

1 **Research article**

2 **Study design:** Intraarticular injection of hyaluronan versus saline in the treatment of  
3 adjuvant-induced arthritis: a randomized controlled trial

4

5 **Hyaluronan Modulates Accumulation of Hypoxia-Inducible Factor-1 alpha, Inducible**

6 **Nitric Oxide Synthase, and Matrix Metalloproteinase-3 in the Synovium of Rat**

7 **Adjuvant-Induced Arthritis Model**

8

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26

27 **Abstract**

28 **Introduction:** Hypoxia is a feature of the inflamed synovium in rheumatoid arthritis (RA).

29 Intra-articular injection of hyaluronan (HA) may be considered a potential way to treat RA.

30 However, the exact molecular mechanism of HA on decreased cellular responses to hypoxic

31 environment is unclear. The present study has been designed to use the adjuvant-induced

32 arthritis model to examine the effects of HA on the changes of immunohistochemical

33 expressions of hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ), inducible nitric oxide synthase (iNOS),

34 and matrix metalloproteinase-3 (MMP3) in the synovial tissues at the early phase of arthritic

35 inflammation.

36 **Methods:** Monoarthritis was induced in adult male Sprague-Dawley (250–300 gm) via

37 intraarticular injection of complete Freund's adjuvant (CFA) into the tibiotarsal joint. The

38 CFA-induction arthritis animals were divided into three groups: treatment (intraarticular

39 injection of HA), placebo (intraarticular injection of saline) and controls (no treatments).

40 Functional evaluations of edema and pain behavior, histology, and HIF-1 $\alpha$ , iNOS, and MMP3

41 immunohistochemistry were performed before, after the first injection, three injections, and

42 on the follow-up injection of the treatments.

43 **Results:** Intra-articular injection of HA also significantly suppressed the mechanical

44 allodynia ( $p < 0.001$ ) and overexpressions of HIF-1 $\alpha$  ( $p < 0.001$ ), iNOS ( $p = 0.004$ ) and

45 MMP3 ( $p < 0.001$ ) immunoreactivity in synovium.

46 **Conclusions:** This study demonstrated that early intervention of HA is an effective protection  
47 against accumulation of inflammation-induced HIF-1 $\alpha$ , iNOS, and MMP3 to limit erosive  
48 damage in CFA-induced model of arthritis.

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50

**51 Introduction**

52

53 Hypoxic microenvironment is a hallmark of the inflamed synovium and its  
54 importance in the pathogenesis of rheumatoid arthritis (RA) has been documented [1-4]. In  
55 human and animal arthritis models, the importance of hypoxia for the development and  
56 persistence of RA has been demonstrated [1, 5]. Previous studies have demonstrated the  
57 hypoxic nature of the synovium of patients with RA and the constitutive expression of  
58 hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ), a key regulator of hypoxia transcriptional response. In  
59 RA joints, it has been shown to express increased presence and accumulation of HIF-1 $\alpha$  and  
60 HIF-1 target genes in synovial lining cells and articular chondrocytes under hypoxic  
61 condition, which aggravates joint inflammation [6, 7]. Previous studies also demonstrated  
62 that hypoxia takes place in synovium at the pre-arthritis stage or early stage of the disease  
63 and have a close spatial relationship and positive severity correlation with synovitis [8].  
64 Therefore, HIF-1 $\alpha$  is identified to be a key player in the pathogenesis of RA and a potential  
65 therapeutic target in RA development.

66 Nitric oxide (NO) synthesized from arginine by nitric oxide synthases (NOS), is an  
67 important chemical mediator of inflammation. The inducible isoform of NOS (iNOS) is  
68 primarily responsible for producing large amounts of NO and its overexpression has been  
69 linked with the progressive inflammation and tissue destruction observed in hypoxic

70 experimental arthritis [9, 10] and human rheumatoid synovium [11, 12]. Matrix  
71 metalloproteinases (MMPs), the most important matrix-degrading enzymes in RA, act as key  
72 mediators of the resorption of cartilage, bone, synovial fluid, and adjacent soft tissue, which  
73 occurs as part of the pathological destruction of joint tissue [13]. Among dozens of MMPs,  
74 MMP3 (stromelysin 1) has been reported to be the major enzyme produced by fibroblasts and  
75 macrophage-like cells in the synovium, and that the level of MMP3 is significantly higher in  
76 synovial fluids from patients with RA [14-16]. Based on previous studies, under the  
77 inflammatory conditions of RA, the levels of HIF-1 $\alpha$ , iNOS, and MMP3 are significantly  
78 higher in synovial fluids and implicated in the pathogenesis of RA. Expressions of iNOS and  
79 MMP3 are probably regulated by HIF-1 $\alpha$  in the cellular response to hypoxic and  
80 inflammatory environments [11, 17, 18]. Therefore, inhibition and/or down-regulation of  
81 these molecules may exert anti-hypoxic and anti-inflammatory effects.

82 Hyaluronan (HA) is a polymer of disaccharides, which has high capacity for holding  
83 water and possesses high viscoelasticity [11]. The intra-articular supplementation of HA can  
84 replace synovial fluid, which has lost its viscoelastic properties. HA has been widely used for  
85 the treatment of osteoarthritis (OA) [19]. In addition to its characteristic as a lubricating agent,  
86 exogenous administration of HA can suppress expression of inflammatory cytokines, MMPs  
87 and free oxygen radicals to reduce inflammation in a postlaminectomy rat model [20] and  
88 patients with RA [21]. Therefore, it has been expected that the intra-articular injection of HA

89 is more efficacious in treating RA, which principally characterizes articular synovitis [21, 22].  
90 However, its clinical use for RA joint treatment is still rare because the immunoregulatory  
91 action of HA is still debatable.

92 Complete Freund's adjuvant (CFA)-induced arthritis shares some characteristics of  
93 RA. This model mirrors much of the pathology of RA including hyperplasia of the synovial  
94 tissues, inflammatory infiltration of the joints, and destruction of bone and cartilage in the  
95 synovial joint [23]. The present study has been designed to use the adjuvant-induced arthritis  
96 model to examine the effects of HA on the changes of immunohistochemical expressions of  
97 HIF-1 $\alpha$ , iNOS, and MMP3 in the synovial tissues at the early phase of arthritic inflammation.  
98 We hypothesize that addition of HA will alleviate inflammatory nociception and impede the  
99 accumulation of arthritis-induced HIF-1 $\alpha$ , iNOS, and MMP3 productions at the early phase  
100 of the experimental arthritic inflammatory joint. If this hypothesis is correct, it will offer at  
101 least a partial explanation for efficacy of topical HA application in the subsequent inhibition  
102 of hypoxic inflammation in this preclinical model.

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104

## 105 **Materials and methods**

106

### 107 **General design**

108 Arthritis was induced arthritis on all animals by intra-articular injection of CFA. After  
109 a day of CFA induction, the arthritic animals were randomly divided into three groups (n = 90)  
110 according to three treatments named as: (1) Control (sham injection by needling,  
111 intra-articularly manipulated and no solution administration, No-tr group, n = 30); (2)  
112 Placebo (50  $\mu$ l saline intra-articularly administered, SA group, n = 30); (3) Treatment (50  $\mu$ l  
113 HA intra-articularly administered, HA group, n = 30). Injections of HA or saline were given  
114 every 2 d (Days 2, 4, and 6). The evaluation instruments were edematous swelling of the paw,  
115 pain behavioral assessments, histology, and immunohistochemistry. Assessments were  
116 performed at pre-arthritic (Day 0), post-arthritic (Day 1) and 3 h after the treatment of one  
117 injection (1 dose, 1D) on Day 2, three injections (three doses, 3D) on Day 6, and follow-up 6  
118 d after 3D (3D6d) on Day 12. The flow diagram is presented in figure 1.

119

### 120 **Animal preparation**

121 Ninety adult male Sprague-Dawley (CD<sup>®</sup>(SD) IGS BR; purchased from BioLASCO  
122 Taiwan Co., Ltd.) rats weighing 250–300 g were kept in the Laboratory Animal Center of  
123 China Medical University. Effort was made to minimize discomfort and to reduce the number

124 of animals used. All animal experiments were conducted with the procedure approved by the  
125 Animal Care and Use Committee of a university in accordance with the Guidelines for  
126 Animal Experimentation.

127

### 128 **Induction of monoarthritis**

129 Monoarthritis was induced by an injection of CFA into the unilateral ankle articular  
130 cavity. The rats were briefly anesthetized with 4% isoflurane (AERRANE, Baxter Healthcare  
131 Corporation, Puerto Rico). A 28-gauge needle was vertically inserted distally into the  
132 articular cavity from the gap between the tibiofibular and tarsus bone. CFA with volume of  
133 50  $\mu$ l (10 mg mycobacterium, F5881, Sigma, MO) was then injected. The monoarthritic  
134 animals were separately placed in clear acrylic containers (10½" W  $\times$  19" D  $\times$  8" H),  
135 allowing free movement for at least 24 h to let them adjust to these conditions before any  
136 experimentation is performed.

137

### 138 **Ultrasound-guided HA injection**

139 Under brief isoflurane anesthesia, ultrasound (Terason t3000™ Ultrasound System,  
140 Terason Division, Teratech Corporation, MA, USA) guided injection was performed on the  
141 lateral side of tibiotarsal joint, with the transducer in the sagittal plane showing the distal end  
142 of tibia and proximal part of the tarsus in the image plane. Needle insertion was

143 perpendicularly performed to the transducer. HA injection (MW:  $1.2\text{--}1.4 \times 10^6$  Da; Ostenil<sup>®</sup>,  
144 10 mg/ml sodium hyaluronate, TRB CHEMEDICA AG, München, Germany) was  
145 documented by recording an image-clip during injection with the needle tip in the image  
146 plane.

147

#### 148 **Pain threshold assessment**

149 The pain thresholds were determined by nociceptive thresholds to mechanical  
150 stimulation. The test consisted of evoking a hind paw flexion reflex with a handheld force  
151 transducer (electronic von Frey anesthesiometer, IITC Inc., CA, USA) adapted with a 0.5  
152 mm<sup>2</sup> polypropylene tip. In a quiet room, the rats were placed in acrylic cages (32 × 22 × 27  
153 cm high) with a wire grid floor for 15 -30 min habituation prior to testing. The polypropylene  
154 tip was perpendicularly applied to the central area of the hind paw with with sufficient force  
155 to bend the filaments into an “S” shape for 3-4 sec. The test consisted of poking a hind paw to  
156 provoke a flexion reflex followed by a clear flinch response after paw withdrawal. Testing  
157 was initiated with the filament corresponding to 20 log of force (g). The filaments were  
158 applied with a gradual increase in pressure until a withdrawal reflex response was finally  
159 detected from the animal. The response to this filament is defined if a series of weaker or  
160 stronger filaments would be tested. The weakest filament able to elicit a response was taken  
161 to be the paw withdrawal threshold (g). The intensity of the pressure was recorded and the

162 final value for the response was obtained by averaging five measurements.

163

#### 164 **Measurement of edematous swelling of the paw**

165 The extent of peripheral swelling was assessed by measuring the circumference of the

166 paw at intact and CFA-injected sites with a flexible tape. The paw circumference was

167 obtained by averaging three measurements. The difference in the ankle circumference

168 between the initial value (pre-arthritic data) and that at each time point after injection is

169 expressed as change (%) =  $100\% \times [(post\text{-}arthritic\text{ circumference}) - (pre\text{-}arthritic$

170 circumference)] / (pre-arthritic circumference). All assessments including paw withdrawal

171 and swelling measurements were performed with the assessor blinded with respect to

172 treatment.

173

#### 174 **Histology and immunohistochemistry**

175 Animals were killed by anesthetic overdose after treatments of 1D (n = 10 for each

176 group), 3D (n = 10 for each group), and 3D6d (n = 10 for each group) on Days 2, 6, and 12.

177 Hind ankles were collected for histological and immunohistological analysis. The

178 formalin-fixed, paraffin-embedded joint tissues (including synovium and cartilage tissues)

179 were cut at thickness of 5  $\mu\text{m}$  for histology and immunohistochemistry. Histological

180 confirmation of the arthritic pathology was performed with hematoxylin and eosin (H&E)

181 stained sections. Sections were deparaffinized in 200 ml of Trilogy (Cell Marque Corporation,  
182 CA, USA) and incubated with 3% H<sub>2</sub>O<sub>2</sub> in methanol for 20 minutes at room temperature.  
183 Subsequently sections were treated with proteinase K (Sigma, St. Louis, Mo, USA) at 0.1  
184 mg/mL for 20 min at room temperature to unmask epitopes followed by phosphate buffered  
185 saline (PBS) rinse. Sections were incubated with blocking buffer (Power Block<sup>TM</sup>, Biogenex,  
186 USA) for 2 h at room temperature followed by incubation overnight at 4°C with the mouse  
187 monoclonal antibody anti- HIF-1 $\alpha$  (dil. 1:100, Thermo, CA, USA) and with the following  
188 rabbit polyclonal antibodies: anti-iNOS (dil. 1:200, Thermo, CA, USA), anti-MMP3 (dil.  
189 1:200, Abbiotec, CA, USA). After three washes with PBS containing 0.05% Tween-20 for 10  
190 min, sections were incubated with biotinylated anti-rabbit and anti-mouse immunoglobulins  
191 (Jackson immunoresearch, PA, USA), followed by a 30 min peroxidase-conjugated  
192 streptavidin incubation (Jackson Immunoresearch, PA, USA). Sections were incubated with  
193 3,3'-diaminobenzidine (Biogenex, CA, USA), dehydrated and cover-slipped with Permunt  
194 (Sigma, NJ, USA). Negative controls were performed by substituting the primary antibody  
195 with non-immune serum.

196 The histopathology of synovium was analyzed by non-parametric scoring system  
197 described by Smith et al. (24). The scores ranged from 0 to 3 on the each tissue criteria  
198 including intimal hyperplasia, lymphocytic infiltration, subintimal fibrosis and vascularity.  
199 The higher aggregate score was considered to reflect increased pathological changes. Five

200 randomly selected sections were scored and repeated two times for statistical analysis.  
201 Quantitative analysis of immunostainings was carried out by light microscopy in synovial  
202 tissue lining the joint cavity and synovial tissue attached to the cartilage. The number of  
203 HIF-1 $\alpha$ , iNOS and MMP3 immunoreactive cells was counted among at least five alternate  
204 sections in the more representative fields by using a microscope. Positive nuclei and  
205 cytoplasm staining cells for HIF-1 $\alpha$ , iNOS, and MMP3 were counted in high-power fields  
206 (200 $\times$  magnification) that contained synovial lining cells. The area sizes of high power fields  
207 were calculated by using a stage micrometer (with 100 gradations of 0.01 mm each) when  
208 viewed using a 200 $\times$  objective. Ten fields of each slide were counted for all samples and  
209 repeated three times for statistical analysis. Results were expressed as the proportion (%) of  
210 number of labeled cells per square millimeter of synovium. For statistical analysis, the mean  
211 value obtained from the repeated counts was used. All of scoring and quantitative analyses  
212 were assessed by two independent observers who were blinded to the origin of the sections to  
213 avoid bias from interobserver variability.

214

### 215 **Statistical analysis**

216 The differences of value in each assessment between pre- and post-arthritic  
217 evaluations were performed by Student's t-test. The differences among the groups of HA, SA,  
218 and No-tr on each dosage (1D, 3D, and 3D6d) were carried out using ANOVA, and later

219 further analyzed by a Bonferroni post-hoc method. Similar statistical analysis methods were  
220 used to test the differences among dosages in each group. Non-parametric data (histological  
221 synovial scoring) was analyzed using the Kruskal–Wallis test for multiple groups and  
222 following Mann–Whitney U-tests for between-group comparisons. Pearson correlation test  
223 was applied to study the correlations between pain withdrawal threshold and expressions of  
224 immunoreactivities, A *p* value of <0.05 was considered to be statistically significant. All data  
225 was analyzed using SPSS version 10.0 for Windows (SPSS Inc., IL, USA).

226

227

**228 Results**

229

**230 Effect of HA on CFA-induced edema**

231 The serial alterations of the percentage of edema (mean  $\pm$  SEM) throughout the whole  
232 experiment for each group are shown in figure 2A. After a day of CFA-induction, all animals  
233 developed severe monoarthritis in the injected paw. There were no significant differences in  
234 the non-injected intact paw on circumference among pre- and post-arthritic, and  
235 post-treatment conditions for each group ( $p > 0.05$ , data not shown). The edema of the  
236 CFA-injected paw gradually increased, reaching a maximal swelling of 65.51%, whereas  
237 there were significant differences on edema between pre- and post-arthritic conditions ( $p <$   
238 0.001).

239 After treatment, the significant time-dependent differences on edema development  
240 were observed in each group (HA group:  $p < 0.001$ ; SA group:  $p < 0.001$ ; No-tr group:  $p <$   
241 0.001). However, there was no difference in the edema of the arthritic paws among HA, SA,  
242 and No-tr groups after treatments of 1D ( $p = 0.22$ ), 3D ( $p = 0.41$ ) and 3D6d ( $p = 0.31$ ).

243 Therefore, intra-articular injections of HA, regardless of different dosages for 1D, 3D, and  
244 3D6d, did not ameliorate joint swelling compared with either SA or No-tr groups.

245

**246 Effect of HA on CFA-induced inflammatory mechanical nociception**

247 The serial alterations of the paw withdrawal threshold (mean  $\pm$  SEM) throughout the  
248 whole experiment for each group are shown in figure 2B. The mean threshold was  $25.07 \pm$   
249  $4.68$  g at pre-arthritic condition. However, after CFA-induction, it decreased to  $9.32 \pm 3.16$  g.  
250 There was significant difference with pre-arthritic condition ( $p < 0.001$ ).

251 The significant differences on paw withdrawal threshold were shown among HA, SA,  
252 and No-tr groups after treatment of 1D ( $p = 0.008$ ), 3D ( $p < 0.001$ ), and 3D6d ( $p < 0.001$ ).  
253 Significantly lower threshold existed after treatment of 1D, 3D, and 3D6d in SA and No-tr  
254 groups compared with those in HA groups (HA vs. SA,  $p = 0.04$ ; HA vs. No-tr,  $p = 0.01$  for  
255 1D; HA vs. SA,  $p < 0.001$ ; HA vs. No-tr,  $p < 0.001$  for 3D; HA vs. SA,  $p < 0.001$ ; HA vs.  
256 No-tr,  $p = 0.001$  for 3D6d). The analysis also showed that there was significantly lower  
257 threshold found in No-tr group compared with SA group after treatment of 3D ( $p = 0.03$ ) and  
258 3D6d ( $p = 0.01$ ). However, no significant difference was observed between these groups after  
259 treatment of 1D ( $p = 1.0$ ).

260 There were significant difference among three dosages in HA group ( $p < 0.001$ ), but  
261 not in both SA ( $p = 0.84$ ) and No-tr ( $p = 0.56$ ) groups. After HA treatment, the paw  
262 withdrawal threshold showed significant increase in 3D and 3D6d treatments compared with  
263 1D treatment (1D vs. 3D,  $p < 0.001$ ; 1D vs. 3D6d,  $p < 0.001$ ). However, no difference was  
264 observed between the 3D and 3D6d of HA treatments ( $p = 0.05$ ).

265

**266 Histopathological assessments**

267 Widening of the synovial cavity, infiltration of inflammatory cells, thickening of the  
268 synovial membrane, a narrowing of the synovial space, disruption of the cartilaginous tissue,  
269 and bone erosion were apparent in control rats of No-tr group (figures 3A, 3a) and SA group  
270 (figures 3B, 3b). The tibiotarsal joints of rats treated with 1D, 3D, and 3D6d of HA were less  
271 inflamed, as revealed by decreased number of inflammatory cells, synovial membrane  
272 thickening and cartilage destruction (figures 3C, 3c). There were significant differences in  
273 lymphocytic infiltration and aggregate score of non-parametric criteria observed among ankle  
274 joint synovium from HA and SA and No-tr groups treated with 1D, 3D, and 3D6d (Table 2,  $p$   
275  $< 0.05$ ). Lymphocytic infiltrations in synovium were significantly reduced after HA  
276 treatment when compared with those treated with SA or No-tr (HA vs. SA, HA vs. No-tr,  $p <$   
277  $0.05$  in all doses). There were no significant differences in intimal hyperplasia, subintimal  
278 fibrosis and vascularity among the three groups ( $p > 0.05$ ).

279

**280 Immunohistochemical assessments on location of HIF-1 $\alpha$ , iNOS, and MMP3**

281 Overexpressions of HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactivity were found within  
282 the synovial tissue in No-tr (figures 4A, 5A, 6A) and SA groups (figures 4B, 5B, 6B). At  
283 higher-power magnification, it is evident that these positive immunoreactivities were clearly  
284 localized in both nucleus and cytoplasm of arthritic synovium (figures 4a, 5a, 6a; 4b, 5b, 6b).

285 The primary cells exhibiting specific HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactivity were  
286 morphologically consistent with macrophages, mainly in inflammatory infiltrate and invasive  
287 pannus of the inflamed synovial joint. Synovial lining cells and some chondrocytes were also  
288 found to be HIF-1 $\alpha$ , iNOS and MMP3 positive. After treatment with HA, HIF-1 $\alpha$ , iNOS and  
289 MMP3 immunoreactivity were reduced (figures 4C, 5C, 6C) concurrent with reduced  
290 immunoreactivities localized in both nucleus and cytoplasm of arthritic synovium at  
291 higher-power magnification (figures 4c, 5c, 6c).

292

### 293 **Quantitative analysis on extents of HIF-1 $\alpha$ , iNOS, and MMP3**

294 After treatment, the significant differences on extents of HIF-1 $\alpha$ , iNOS, and MMP3  
295 immunoreactive expression were shown among HA, SA, and No-tr groups after treatment of  
296 1D (HIF-1 $\alpha$ :  $p < 0.001$ ; iNOS:  $p < 0.001$ ; MMP3:  $p < 0.001$ ), 3D (HIF-1 $\alpha$ :  $p < 0.001$ ; iNOS:  
297  $p < 0.001$ ; MMP3:  $p < 0.001$ ), and 3D6d (HIF-1 $\alpha$ :  $p < 0.001$ ; iNOS:  $p < 0.001$ ; MMP3:  $p <$   
298  $0.001$ ). Significantly lower expressions of HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactivity  
299 existed after treatment of 1D in HA groups (HIF-1 $\alpha$ : HA vs. SA,  $p < 0.001$ ; HA vs. No-tr,  $p$   
300  $< 0.001$  [figure 4D]; iNOS: HA vs. SA,  $p < 0.001$ ; HA vs. No-tr,  $p < 0.001$  [figure 5D];  
301 MMP3: HA vs. SA,  $p < 0.001$ ; HA vs. No-tr,  $p < 0.001$  [figure 6D]). The analysis also  
302 showed there were no significant differences on HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactivity  
303 between SA and No-tr groups for 1D dosage (HIF-1 $\alpha$ : SA vs. No-tr,  $p = 0.14$ ; iNOS:  $p = 0.45$ ;

304 MMP3:  $p = 1.0$ , [figures 4D, 5D, 6D]). Similar results were also found on HIF-1 $\alpha$ , iNOS, and  
305 MMP3 immunoreactivity for treatments of 3D and 3D6d (figures 4D, 5D, and 6D).

306 Significant difference on extents of HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactive  
307 expression were shown among 1D, 3D, and 3D6d dosages in HA group (HIF-1 $\alpha$ :  $p < 0.001$ ;  
308 iNOS:  $p = 0.004$ ; MMP3:  $p < 0.001$ ), but not in both SA (HIF-1 $\alpha$ :  $p = 0.56$ ; iNOS:  $p = 0.85$ ;  
309 MMP3:  $p = 0.81$ ) and No-tr (HIF-1 $\alpha$ :  $p = 0.16$ ; iNOS:  $p = 0.50$ ; MMP3:  $p = 0.99$ ) groups.

310 After 3D and 3D6d of HA treatment, the extents of HIF-1 $\alpha$  and iNOS immunoreactive  
311 expression significantly reached maximum reduction compared with those of 1D treatment  
312 (HIF-1 $\alpha$ : 3D vs. 1D,  $p < 0.001$ ; 3D6d vs. 1D,  $p = 0.03$  [figure 4D]; iNOS: 3D vs. 1D,  $p =$   
313  $0.01$ ; 3D6d vs. 1D,  $p = 0.03$  [figure 5D]). However, no difference was exhibited between the  
314 3D and 3D6d of HA treatments (HIF-1 $\alpha$ : 3D vs. 3D6d,  $p = 0.15$ ; iNOS: 3D vs. 3D6d,  $p =$   
315  $1.0$ ). For expression of MMP3 immunoreactivity, significant reduction was found after 3D  
316 treatment (3D vs. 1D,  $p = 0.001$ ; 3D vs. 3D6d,  $p < 0.001$  [figure 6D]). However, the  
317 expression of MMP3 immunoreactivity recovered after 3D6d treatment (3D6d vs. 1D,  $p =$   
318  $1.0$ ).

319

### 320 **Association of pain withdrawal threshold with immunoreactivity results**

321 A significant linear correlation was found between pain withdrawal threshold and  
322 immunoreactivity of HIF-1 $\alpha$ , iNOS and MMP3 (Pearson correlation coefficients,  $p < 0.05$ ,

323 Table 1). There were strong negative association of pain withdrawal threshold with HIF-1 $\alpha$ ,  
324 iNOS and MMP3 after 3D treatment and those with HIF-1 $\alpha$  and MMP3 after 3D6d treatment  
325 ( $0.75 < | \text{Pearson } \gamma | < 1$ ).

**326 Discussion**

327

328           The results of this study demonstrate that lymphocytic/plasmocytic infiltration in the  
329 synovium and accumulation of HIF-1 $\alpha$ , iNOS, and MMP3 were suppressed after  
330 intra-articular administration of HA at the early phase of adjuvant-induced inflammation. The  
331 extent of HIF-1 $\alpha$ , iNOS, and MMP3 immunoreactivity was consistent with the results of pain  
332 behavioral assessment, which demonstrated elevation of the mechanonociceptive threshold  
333 after administration of HA. These findings have never been reported by other researchers.

334           In this model, the early phases of adjuvant-induced arthritis seem to be characterized  
335 by acute cytokines-induced inflammation [25]. Due to infiltration of the injured tissues  
336 caused by immune cells and responses, swelling is a major sign during acute inflammation  
337 and it might also be considered an important parameter on evaluation the potential  
338 anti-inflammatory effects of compounds [26]. However, as shown in the results of our study,  
339 The levels of edematous swelling were not changed after HA treatment in acute inflammation  
340 at the early phase of adjuvant-induced arthritis, suggesting the weaker activity against edema  
341 of HA in acute inflammatory animal model. This result is consistent with the animal study  
342 with collagen-induced arthritis [27] and human study with OA [28]. The reason is probably  
343 due to HA inducing swelling adverse effects which primarily occurs in the HA injected site.  
344 Previous studies revealed that HA may act either as a primary irritant or an inflammatory

345 mediator to induce acute adverse events characterized by transient swelling of the injected  
346 joint in some patients [28, 30, 31]. The prevalence of adverse effects was noted in 47% of  
347 patients after HA supplementation and in 22% of patients treated with saline injections (32).  
348 In this study, the observation time of edema measurement started 3 h post-HA administration  
349 after HA administration when an adverse effect of a transient increase in swelling at the  
350 injection site occurred. Therefore, the further study with long-term observations of joint  
351 swelling after ceasing HA was needed for clarifying the effect of exogenous HA on resolving  
352 RA-induced joint edema.

353       It has been well-established by animal behavioral and human clinical studies that  
354 elastoviscous solutions of HA could have an analgesic effect when injected into arthritic  
355 joints and if appropriately applied to patients with acute arthritic pain [33]. There was  
356 significantly less bradykinin found in the crystal-induced arthritic joint after the injection of  
357 HA [34]. Electrophysiological studies also demonstrated that the rate of neural discharges of  
358 the nociceptive afferent fibers innervating the synovial tissue were significantly attenuated  
359 and reached a constant rate 2–3 h after injection [33, 35, 36]. Treatment of HA showed an  
360 analgesic effect after the onset of cartilage destruction and pain in a rabbit OA model [37].  
361 Our behavioral study is the first report on the analgesic effect of HA at decreased mechanical  
362 allodynia in a rat RA model, which is also consistent with the findings of previous studies.  
363 The intra-articular injection of HA also resulted in elevation of mechanonociceptive threshold,

364 which was in accordance with those of data determined by immunohistochemistry in this  
365 study.

366 HA has been demonstrated to possess a therapeutic effect on OA studied by many  
367 researchers. Macroscopic and microscopic evaluations revealed that HA has  
368 chondroprotective effects in a rabbit model of OA [38]. Our results showed that HA reduced  
369 the pathohistological sign including the degree of infiltration of the synovial membrane by  
370 plasma and lymphocytes in CIA animals which is consistent with findings from previous  
371 study (39). The tendency for decreased cellular infiltration during early phase of arthritis  
372 supports the assumption that HA provides a temporary protecting barrier over the cartilage,  
373 and thereby protects it against CFA insults. HA has also been shown to significantly suppress  
374 NO production and inhibit interleukin-1 beta (IL-1 $\beta$ )-induced MMP3 production from OA  
375 synovial tissue in vitro and in vivo [40-43]. As far as we know in English literature, few  
376 studies regarding the role of HA on suppression of HIF-1 $\alpha$ -mediated hypoxic and  
377 inflammatory responses have been conducted in OA models. Due to less inflammation in OA  
378 synovial tissue, there is minor HIF-1 $\alpha$  expression in these tissues [5]. However, there is  
379 relatively higher expression of HIF-1 $\alpha$  immunohistochemistry in RA synovial tissues  
380 compared with OA tissues due to the nature of the tissue being inflammatory and angiogenic  
381 in RA [7]. Therefore, HIF-1 $\alpha$  has the potential to serve as an anti-rheumatic drug activity

382 biomarker in the clinic and is expected to significantly affect/accelerate the clinical  
383 development of treatment for RA.

384         The possible important role of HIF-1 $\alpha$  in RA has been extensively discussed [44, 45].  
385 The presence of both hypoxia and inflammatory proteins in RA synovium, which both lead to  
386 HIF-1 $\alpha$  stabilization and subsequent HIF-1 activation, seems to highlight the important role  
387 of HIF-1 $\alpha$  [45]. Elevated synovial angiogenesis is a key event during the course of RA. The  
388 modulation and blockade of angiogenesis via drug interventions has been shown to contribute  
389 to therapeutic efficacy in rat models of arthritis [46]. HIF-1 $\alpha$  probably has an essential  
390 involvement in the angiogenic process of synovium in RA by regulation of its target gene,  
391 vascular endothelial growth factor (VEGF) (44). Inhibition of HIF-1 $\alpha$  protein expression and  
392 VEGF production by SMP-114, a disease-modifying anti-rheumatic drug (DMARD), has  
393 been shown of therapeutic benefit in RA [47]. Oral administration of the inhibitor of heat  
394 shock protein 90 (Hsp90) which has been shown to potently reduce HIF-1 $\alpha$ -related signaling  
395 and VEGF production has also been found to decrease inflammation and cartilage damage *in*  
396 *vivo* models of RA [48]. Therefore, suppression of HIF-1 $\alpha$  may be a key implication on the  
397 development of novel therapeutic strategies revolutionizing the treatment of RA. Results  
398 showed that HA suppressed the adjuvant-induced overexpression of iNOS and MMP3, which  
399 is consistent with findings from previous studies. Our study is the first to report that HA  
400 suppresses HIF-1 $\alpha$ . This study revealed the reduction of accumulation of HIF-1 $\alpha$  expression

401 in synovium of adjuvant-induced RA model after intra-articular HA administration. The  
402 suppressive effects on accumulation of inflammation-induced HIF-1 $\alpha$ , iNOS, and MMP3  
403 expressions in synovium may be involved in the therapeutic mechanism of HA intervention  
404 used in treatment of RA. Further molecular studies on expressions of VEGF will be needed  
405 for fully supporting the issue of anti-angiogenic effects of HA.

406

## 407 **Conclusions**

408

409       Suppression of HIF-1 $\alpha$  may be one of the major targets of the therapeutic approach in  
410 RA. This study demonstrated that early intervention of HA is an effective protection against  
411 accumulation of inflammation-induced HIF-1 $\alpha$ , iNOS, and MMP3, which might limit the  
412 erosive joint damage of arthritis. The findings suggest that modulation of HIF-1  $\alpha$  as a  
413 “master switch” may be used as a therapeutic target in the anti-inflammatory treatment of  
414 RA.

415

## 416 **List of abbreviations**

417 1D: 1 dose, 3D: three doses, 3D6d: follow-up at the 6th day after 3 doses, CaMKII:

418 Ca<sup>2+</sup>/calmodulin-dependent kinase II, CFA: complete Freund's adjuvant, DMARD:

419 disease-modifying anti-rheumatic drugs, H&E: hematoxylin and eosin, HA: hyaluronan,

420 HIF-1 $\alpha$ : hypoxia-inducible factor-1 alpha, Hsp90: heat shock protein 90, IL-1 $\beta$ : interleukin-1  
 421 beta, iNOS: inducible nitric oxide synthase, MMP3: matrix metalloproteinase-3, MMPs:  
 422 matrix metalloproteinases, NO: Nitric oxide, NOS: nitric oxide synthases, OA: osteoarthritis,  
 423 RA: rheumatoid arthritis, SD: Sprague-Dawley, SPSS: Statistical Package for the Social  
 424 Sciences, VEGF: vascular endothelial growth factor.

425

426 **Competing interests:** The authors have declared no conflicts of interest.

427

428 **Authors' contributions**

429 LWC conceived the study, and participated in data analysis, and drafted the manuscript. JW  
 430 participated in the histopathology and scored the immunohistology. PLC participated in the  
 431 establishment of animal model, immunohistology and animals' functional evaluations. YLH  
 432 conceived the study, performed the statistical analysis, and drafted the manuscript. All  
 433 authors read and approved the final manuscript.

434

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441

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598

599

600 **Figure legends**

601 **Figure 1. Experimental design of the sequence of events for the entire course of the**

602 **experiment.** After the evaluations including measurements of paw edematous swelling and

603 pain threshold, the animals were sacrificed for histology and immunohistochemistry. 1D: one

604 dose; 3D: three doses; 3D6d: follow-up at the 6th day after 3 doses. CFA: complete Freund's

605 adjuvant; HA: hyaluronan; No-tr: No treatment; SA: saline

606

607 **Figure 2. Results of edema (A) and pain behavioral (B) assessments.** Data were calculated

608 before treatment at the conditions of pre- and post-CFA-induced arthritis, after treatment at

609 conditions of one injection (1D), three injections (3D) and follow-up 6 d after 3D (3D6d) in

610 treatment (hyaluronan injection, HA), placebo (saline administration, SA) and control (sham

611 injection, No-tr) groups. Each bar represents the mean  $\pm$  SD in body weight and mean  $\pm$  SEM

612 in paw circumference and withdrawal threshold. #:  $p < 0.05$ , Student's t-test for comparison

613 of pre- and post-arthritic condition before treatment. \* $p < 0.05$ , Bonferroni post hoc test for

614 comparison of difference between groups at dosages of 1D, 3D and 3D6d after treatment.

615

616 **Figure 3. Histopathology of arthritis joints.** Representative HE sections of the hind paws

617 obtained from adjuvant-induced arthritic animals treated with intra-articular three injections

618 for No-tr (A), SA (B), and HA (C). In rats without any treatment for No-tr group, wherein

619 cartilaginous tissue could not be clearly detected, bone damage was even greater and there  
620 was massive inflammatory cells infiltrated in synovium (a). Similar changes were observed in  
621 rats treated with SA. Cartilage erosion was more pronounced and the extensively expanded  
622 synovial pannus was more densely infiltrated with mononuclear cells (b). In rats treated with  
623 HA, the joints were much less inflamed, and lymphocyte accumulation (c) and cartilage  
624 damage decreased. There was no sign of bone destruction (cart = cartilage; syn = synovial  
625 tissue; see figure 2 for other definitions).

626

627 **Figure 4. Representative immunohistochemical sections of HIF-1 $\alpha$  immunoreactivity.**

628 Sections obtained from the arthritic synovium treated with intra-articular three injections of  
629 No-tr (A), SA (B), and HA (C) groups. At higher-power magnification, it is evident that these  
630 positive (brown staining) immunoreactivities were clearly localized in both nucleus and  
631 cytoplasm of arthritic synovium in the sections from No-tr (a) and SA (b) animals.

632 Administration of HA (c) to adjuvant-induced rat produced a marked reduction in the  
633 immunostaining for HIF-1 $\alpha$  quantitative analysis (D) of positive-labeled cells in synovium

634 for HIF-1 $\alpha$  immunohistochemistry at the early phase of inflammation of each group was

635 presented in the average proportion of labeled neurons (mean  $\pm$  SEM). \*  $p < 0.05$ , showed

636 significant differences between groups when either SA or No-tr is compared with HA group

637 using Bonferroni post hoc test. Significant differences were found between HA vs SA groups

638 and HA vs No-tr groups. <sup>#</sup>  $p < 0.05$ , showed significant differences between dosages tested  
639 by Bonferroni post hoc test (cart = cartilage; syn = synovial tissue; see figure 2 for other  
640 definitions).

641

642 **Figure 5. Representative immunohistochemical sections of iNOS immunoreactivity.**

643 Sections obtained from the arthritic synovium treated with intra-articular three injections of  
644 No-tr (A), SA (B), and HA (C) groups. At higher-power magnification, it is evident that these  
645 positive (brown staining) immunoreactivities were clearly localized in both nucleus and  
646 cytoplasm of arthritic synovium in the sections from No-tr (a) and SA (b) animals.

647 Administration of HA (c) to adjuvant-induced rat produced a marked reduction in the  
648 immunostaining for iNOS. Quantitative analysis (D) of positive-labeled cells in synovium for  
649 iNOS immunohistochemistry at the early phase of inflammation of each group was presented  
650 in the average proportion of labeled neurons (mean  $\pm$  SEM). <sup>\*</sup>  $p < 0.05$ , showed significant

651 differences between groups when either SA or No-tr is compared with HA group using

652 Bonferroni post hoc test. Significant differences were found between HA vs SA groups and

653 HA vs No-tr groups. <sup>#</sup>  $p < 0.05$ , showed significant differences between dosages tested by

654 Bonferroni post hoc test (cart = cartilage; syn = synovial tissue; see figure 2 for other

655 definitions).

656

657 **Figure 6. Representative immunohistochemical sections of MMP3 immunoreactivity.**

658 Sections obtained from the arthritic synovium treated with intra-articular three injections of  
659 No-tr (A), SA (B), and HA (C) groups. At higher-power magnification, it is evident that these  
660 positive (brown staining) immunoreactivities were clearly localized in both nucleus and  
661 cytoplasm of arthritic synovium in the sections from No-tr (a) and SA (b) animals.

662 Administration of HA (c) to adjuvant-induced rat produced a marked reduction in the  
663 immunostaining for iNOS. Quantitative analysis (D) of positive-labeled cells in synovium for  
664 MMP3 immunohistochemistry at the early phase of inflammation of each group was

665 presented in the average proportion of labeled neurons (mean  $\pm$  SEM). \*  $p < 0.05$ , showed

666 significant differences between groups when either SA or No-tr is compared with HA group

667 using Bonferroni post hoc test. Significant differences were found between HA vs SA groups

668 and HA vs No-tr groups. #  $p < 0.05$ , showed significant differences between dosages tested

669 by Bonferroni post hoc test (cart = cartilage; syn = synovial tissue; see figure 2 for other

670 definitions).

671

672  
673

Table 1. Association of pain withdrawal threshold with the immunoreactivity results given as  $\gamma$ -values

	Pain withdrawal threshold		
	1D	3D	3D6d
HIF-1 $\alpha$	-0.378**	-0.848**	-0.869**
iNOS	-0.280*	-0.782**	-0.765**
MMP-3	-0.420**	-0.823**	-0.856**

674

Correlations were analyzed by Pearson correlation coefficients.

675

\*\* : P<0.01; \* : P<0.05.

Table 2. Results of histopathological scores of synovium for H&E sections in arthritic ankle joint sampled from HA, SA and No-tr groups.

Dose	Group	Intimal hyperplasia	Subintimal fibrosis	Lymphocytic infiltration	Vascularity	Aggregate score
1D	HA	2.45±0.11	2.60±0.11	1.50±0.11* <sup>#</sup>	2.05±0.11	7.80±0.26* <sup>#</sup>
	SA	2.60±0.11	2.60±0.11	2.50±0.11	2.10±0.12	9.10±0.31
	No-tr	2.65±0.11	2.65±0.10	2.95±0.05	2.20±0.12	9.75±0.24
	<sup>a</sup> <i>p</i> value among groups	<i>p</i> >0.05	<i>p</i> >0.05	<i>p</i> <0.001	<i>p</i> >0.05	<i>p</i> <0.001
3D	HA	2.50±0.11	2.70±0.11	1.40±0.13* <sup>#</sup>	2.20±0.09	8.05±0.31* <sup>#</sup>
	SA	2.80±0.09	2.70±0.10	2.55±0.11	2.15±0.11	9.55±0.28
	No-tr	2.80±0.09	2.70±0.11	2.85±0.08	2.20±0.14	9.95±0.32
	<sup>a</sup> <i>p</i> value among groups	<i>p</i> >0.05	<i>p</i> >0.05	<i>p</i> <0.001	<i>p</i> >0.05	<i>p</i> <0.001
3D6d	HA	2.50±0.11	2.50±0.11	1.40±0.11* <sup>#</sup>	2.15±0.11	7.85±0.25* <sup>#</sup>
	SA	2.70±0.11	2.60±0.10	2.77±0.10	2.20±0.14	9.6±0.36
	No-tr	2.70±0.11	2.70±0.11	2.85±0.08	2.40±0.11	10.05±0.33
	<sup>a</sup> <i>p</i> value among groups	<i>p</i> >0.05	<i>p</i> >0.05	<i>p</i> <0.001	<i>p</i> >0.05	<i>p</i> <0.001

Values are mean±SEM. <sup>a</sup>: tested with Kruskal–Wallis test. \*: *p* < 0.05, showed statistically significant differences between HA and SA groups; <sup>#</sup>: *p* < 0.05, showed statistically significant differences between HA and No-tr groups; Mann-Whitney U-ranked tests were used for between-group comparisons.