection of L1CAM protein in OSCC patient tissues. Representative images (200 $\times$ ; scale bar =100  $\mu$ m) of immunohistochemical staining of L1CAM in OSCC tissues. (A) Normal oral epithelium exhibits negative L1CAM protein expression. (B) In squamous hyperplastic lesions, undetectable to very weak L1CAM immunoreactivity was present. (C) A well differentiated OSCC tumor stained positive with anti-L1CAM in the cytoplasm and at the cell surface of cancer cells, and (D) strong positive immunoreactivity for L1CAM was detected in poorly differentiated OSCC tumors. Arrows denote positive staining of L1CAM (C and D).

268 the average housekeeping gene (HSPCB) CT value from the  
269 average target gene value.

270 **Animals.** All animal work was performed in accordance  
271 with a protocol approved by the Institutional Animal Care  
272 and Use Committee. Six-week-old male athymic nude (nu/  
273 nu) mice from BioLASCO Taiwan Co. (Taipei, Taiwan) were  
274 used for the experiments. To establish the orthotropic oral  
275 cancer model,  $2 \times 10^5$  luciferase-expressing cancer cells were  
276 resuspended in 20  $\mu$ L of PBS and directly injected submu-  
277 cosally into the anterior tongue of the mice, according to  
278 the published protocol.<sup>36</sup> Tumor growth and regional me-  
279 tastasis was noninvasively monitored using an IVIS biolu-  
280 minescence imaging system (Caliper Life Sciences, Hop-  
281 kinton, MA, USA) as described previously.<sup>37</sup>

282 **Statistical Analysis.** For the *in vitro* studies, all data are  
283 presented as the means  $\pm$  SD. Differences between groups  
284 were analyzed using the two-tailed, unpaired Student's *t* test.  
285 A *p* value of less than 0.05 was considered to be significant.  
286 In the mouse studies, Mann–Whitney rank-sum test was used  
287 for analysis.

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## Results

**L1CAM Is Overexpressed in Cancerous Lesions of Patients with OSCC.** L1CAM expression has been detected in several types of cancers but has yet to be investigated in OSCC. To investigate whether L1CAM was involved in the progression of human OSCC, we first examined the expression levels of L1CAM in 25 oral tissues from patients with OSCC by immunohistochemical (IHC) staining (Figure 1). L1CAM expression was not observed in healthy oral epithelium (Figure 1A). Oral premalignant lesions, such as hyperparakeratosis and squamous hyperplasias (Figure 1B), had no or faint L1CAM immunoreactivity in almost all epithelium cells in the hyperplasia of stratum spinosum and were considered L1CAM-negative. In contrast, positive L1CAM immunoreactivity was seen in cancerous lesions of OSCC specimens. Compared to the low-grade (well differentiated) tumors (Figure 1C) that expressed L1CAM mainly in the cytoplasm and at the cell surface of the cells, significantly increased L1CAM expression was detected in late-stage (moderated to poorly differentiated) tumors (Figure 1D). The positive correlation between L1CAM expression levels and the stage of tumor differentiation indicated the significance of L1CAM expression in human OSCC tumor biology.

**L1CAM Protein Expression Is Associated with Aggressiveness in OSCC Cell Lines.** L1CAM protein levels were also examined in four independent OSCC cell lines: SAS, SCC4, SCC9, and SCC25 as well as normal oral keratinocytes (HOKs). Intact and cleaved L1CAM protein was



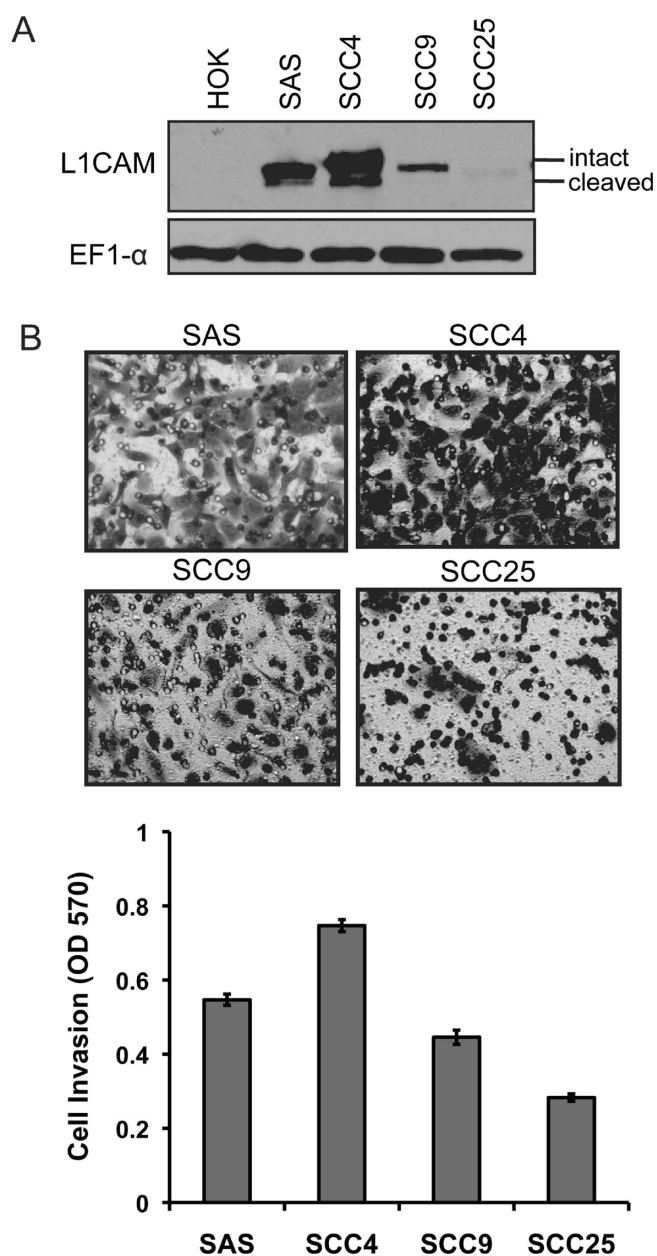
317 detected in cell lysates of all of the tested cancer cell lines.  
 318 Based on Western blot analysis, a higher expression was  
 319 observed in the SCC4 and SAS cell lines, and a lower  
 320 expression was observed in the SCC9 and SCC25 cell lines;  
 321 L1CAM expression was undetectable in HOKs (Figure 2A).

322 We next examine whether the L1CAM expression pattern  
 323 in the OSCC cell lines was related to their metastatic  
 324 potentials using Matrigel invasion chamber assays. The  
 325 strongest invasion was noted in SCC4 cells, which expressed  
 326 the highest levels of L1CAM, followed by SAS, SCC9, and  
 327 then SCC25 (Figure 2B). The results obtained from these  
 328 cell lines are in accordance with our clinical observations  
 329 (Figure 1) indicating that L1CAM protein levels are associ-  
 330 ated with tumor malignancy.

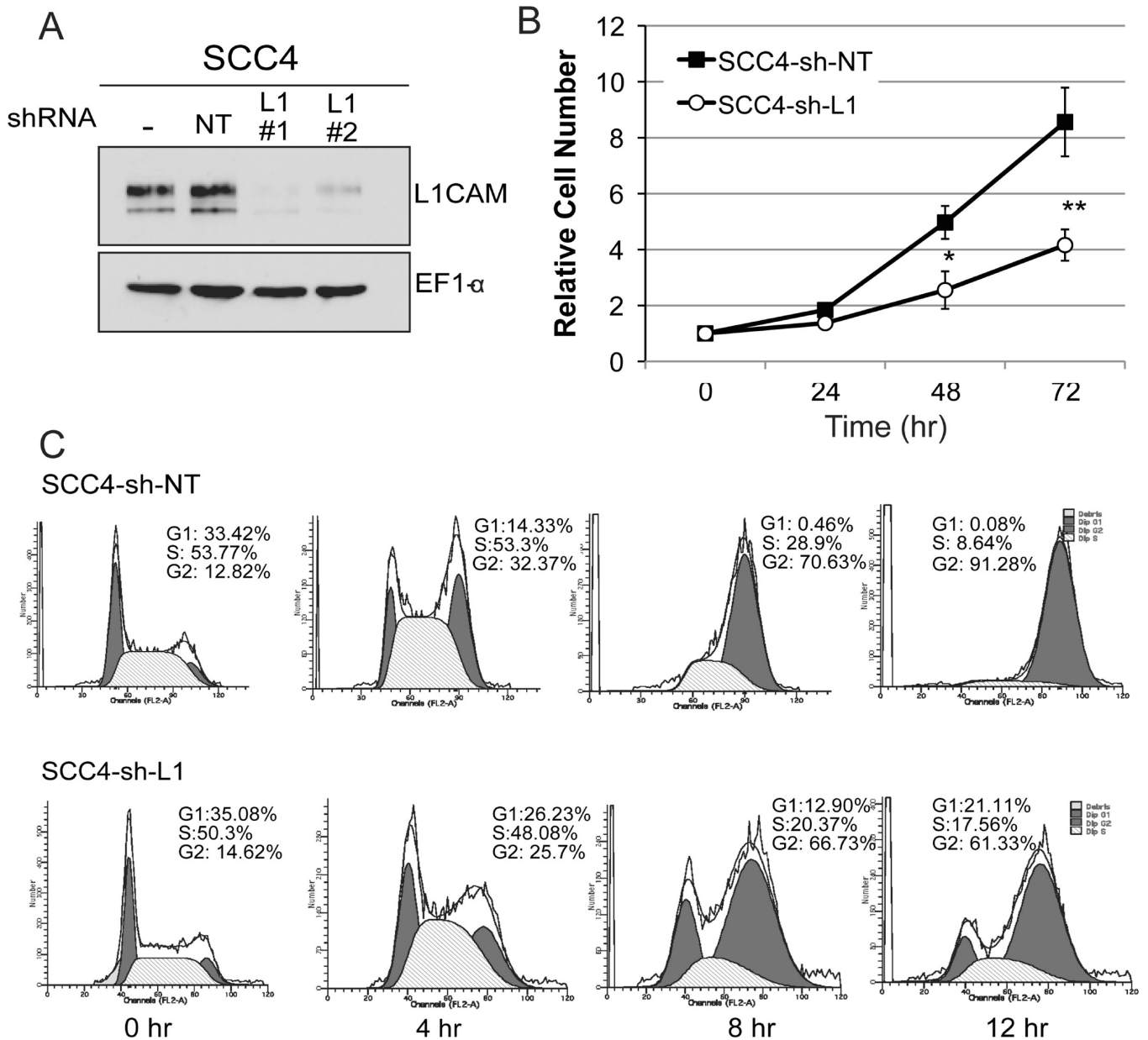
331 **Targeting L1CAM by Lentivirus-Mediated shRNA In-**  
 332 **hibits OSCC Cell Growth and Cell Cycle Progression *in***  
 333 ***Vitro*.** To assess the functional significance of L1CAM  
 334 expression during OSCC tumor progression, RNAi was used  
 335 to target L1CAM gene expression. We stably expressed  
 336 lentiviral vectors expressing a short hairpin (shRNA) se-  
 337 quence that targeted L1CAM (sh-L1) or a mammalian  
 338 nontargeting sequence (sh-NT) in SCC4 cells, which had  
 339 demonstrated high levels of L1CAM expression and invasive  
 340 behavior. The two distinct shRNAs targeting L1CAM caused  
 341 a dramatic reduction in L1CAM protein levels (96% and  
 342 85%, respectively) when compared with parental SCC4 cells.  
 343 The sh-NT shRNA demonstrated no effects on L1CAM  
 344 expression (Figure 3A). These results indicated the effective-  
 345 ness of lentivirus-mediated shRNA in silencing L1CAM gene  
 346 expression in OSCC cells.

347 We next determined whether the altered L1CAM expres-  
 348 sion in SCC4 cells could affect cell growth *in vitro*. A  
 349 colorimetric WST-1 assay was used to quantify cell prolif-  
 350 eration. We found that silencing L1CAM in SCC4 cells  
 351 (SCC4-sh-L1) led to a 50% decrease in the number of cells  
 352 after 48 and 72 h of culture ( $p < 0.05$  and  $p < 0.0001$ ,  
 353 respectively) when compared with the control SCC4 cells  
 354 (SCC4-sh-NT) (Figure 3B). Noticeably, SCC4-sh-L1 and  
 355 SCC4-sh-NT cells showed similar cell viability during the  
 356 culture period, as measured by trypan blue dye exclusion  
 357 assay (data not shown), suggesting that death-inducing  
 358 pathways are not involved in the growth inhibition result-  
 359 ing from L1CAM silencing.

360 To understand the control mechanisms by which L1CAM  
 361 regulated cell growth, we determined and compared the cell-  
 362 cycle distribution of SCC4-sh-L1 and SCC4-sh-NT cells by  
 363 measuring DNA content following treatment with nocoda-  
 364 zole, a microtubule inhibitor, to arrest the cells during mitosis.  
 365 As shown in Figure 3C, while the majority of the SCC4-  
 366 sh-NT cells accumulated in a G2/M peak (70.63%) by 8 h  
 367 after nocodazole treatment and completed one cell cycle over  
 368 the next 4 h, SCC4-sh-L1 cells still retained 21.11% and  
 369 17.56% of their cell population in the G1 and S phases,  
 370 respectively. Together, these results demonstrated that sup-  
 371 pression of L1CAM expression by shRNA caused a G1 cell-  
 372 cycle arrest and cell proliferation inhibition in SCC4 cells.



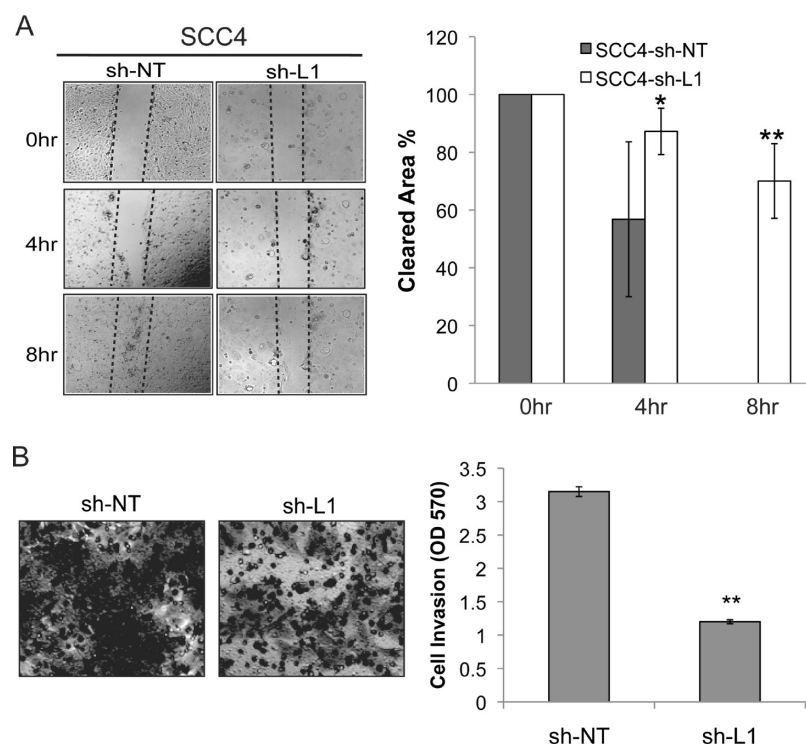
**Figure 2.** Correlation between L1CAM expression and the aggressiveness of OSCC cell lines. (A) Representative Western blot results indicating L1CAM expression in SCC25, SCC9, SCC4, and SAS human OSCC cell lines and normal human oral keratinocytes (HOK). EF1- $\alpha$  protein levels are shown to have varied loading quantities. (B) Characterization of the invasive ability of OSCC cell lines using a Matrigel chamber assay. Migrated cells through the Matrigel were stained with crystal violet 16 h after cell plating. The lower surface of the membrane was photographed (100 $\times$ ) and shown as a representative image from each cell line (upper panel). The number of invaded cells was quantified by measuring the absorbance of dye extract at 570 nm (lower panel). Results from one of three experiments are shown. Error bars indicate SD of triplicate measurements.



**Figure 3.** Effects of L1CAM gene silencing by shRNA on SCC4 cell growth and cell-cycle progression. (A) Western blot analysis of L1CAM expression in parental SCC4 and SCC4 cells infected with a lentiviral vector carrying a nontargeting shRNA (sh-NT) or shRNA targeting L1CAM (sh-L1). EF1- $\alpha$  protein levels are shown to vary in loading quantities. (B) Cell proliferation of shRNA-expressing SCC4 sublines was determined by WST-1 assay performed daily for 3 days. The relative cell number was assessed by absorbance at 450 nm and presented as the fold change relative to the day of plating (0 h). Error bars indicate SD of triplicate measurements. \* $p < 0.05$ , \*\* $p < 0.0001$  versus SCC4-sh-NT. (C) Representative FACS profiles of SCC4-sh-L1 and SCC4-sh-NT cells stained with propidium iodide for DNA content at the indicated time points after adding 100 ng/mL nocodazole to accumulate cells at the G2/M transition. Data was processed using the ModFit LT program, and the percentage of cells at each phase of cell cycle is indicated.

373 **L1CAM shRNA Inhibits Migration and Invasion of**  
 374 **OSCC Cells.** To elucidate the role of L1CAM in the process  
 375 of OSCC metastasis, we determined the migration and  
 376 invasive potential of SCC4-sh-L1 cells *in vitro*. We used a  
 377 wound-healing assay to assess the role of L1CAM in cancer  
 378 cell migration. As shown in Figure 4A, the movement of  
 379 SCC4-sh-L1 cells toward the denuded areas was much slower

than SCC-sh-NT cells. Quantitative data showed that while  
 the distance between the wound edges of SCC4-sh-L1 cells  
 was reduced by 12.76% and 30% at 4 and 8 h, respectively,  
 the gap width of the SCC4-sh-NT cells was closed by 43%  
 at 4 h and completely filled at 8 h. In Matrigel chamber  
 assays (Figure 4B), SCC4-sh-L1 cells exhibited a marked  
 decrease ( $p < 0.001$ ) in the number of cells invading through



**Figure 4.** Effects of L1CAM gene silencing by shRNA on migration and invasion of SCC4 cells. (A) Wound healing assays using confluent cells gently wounded through the central axis. The wounded areas were examined under  $\times 100$  magnification, and images were taken at 0, 4, and 8 h. The dashed lines indicate the leading edge of the cut that was made across the monolayer (left). Cell migration was quantified by measuring the distance between the invading front of cells and plotted as the percentage relative to the zero time point (right). Error bars indicate SD of 10 random measurements. (B) Invasion of SCC4 cells was determined by Matrigel chamber assay at 16 h after incubation. Images are representative of the migration of SCC4-sh-L1 cells from three separate experiments performed in triplicate (left). The number of invaded cells was quantified by measuring the absorbance of dye extract of crystal violet at 570 nm. Results from one of three experiments are shown (right). Error bars indicate SD of triplicate measurements. \* $p < 0.05$ , \*\* $p < 0.0001$  versus SCC4-sh-NT (A and B).

387 the membrane after a 16 h incubation, demonstrating an  
 388 approximate 65% decrease relative to that of the SCC4-sh-  
 389 NT cells. These results suggested that L1CAM is important  
 390 for the metastatic capacity and, thus, for the malignancy of  
 391 OSCC cells.

#### 392 **L1CAM Gene Silencing Causes Changes in the** 393 **Expression of Epithelial and Mesenchymal Markers.**

394 Epithelial–mesenchymal transition (EMT) is a critical  
 395 biological process in epithelial tumor invasion, progression,  
 396 and metastasis.<sup>38</sup> E-cadherin is a transmembrane protein that  
 397 mediates the major cell–cell adhesions in epithelial cells, a  
 398 function that has been linked to its role as a tumor  
 399 suppressor.<sup>39</sup> Vimentin is an intermediate filament that  
 400 participates in adhesion, migration, survival, and cell signal-  
 401 ing processes normally occurring in mesenchymal cells, and  
 402 it is also involved in pathological or physiological processes

that require epithelial cell migration.<sup>40</sup> A defining feature of  
 EMT is the loss of E-cadherin expression and gain of  
 vimentin expression.<sup>41</sup> We next investigated if the influence  
 L1CAM gene silencing on the migration and invasion of  
 OSCC cells was related to EMT by determining the expres-  
 sion level of these EMT markers. As shown in Figure 5,  
 L1CAM silencing markedly increased the protein levels of  
 the epithelial marker E-cadherin and reduced the levels of  
 the mesenchymal marker vimentin in SCC4 cells, as deter-  
 mined by immunocytochemical analyses (Figure 5A) and  
 Western blotting (Figure 5B). Consistent with this protein  
 profile, quantitative RT-PCR analysis (Figure 5C) showed a  
 3-fold increase in E-cadherin and a massive reduction  
 (~97%) in vimentin in SCC4-sh-L1 cells when compared  
 with SCC4-sh-NT cells. Moreover, fibronectin, another  
 mesenchymal marker, and known EMT-regulatory transcrip-  
 tion factors, Slug and Snail, were also downregulated in  
 SCC4-sh-L1 cells, further confirming that the altered gene

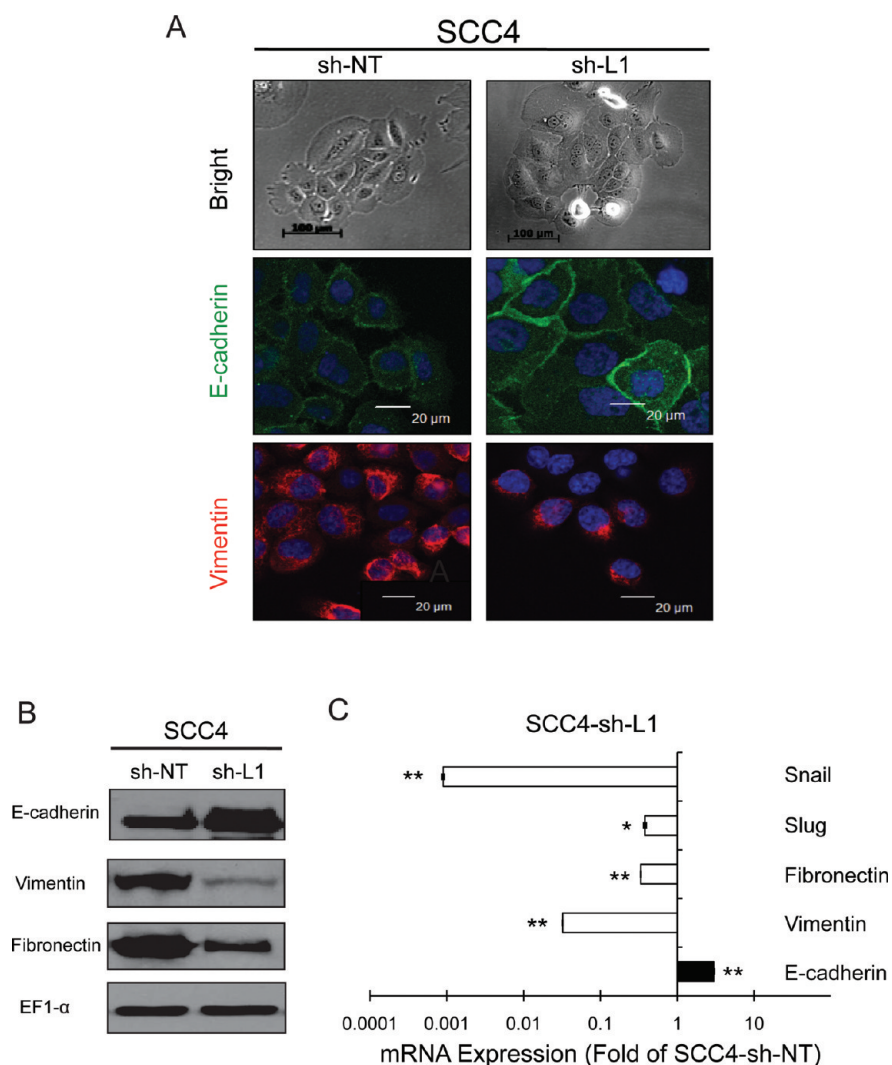
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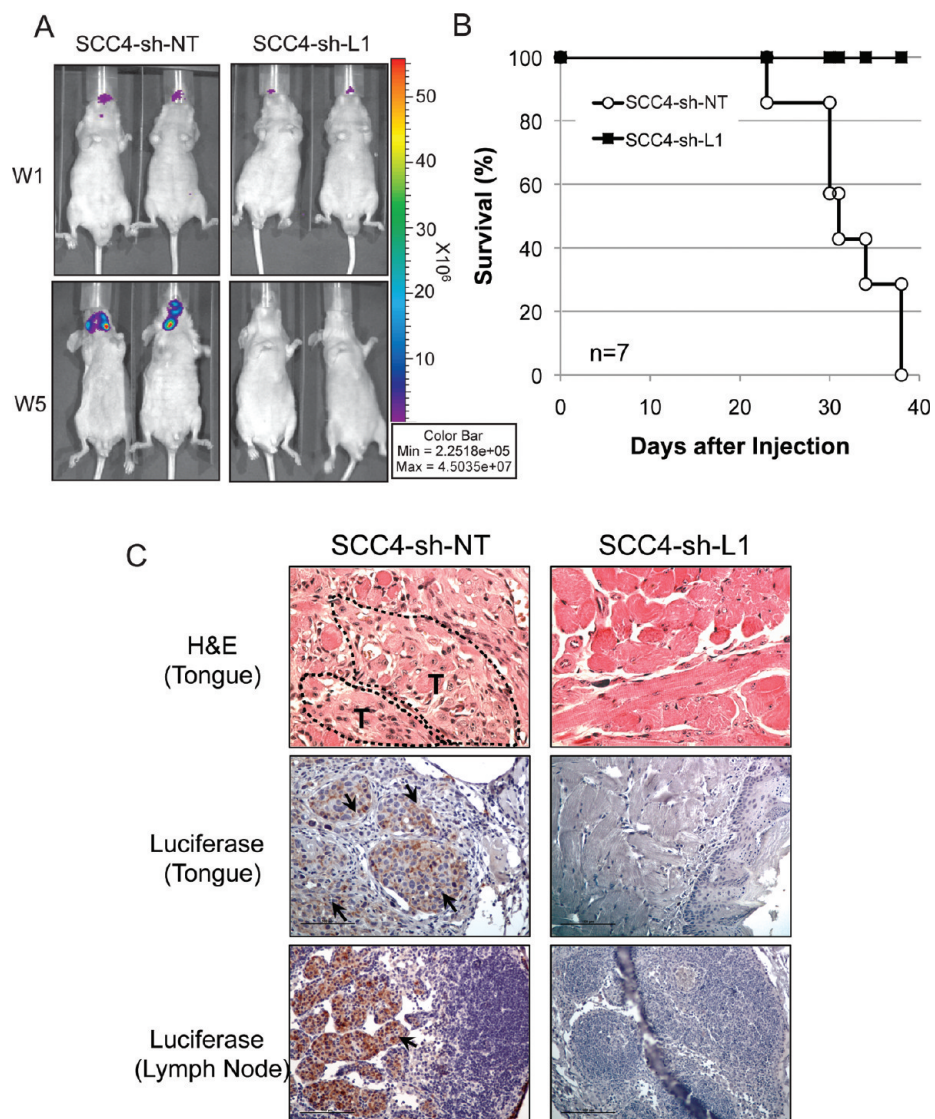


**Figure 5.** Effects of L1CAM gene silencing by shRNA on expression of epithelial–mesenchymal transition (EMT) markers. (A) Representative bright-field images of SCC4-sh-L1 and SCC4-sh-NT cells grown on a plastic surface (200 $\times$ ; scale bar = 100  $\mu$ m) and immunofluorescence staining for E-cadherin (green) and vimentin (red) expression in cells grown on cover glass under confocal microscopy ( $\times$ 400; scale bar = 20  $\mu$ m). Nuclei were counterstained with DAPI (blue). (B) Western blotting analysis of EMT markers. EF1- $\alpha$  is shown as the protein loading control. (C) Real-time RTPCR analysis of the above cells for epithelial (black bar) and mesenchymal markers (white bar). mRNA levels in SCC4-sh-L1 cells are displayed as the fold change relative to SCC4-sh-NT cells. Data are representative of three independent experiments and shown as the mean  $\pm$  SD. \* $p$  < 0.05, \*\* $p$  < 0.0001 versus SCC4-sh-NT.

421 expression caused by L1CAM knockdown was EMT-related.  
 422 Taken together, these data suggested that targeting L1CAM  
 423 expression in invasive OSCC cells reverses the EMT  
 424 phenotype and, thus, attenuates the aggressiveness of these  
 425 cancer cells.

426 **Targeting L1CAM Expression by shRNA Suppresses**  
 427 **Tumor Growth and Lymph Node Metastasis of OSCC**  
 428 **Cells in an Orthotopic Tumor Model.** To investigate of  
 429 the role of L1CAM in OSCC tumorigenesis and metastasis  
 430 *in vivo*, we used an orthotopic xenograft model of squamous  
 431 cell carcinoma of the oral tongue. The model was generated  
 432 by submucosal injection of human OSCC cells into the  
 433 anterior tongue of nude mice, which has been reported to  
 434 develop a high incidence of cervical lymph node met-  
 435 tastases.<sup>36</sup> To monitor, in real time, the primary tumor growth

and distant metastasis noninvasively, SCC4-sh-L1 and SCC4- 436  
 sh-NT cells were stably transduced with a luciferase reporter 437  
 gene by retroviral infection.<sup>37</sup> We confirmed the equal 438  
 bioactivity of the luciferase reporter between SCC4-sh-L1 439  
 and SCC4-sh-NT cells using an *in vitro* luciferase enzymatic 440  
 assay (data not shown) prior to injection of cells into the 441  
 mice. Mice that were orthotopically transplanted with lu- 442  
 ciferase-transduced SCC4-sh-L1 and SCC4-sh-NT cells were 443  
 visualized by bioluminescence imaging at one-week intervals. 444  
 One week after cell implantation, we observed biolumines- 445  
 cence at the site of the injection in all mice, regardless of 446  
 the cell line used, demonstrating the success of the orthotopic 447  
 transplantation (Figure 6A, week 1). While the control SCC4- 448 F6  
 sh-NT group ( $n$  = 7) showed a substantial increase of photon 449  
 intensity in the primary site (mouth) and spreading into the 450



**Figure 6.** Inhibition of SCC4 orthotopic tumor growth and lymph node metastasis by L1CAM gene silencing. SCC4-sh-L1 and SCC4-sh-NT cells also expressing the luciferase gene were injected into the anterior tongue of nude mice. Tumor growth and metastasis were monitored by bioluminescence imaging performed once a week. (A) Representative images taken at 1 and 5 weeks (W1 and W5) after injection to indicate tumor size and location are shown. (B) Mouse survival was monitored over the 6-week period of the experiment;  $n$  = number of mice used in each group. (C) Validation of regression of SCC4-sh-L1 xenografts by histopathological analysis (hematoxylin and eosin staining: H&E) and immunohistochemical staining of luciferase in tongue and adjacent lymph nodes (200 $\times$ ; scale bar = 100  $\mu$ m). Arrows denote positive staining.

451 neck area over time, the bioluminescence signal dropped and  
 452 disappeared in all mice ( $n = 7$ ) in the SCC4-sh-L1 group  
 453 (Figure 6A, week 5). A Kaplan–Meier survival curve  
 454 showed that SCC4-sh-NT tumor-bearing mice were dead  
 455 before 40 days. In contrast, mice implanted with SCC4-sh-  
 456 L1 cells remained alive and healthy (Figure 6B) during the  
 457 6-week period of monitoring (42 days). Histopathological  
 458 analysis of tumor tissue sections revealed invasive squamous  
 459 cell carcinoma formation in the SCC4-sh-NT xenografts,  
 460 whereas no tumor was seen in whole-mounted tongue tissues  
 461 collected from SCC4-sh-L1-injected animals at the end of  
 462 experiment (Figure 6C). In addition, abundant expression of  
 463 luciferase was detected in both primary oral tumors and  
 464 nodal metastases of SCC4-sh-NT mice but not in the SCC4-

sh-L1 tissues (Figure 6C), further confirming the complete  
 regression of the SCC4-sh-L1 tumors.

## Discussion

L1CAM has been detected in many tumor types, but its  
 expression has not been investigated in OSCC. Here we  
 present the first comprehensive study of L1CAM expression  
 in OSCC and its impact on OSCC tumor progression and  
 metastasis. Our immunohistochemistry in human OSCC  
 tissues representing different stages of disease progression  
 demonstrated that L1CAM was absent in normal non-  
 neoplastic tissues but expressed in OSCC where staining was  
 significantly stronger and present in more cells with less  
 differentiation. Thus, L1CAM may be useful as a tumor

478 biomarker for predicting the presence of OSCC in patients.  
479 Significantly, aside from a reduction in cell proliferation and  
480 migration/invasiveness *in vitro*, suppressed tumor growth *in*  
481 *vivo*, and increased survival of tumor-bearing animals by  
482 knockdown of L1CAM, targeting L1CAM expression in  
483 OSCC cells also resulted in cell-cycle arrest at the G1 phase  
484 and reversed EMT-like cellular events, further providing  
485 molecular insight into the role of L1CAM in triggering the  
486 transition from primary tumor formation to metastatic  
487 competence. In addition, as regional and distant metastasis  
488 is the main cause of death for patients with oral cancer,<sup>42</sup>  
489 our results suggested that L1CAM and its related signaling  
490 pathways could be targeted as novel treatment options.

491 Recently, EMT has gained significant clinical attention in  
492 OSCC due to microarray studies revealing upregulation of  
493 EMT-related genes in high-risk head and neck SCC tumors<sup>43</sup>  
494 and their metastases.<sup>44</sup> In this study, we described a new  
495 link between L1CAM and key regulators of EMT and OSCC  
496 tumor progression. Unlike breast carcinoma cells, where  
497 L1CAM had no effect on the total amount of E-cadherin  
498 but can induce the removal of E-cadherin from the adherens  
499 junctions,<sup>45</sup> we found that L1CAM knockdown increased the  
500 expression of E-cadherin protein in total cell lysates and of  
501 E-cadherin mRNA extracted from oral cancer cells, indicat-  
502 ing that L1CAM-mediated E-cadherin downregulation oc-  
503 curred at the transcriptional level. The transcription factors  
504 of the Snail family, Snail (Snai1) and Slug (Snai2), have the  
505 ability to bind to the promoter region of the E-cadherin  
506 gene.<sup>46,47</sup> L1CAM significantly affected Snail and Slug  
507 expression in SCC4 cells, strongly suggesting that the Snail  
508 family is a downstream target of L1CAM responsible for  
509 functional inhibition and consecutive suppression of E-

cadherin expression, leading to the induction of EMT. 510  
Moreover, we observed that downregulation of L1CAM 511  
significantly inhibited cell proliferation commensurate with 512  
an increased accumulation of cancer cells at the G1 phase 513  
and a corresponding reduction of cells in the S phase of the 514  
cell cycle *in vitro*. Furthermore, downregulation of L1CAM 515  
completely abolished tumorigenicity in an animal model. 516  
These results revealed a more versatile role of L1CAM in 517  
tumor promotion that was not merely limited to cell adhesion 518  
and migration, as evidenced by this and other studies,<sup>15</sup> but 519  
also includes a role as a potential oncogene in OSCC. While 520  
the mechanisms by which L1CAM modulates tumor pro- 521  
gression are still under investigation, recent studies indicate 522  
that L1CAM affects the expression of Erk-dependent genes 523  
important for motility regulation.<sup>48</sup> In breast cancer cells, 524  
L1CAM overexpression also leads to increased  $\beta$ -catenin 525  
transcriptional activity, contributing to cell movement and 526  
colony scattering.<sup>45</sup> Several G1-phase positive regulators and 527  
transcriptional factors, such as cyclin D1 and c-Myc, are 528  
known target genes of the  $\beta$ -catenin/LEF-1 pathway,<sup>49,50</sup> and 529  
these have been reported to be overexpressed in both 530  
pre-malignant and cancerous lesions of oral tissues.<sup>51,52</sup> Our 531  
findings regarding L1CAM-mediated cell-cycle progression 532  
may open a new avenue for exploring the molecular 533  
mechanism of L1CAM function in the early events of 534  
carcinogenesis. 535

536 Targeted molecular therapy has been proven to signifi- 537  
cantly augment conventional therapy without increasing 538  
treatment-related toxicity. An antibody against the extracel- 539  
lular domain of L1CAM has been developed and shown to 540  
inhibit the growth of L1CAM-expressing ovarian carcinoma 541  
cells in nude mice.<sup>53</sup> The subcellular localization of L1CAM 542  
is not limited to the cell surface but is also reported in the

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543 nucleus as a cleavage product containing only the intracel- 552  
 544 lular domain.<sup>54</sup> The transductional targeting approach 553  
 545 described above, therefore, has the drawback of antagonizing 554  
 546 the nuclear function of L1CAM. Recent studies have shown  
 547 that RNA silencing is a specific and powerful technology  
 548 for knockdown of oncogenic expression. In the present study,  
 549 we successfully used lentivirus-mediated shRNA to inhibit  
 550 the expression of L1CAM and its effects on tumor promo-  
 551 tion, which led to complete tumor regression in an animal

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model. This work, based on a gene silencing approach, 552  
 provided evidence that L1CAM is a promising mechanistic 553  
 target for OSCC molecular targeted therapy. 554

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**Supporting Information Available:** List of primers and 560  
 probes used in quantitative PCR. This material is available free 561  
 of charge via the Internet at <http://pubs.acs.org>. 562

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