1	Revised #1
2	Bilateral Changes in Ground Reaction Forces in Patients with Unilateral
3	Anterior Cruciate Ligament Deficiency during Stair Locomotion
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11	Running title: GRF during stair locomotion in ACL-deficient patients
12	
13	Word Count: 4067
14	
15	Submitted to Journal of Mechanics
16	
17	June 2010
18 19 20 21	Key Words: Ground reaction force; Anterior cruciate ligament deficiency, Stair locomotion
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#### 1 Abstract

2 Stair locomotion is an important but challenging functional activity for people with 3 lower limb pathology. This study aimed to investigate the bilateral changes in 4 force-bearing on lower limbs during stair locomotion in patients with unilateral ACL 5 The ground reaction forces (GRF) were collected from three force deficiency. 6 platforms: one at ground level in front of a 5-step stair and two on the first two steps 7 respectively. Parameters in vertical and anterior-posterior GRF were extracted and 8 compared between the ACL-deficient (ACLD) and control groups. The ACLD 9 group showed significantly slower stepping cadences in both stair ascent and stepping 10 down to the ground (p < 0.05). The vertical GRF in the ACLD group demonstrated 11 smaller peak forces but larger minimum forces between the two peaks than those in 12 the control group during both stair ascent and descent. Significantly reduced anterior 13 propulsive forces and push-off rates in the late stance were also found in both limbs of 14 the ACLD group (p < 0.05). The slower cadences and reduced force-bearing on the 15 affected limb suggested a protective strategy was adopted. However, the anterior 16 loading parameters in the early stance on the unaffected limb demonstrated different 17 adaptations with significantly larger magnitudes during stair ascent but reduced 18 magnitudes during stair descent (p < 0.05). Similar results were also found in the 19 weight-transferring strategies between legs in consecutive steps with a significantly

1	larger percentage of lift-up forces but a smaller percentage of impact forces on the
2	leading unaffected limb. The results of this study indicated a cautious force-bearing
3	strategy and bilateral adaptation were apparent in the patients with unilateral ACL
4	deficiency. This information may provide a safety guideline for the patients and be
5	helpful for a better use of the stair tasks as part of a rehabilitation program.
6	

- **Keywords:** Ground reaction force; Anterior cruciate ligament deficiency, Stair
- 8 locomotion

### 1 1. Introduction

2 Stair locomotion is an important and common functional activity in daily life; 3 however, it is also a hazardous activity for some populations such as older people or 4 people with lower limb pathology. Gait analysis of stair locomotion in healthy 5 young adults [1-4] and older individuals [5, 6] have been reported. Stair walking 6 was shown to be more challenging and demanding than level walking [5, 7, 8]. The 7 elderly tend to take safer strategies to negotiate with stairs than the young adults [7]. 8 Moreover, relatively higher motor capabilities were required in stair walking because 9 of the decline in physical capacities in the elderly [9]. The physical capacities of 10 people with lower extremity pathology may also be compromised. Studies have 11 demonstrated several altered movement patterns during stair walking in those patients 12 [10-13]. Stair locomotion was therefore frequently regarded as a practical testing to 13 reveal their functional impairments [14, 15], and also used in clinics as one of the 14 rehabilitation exercises to restore their functional ability [16].

Stair locomotion involves progressing and ascending or descending the body to a new level, and thus requires bearing more muscle forces on the lower limbs. The propulsion of the body center of mass in upward and forward directions is associated directly with the vertical and propulsive (anterior) ground reaction forces (GRF) respectively. Though the GRF pattern in stair locomotion preserved most of the

1	features in level walking, the magnitudes of the anterior-posterior GRF were
2	considerably larger during level walking [17]. Moreover, a two-peak pattern of
3	vertical GRF demonstrated larger peak in the late stance of stair ascent to push the
4	body upward, while larger peak in the early stance of stair descent to support the
5	downward body [4, 17]. The vertical GRF in braking phase in stair descent was
6	32.5% higher than seen in level walking in the asymptomatic older subjects with knee
7	osteoarthritis. Their loading rate of stair descent was also significantly faster than in
8	level walking [18]. The loading rate indicated the impulsive nature of the ground
9	reaction force to the lower limb. A faster loading rate indicated larger impulses that
10	may correlated to the degenerative changes of the weight-bearing joint. With the
11	decline in physical capacities, the elderly would prefer a slower velocity in stair
12	negotiation and thus demonstrated lower peak forces and slower loading rates [6].
13	The patients after knee arthroplasty demonstrated significantly smaller peak vertical
14	GRF in stair ascent, and greater bilateral asymmetry in limb loading in stair descent
15	when comparing with healthy controls [19].

16 Individuals with ACL injury would find stair descending more difficult because 17 of the inability to bear anterior shear forces. Shear components of the knee contact 18 forces were considered especially important for the stability of the joint during 19 rehabilitation after ligament reconstruction and total knee replacement surgery.

1	Studies reported that the tibio-femoral forces during stair climbing were larger than
2	those during walking, and the corresponding shear forces were 2 times more than
3	those during walking. [20]. In comparison of normal stair ascent and descent, the
4	resultant forces at the knee joint showed larger anterior shear forces in the late stance
5	of stair descent [3]. The force-bearing on the structures around the knee joint
6	showed significantly higher peak patellar tendon forces, peak flexor forces, posterior
7	cruciate ligament (PCL) forces and joint surface contact forces during stair ascent.
8	In contrast, a tendency of higher forces on the anterior cruciate ligament (ACL) was
9	demonstrated during stair descent. These suggested that one should be cautious
10	when using stair ascent and descent as a rehabilitation exercise on patients with
11	injuries or diseases of the cruciate ligaments and articular surfaces [21].
12	When performing the step up and over activity, weight-bearing would change
13	significantly in the early stage after ACL injury or reconstruction [22]. Patients with
14	ACL deficiencies developed gait adaptations during stair ascent by reducing peak
15	external flexion moments and also decreasing vertical GRF [13]. These studies
16	showed that measures deriving from ground reaction forces provided an informative
17	means of quantifying the deviations in limb loading in stair locomotion. The
18	primary function of the ACL is the restraint on the anterior instability of the knee joint,
19	but the information about anterior-posterior GRF is limited. Moreover, the

1	comprehensive information about the bilateral adaptations in load-bearing during stair
2	locomotion in the patients with ACL deficiency was still limited. The purpose of
3	this study is to investigate the bilateral changes of GRF parameters during both stair
4	ascent and descent in the patients with unilateral ACL deficiency.
5	
6	2. Methods
7	2.1. Subjects
8	Two subject groups were enrolled in this study. Twenty patients (12 male, 8
9	female) with ACL deficiency were recruited from the Department of Orthopedics of
10	China Medical University Hospital. They were diagnosed as unilateral ACL
11	complete tear confirmed by either arthroscopic or MRI examination. The control
12	group consisted of fourteen young healthy collegiate students (10 male, 4 female).
13	Those with neuromuscular disorders in lower limb or low back, pain or injury over
14	other ligaments of knee were excluded from this study. The sample size was
15	determined by a power analysis for analysis of variance (ANOVA) with a power of
16	0.8 and a large effect size ( $f = 0.5$ ). The study was approved by the Ethics committee

17 at the China Medical University Hospital. All participants signed the consent form

18 after understanding the aim, procedures, potential risks and benefits of this study.

19 Basic data of each participant, including age, body weight, body height, body mass

index (BMI) and post-injury duration, was collected upon enrollment. The Tegner
 activity level scale [23] and the Lysholm score [24] were also recorded to understand
 their activity level and subjective functional status. These descriptive data for each
 group were listed in Table 1.

#### 5 2.2. Experiment setup and testing procedures

6 A 5-step wooden staircase with a dimension of  $18 \times 40 \times 120$  cm (height × depth 7  $\times$  width) for each step was created especially for this study. The staircase was placed 8 in front of two force plates (AMTI OR6-5, Watertown, MA, USA) which were 9 mounted on the ground as FP#0. Two other force plates (Kistler 9286AA, 10 Winterthur, Switzerland) were integrated into the staircase on the first two steps from 11 the ground as FP#1 and FP#2 (Figure 1). The GRF signals were collected at 960Hz 12 via the amplifier and integrated into a VICON workstation (VICON, Oxford Metrics, 13 UK). The subject was asked to walk toward the stair, climb up the step to the top at 14 his or her comfortable speed as a stair ascent trial, and step down to the ground and walk away as a stair descent trial. For each participant and each test condition, both 15 16 legs would take turns to lead and a total of six successful trials, three for each leg, 17 were obtained for subsequent analysis.

#### 18 2.3. Definition of GRF parameters

19 The GRF signals from all force plates were recorded and normalized with the

1	individual's body weight in order to allow for comparisons between subjects of
2	different body weights. The vertical and anterior-posterior components of the GRF
3	were further analyzed to extract important parameters by using a custom MATLAB
4	program. The detected parameters in vertical components included the peak forces
5	in the first and second halves of the stance (Fz2 and Fz4), minimum forces between
6	these two peaks (Fz3), loading rate at the beginning (Bn), and unloading rate at the
7	end (En) of the stance phase (Figure 2A). The loading rate was calculated as the
8	magnitude of 80% of the first peak force (Fz2) divided by the time during which it
9	occurred. The unloading rate was calculated as the magnitude of the second peak
10	force reduced to 80% of the second peak force (Fz4) divided by the time from that
11	point until the end of the stance [9]. To understand the weight-transferring strategy
12	between legs, the vertical forces from two consecutive steps were further analyzed.
13	The maximal summation of the vertical lifting forces exerted by the legs during
14	stepping up was defined as the lift-up index (LUI). The maximal summation of the
15	vertical forces transmitted through the legs when the leading leg landed on the surface
16	of the lower step was defined as the impact index (IPI) [22] (Figure 2B). The
17	percentages of the lift-up and impact indexes (expressed as %LUI and %IPI
18	respectively) on the leading leg were also calculated to show the contribution from
19	each leg. The higher the percentage, the larger the contribution of the maximum

1	forces on the leading leg at the lift-up or the impact. In the anterior-posterior
2	component of the GRF, the peak forces in the first and second halves of the stance
3	(Fx2 and Fx4), and the loading and push-off rates (LRx and PRx) were also extracted
4	(Figure 2A). The loading rate was defined as the slope from the beginning of the
5	stance to the first peak force (Fx2), and the push-off rate is the slope from the second
6	peak force (Fx4) to the end of the stance. Besides these force parameters, the
7	walking speed was indicated by the cadence of each leg, defined by the inverse of the
8	time to complete a step cycle and expressed as strides per minute. The duty factor
9	was defined by calculating the percentage of the duration of stance phase in the entire
10	step cycle [6].
11	2.4. Statistical analysis
11 12	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and
11 12 13	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor
11 12 13 14	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor (groups) and two within-subject factor (bilateral limbs and different steps). However,
11 12 13 14 15	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor (groups) and two within-subject factor (bilateral limbs and different steps). However, similar patterns in the left and right legs of the control group were demonstrated and
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> </ol>	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor (groups) and two within-subject factor (bilateral limbs and different steps). However, similar patterns in the left and right legs of the control group were demonstrated and thus the average of the variables of both legs was used to compare with those of the
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> </ol>	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor (groups) and two within-subject factor (bilateral limbs and different steps). However, similar patterns in the left and right legs of the control group were demonstrated and thus the average of the variables of both legs was used to compare with those of the ACL deficient (ACLD) group. Significant effects of different steps were found in
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> </ol>	2.4. Statistical analysis These detected force and temporal variables were tested by using a mixed and repeated measure analysis of variance (RM ANOVA) with one between-subject factor (groups) and two within-subject factor (bilateral limbs and different steps). However, similar patterns in the left and right legs of the control group were demonstrated and thus the average of the variables of both legs was used to compare with those of the ACL deficient (ACLD) group. Significant effects of different steps were found in most dependent variables, so the data from different steps were analyzed separately.

1	between groups, and the paired t-test where significance was found between the
2	bilateral limbs. The statistical significance was set at 0.05. All statistical analyses
3	were performed using SPSS software (Chicago, IL, USA).
4	
5	3. Results
6	3.1. Vertical ground reaction forces
7	The pattern of two-peak vertical GRF was observed in the stance phases both
8	during the stair ascent and decent. However, among these two peaks, relatively
9	larger peak (Fz4) in the late stance during stair ascent and relatively larger peak (Fz2)
10	in the early stance during stair descent were demonstrated across all step levels
11	(Figure 3A). During stair ascent, the maximal peaks (Fz2 and Fz4), loading and
12	unloading rates (Bn and En) at the ground level (FP#0) were significantly smaller on

12 unloading rates (Bn and En) at the ground level (FP#0) were significantly smaller on both limbs of the ACLD group than the control group, except for the second peak on 13 14 the unaffected limb. Similar results were found only in the affected limb of the 15 ACLD group at the first step (FP#1). The differences were no longer significant at 16 the peak forces compared between groups at the second step (FP#2). Significantly 17 smaller peaks were found only in the affected limb compared with the unaffected limb 18 of the ACLD group. In contrast, the minimum forces between two peaks (Fz3) were 19 significantly larger on both the unaffected and affected limbs during the mid-stance in

1	the ACLD group than those in the control group at all three step levels, except for the
2	unaffected limb at the first step (FP#1). During stair descent, significantly smaller
3	first peaks (Fz2) and loading rates (Bn) in the unaffected limb of the ACLD group at
4	all three levels and larger second peaks (Fz4) on the affected limb on stairs were
5	found than in the affected limb and those in control group. Additionally, comparing
6	with the control group, the Fz3 were significantly larger on both the unaffected and
7	affected limbs of the ACLD group on the stairs (FP#1 and FP#2) (Figure 3B).
8	3.2 Anterior-posterior ground reaction forces
9	Lager magnitudes of the anterior-posterior ground reaction forces were observed
10	during stair descent than during stair ascent (Figure 4). In the early stance of stair
11	ascent, the unaffected limb of the ACLD group showed larger posterior loading forces
12	(Fx2) on stairs (FP#1 and FP#2) when compared with the control group. The
13	affected limb of the ACLD group demonstrated significantly smaller Fx2 and loading
14	rate (LRx) at the ground level (FP#0) when compared with the control group.
15	Similar results in the affected limb were found at all three steps when compared with
16	the unaffected limb. In the late stance, smaller anterior push-off forces (Fx4) on
17	stairs and smaller push-off rates (PRx) at all three steps were found in both limbs of
18	the ACLD group (Figure 4A). During stair descent, the unaffected limb of the
19	ACLD group demonstrated significantly smaller Fx2 and LRx in the early stance on

stairs (FP#2 and FP#1) than the affected limb and the control group. Smaller Fx4
and PRx in both the unaffected and affected limbs than those in the control group
were demonstrated mainly during the transition from the stair to the ground in the late
stance (Figure 4B).

## 5 3.3 Weight transferring between consecutive steps

6 During stair ascent, the lift up indexes (LUI) were significantly smaller in both 7 the affected and unaffected limbs of the ACLD group than those in the control group. 8 However, no significant differences between groups were found in the impact indexes 9 (IPI) during stair descent, except for the unaffected limb landing on the first step 10 (FP#1) (Figure 5A). The changes of the weight-transferring strategy between the 11 consecutive steps were evident in the unaffected limb of the ACLD group with a 12 larger percentage of the lift up indexes (%LUI) during stair ascent and a smaller 13 percentage of the impact indexes (%IPI) during stair descent (Figure 5B).

14 3.4 Cadence and Duty Factor

During stair ascent, lower cadences were found in both legs of the ACLD group than in the control group. From the ground (step#0) to the second step of the staircase (step#2), the unaffected limb of the ACLD group would have a longer stance phase when compared with the affected limb and with the control group. During stair descent, both the affected and unaffected limbs of the ACLD group showed a

1	lower cadence from step#2 to the ground (step#0) than the control group. A longer
2	stance phase was found from the third (step#3) to the first step (step#1) in the affected
3	limb of ACLD group when compared with the control group (Table 2). The ACLD
4	group demonstrated longer stance phase than the control group during the transition
5	between ground level (step#0) and the second step (step#2) in both stair ascent and
6	descent.

## 8 **4. Discussion**

9 This study aimed to investigate the bilateral changes in force-bearing on the 10 lower limbs during stair walking in the patients with unilateral ACL deficiency. The 11 vertical and anterior-posterior components of the GRF were analyzed and compared 12 with the control subjects. The patterns of two-peak vertical GRF demonstrated a 13 larger peak in the early stance during stair descent while a larger peak in the late stance during stair ascent, which was consistent with the results in the previous study 14 15 [4]. However, most GRF parameters in the both limbs of the patients with ACL 16 deficiency were found significantly different from the healthy controls.

17 The patients with ACL deficiency negotiated with stair climbing by changing to a 18 lower cadence. A less duty factor of the stance phase was also demonstrated in the 19 affected limb of the ACL group at the initial steps from the ground level (Table 2).

1	The analysis of vertical GRF also revealed smaller maximal peaks, loading rates and
2	lift-up indexes in both the unaffected and affected limb of ACLD group during the
3	initial steps in stair ascent (Figure 3A and 5A). The initial steps indicated the
4	transition from the ground to the stair, when sufficient lifting forces from the
5	anti-gravity muscles in the lower legs were generated to produce the upward
6	acceleration of the body. However, muscle strength deficits were frequently seen in
7	these patients. A study has reported that there was 12%-17% quadriceps strength
8	deficit in patients with chronic ACL deficiency [25]. The decreases in Fz2 and
9	slower cadence may also be related to the reduction in peak knee flexion moment
10	found in the involved knee of the patients with ACL deficiency during stair climbing
11	[13]. Therefore, the above responses in the ACLD group may result from a cautious
12	strategy for the knee instability and from possible decreased muscle functions. The
13	smaller maximal peaks may also be related to the slower cadence; however, the larger
14	minimum forces between two peaks (Fz3) were found in both the affected and
15	unaffected limbs of the ACLD group than those in the control group. This may be
16	caused by the slower walking speed and may also indicate larger leg stiffness in both
17	limbs of the ACLD group during the mid-stance. Similar findings were also reported
18	by a previous study in older adults performing stair ascent. Their results of the
19	myoelectric information showed elevated thigh muscle coactivation in the entire

1	stance phase in elderly subjects [6]. Therefore, similar strategy to increase leg
2	stiffness could be expected in our ACLD subjects to provide compensation for the
3	instability of the knee joint.

4 During stair descent, the cadences were not changed significantly on the stairs 5 but they slowed down when reaching to the ground with either limb of the ACLD 6 subjects (Table 2). These results indicated that patients with ACL deficiency would 7 slow their paces when stepping down to the ground when the descending momentum 8 had to be braked during this transitional step. The slower cadence suggested a 9 slower walking speed, which was consistent with the longer movement time found in 10 the step up and over task in the patients with ACL deficiency [22]. The duty factor 11 on the stairs was significantly increased only in the affected limb of the ACLD group, 12 which may indicate its poorer eccentric control in lowering the body. This result 13 could also be further supported by the smaller Fz2 in vertical GRF on the unaffected 14 limb in all steps (Figure 3B). The smaller differences between Fz2 and Fz3 were 15 also evident during stair descent in both limbs of the ACLD group. A study has 16 demonstrated that the abruptly increased stiffness of the knee and lower legs on 17 landing in a forward hop landing task may be related to the weaker thigh musculature 18 [26].

1	The larger magnitudes of the GRF parameters during stair descent than during
2	stair ascent supported the responses in ACL-deficient patients who would find it more
3	challenging to perform stair descent than ascent. During stair ascent, significantly
4	reduced magnitudes of the GRF parameters in the affected limb of the ACLD group
5	were found in the late stance (Figure 3A and 4A). An in vitro study on the
6	restraining role of the ACL during stair ascent indicated that it was significant only
7	during the late stance, not during the early and middle segments of the stance phase
8	[27]. In the late stance, the trailing limb would be at a more flexed position,
9	therefore, the smaller propelling force on the affected limb could decreased the
10	anterior shear forces at the affected knee. Meanwhile, larger magnitudes of the GRF
11	parameters in the early stance (Figure 3A and 4A) and a larger percentage of the LUI
12	(Figure 5B) were found in the unaffected limb. These results indicated that the
13	patients with unilateral ACL deficiency reduced forces on the trailing affected limb
14	successfully by transferring the loading to the leading unaffected limb. However,
15	the relative reductions on the affected limb were not found during stair descent.
16	Moreover, significantly larger magnitudes of the GRF parameters were found in both
17	early and late stance phases in the affected limb than in the unaffected limb (Figure
18	3B and 4B). The reduced percentage of IPI in the leading unaffected limb (Figure
19	5B) and the longer duty factor in the affected limb during stair descent (Table 2)

further confirmed the difficulties in lowering the body on the trailing affected limb in
 a well-controlled way. These results indicated that the adaptation made by these
 patients failed to reduce force-bearing in the affected limbs and thus may increase the
 risks when performing stair descent.

5 The significant changes of the percentages of LUI and IPI occurred only in the 6 unaffected limbs of the ACL subjects (Figure 5B), which may indicate the bilateral 7 adaptation to the ACL injury and lead to changes in the weight-transferring strategy. 8 The results of increases in %LUI but decreases in %IPI in the leading unaffected limb 9 may also suggest different adaptations in the motor strategy for the instability of the 10 affected knees during stair ascent and descent. Studies have shown that the ACL 11 would bear significantly larger strains and forces when the knee was in flexion around 12 10 to 40 degrees than in flexion greater than 50 degrees during rehabilitation exercises 13 [28, 29]. Therefore, when leading with the unaffected limb in stair ascent, a larger 14 percentage of LUI indicated that less forces would be applied to the affected limb 15 when the knee was in a near extension position. On the other hand, a smaller 16 percentage of IPI when leading with the unaffected limb in stair descent indicated that 17 larger forces would be applied to the affected limb when the knee was in a flexed 18 position. Modifications of the central somatosensory pathways caused by ACL 19 lesions have been reported, and these modifications encouraged an alternate synergy

that could minimize the instability and optimizes the functional level in situations that
 challenge knee stability [30].

3 In computerized three-dimensional motion analysis, the integration of multiple cameras and forceplates systems is required, followed by complicated preparation of 4 5 the subjects and experimental procedures. The method used in the current study is 6 relatively simple and convenient, and can be applied to study various movements of 7 patients in a clinical setting. This study investigated the GRF parameters during stair 8 locomotion and demonstrated the adaptations on bilateral lower limbs in patients with 9 unilateral ACL deficiency. These patients were found to adopt a more cautious 10 strategy during both stair ascent and decent, with smaller GRF and reduced cadence 11 than the healthy controls. However, when compared to the unaffected limb, the 12 affected limb was found to bear greater loadings during descent, indicating that the 13 observed strategy was successful in protecting the affected limb during ascent but not 14 during descent. Since stair locomotion is a common daily activity, the results of this 15 study may provide a safety guideline for these patients when performing the task and 16 may be helpful in promoting the use of the stair tasks as part of a rehabilitation 17 One limitation of this study is that we used only one dimension of the program. 18 staircase, and the influence of different inclinations could not be discussed. 19 However, the GRF parameters and temporal gait cycle parameters were shown not

significantly affected by the staircase inclination in healthy subjects [17]. Another
limitation is that there is no electromyographic and kinematic information to validate
the effects of the muscle coactivation on the knee stiffness changes. The detailed
myoelectic and joint kinematic information from the lower limbs and the control of
the body center of mass could be further investigated in future studies.

6

## 7 **5. Conclusions**

8 This study demonstrated substantial differences in GRF parameters between the 9 healthy controls and the patients with unilateral ACL deficiency. The results showed 10 that the patients with ACL deficiency would adopt slower stepping cadences during 11 stair ascent and stepping down to the ground. The vertical GRF in the ACLD group 12 demonstrated smaller peak forces but larger minimum forces at mid-stance in both 13 stair ascent and descent. It may imply larger stiffness in the lower limbs of the 14 Significantly reduced magnitudes of anterior propulsive forces and ACLD group. 15 push-off rates in the late stance were found in both limbs of the ACLD group. The slower cadences and reduced force-bearing on the affected limb suggested a 16 17 protective strategy was adopted. However, the anterior loading parameters in the 18 early stance and the weight-transferring strategies between the affected and unaffected 19 limbs indicated different adaptations during stair ascent and descent. During stair

1	desce	nt, relatively larger magnitudes of the GRF parameters were found in the				
2	affect	ed limb than in the unaffected limb. These findings supported the responses in				
3	patien	ts with ACL deficiency who would find it more challenging to perform stair				
4	desce	nt. The results of this study revealed a cautious force-bearing strategy and the				
5	bilate	ral adaptation in patients with unilateral ACL deficiency. This information				
6	would	be helpful in understanding the kinetic adaptations after ACL injury and could				
7	help p	promote the use of the stair tasks as part of a rehabilitation program.				
8						
9	Ackı	nowledgements				
10	The authors acknowledge the support from the National Health Research Institute					
11	(NHR	I93-EX9126).				
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11		

# 1 Figure Captions

2	Figure1. Experimental set-up of the stair walkway (X, Y, Z unit: millimeter).
3	Figure 2. Parameters of ground reaction forces in a representative trial (A) during stair
4	ascent; (B) during stair descent. (The solid curves are the GRF data from
5	three forceplates, the dashed lines are the calculated loading/unloading rates
6	from each forceplate, and the dashed curves are the summation of vertical
7	GRF from two consecutive forceplates)
8	Figure 3. Average peak values and loading/unloading rates in vertical ground reaction
9	forces (A) during stair ascent; (B) during stair descent.
10	Figure 4. Average peak values and loading/push-off rates in anterior-posterior ground
11	reaction forces (A) during stair ascent; (B) during stair descent.
12	Figure 5. (A) Average maximal forces, lift-up index (LUI) in stair ascent and impact
13	index (IPI) in stair descent; and (B) the percentages on the leading limb in
14	transition between steps during stair locomotion in different subject groups.
15	
16	Table Captions
17	Table 1. The descriptive data (mean (standard deviation)) of the subject groups.
18	Table 2. Average cadence and duty factor in various steps during stair ascent and
19	descent.

	Control ( $N = 14$ )	ACLD (N = 20)			
Gender, men/women, n	10M / 4W	12M	/ 8W		
Age, years	21.8 (4.2)	23.8 (5.8)			
Body height, cm	167.5 (9.6)	168.4 (8.2)			
Body weight, kg	68.0 (12.5)	66.5 (13.7)			
BMI, kg/m <sup>2</sup>	24.1 (3.4)	23.1 (3.7)			
Post-injury duration, months [range]	_	42.9 (53.0) [1-157]			
Lysholm score [range]	range] 100		71.3 (15.5) [45-99]		
		Before injury	Before injury		
Tegner activity level scale [range]	6.2 (3.0) [1-9]	6.8 (2.5) [2-9]	3.4 (2.3) [1-9]		

1 Table 1. The descriptive data (mean (standard deviation)) of the subject groups.

2 BMI: body mass index

1 Figure 1. Experimental set-up of the stair walkway (X, Y, Z unit: millimeter).



- 1 Figure 2. Parameters of ground reaction forces in a representative trial (A) during stair
- 2 ascent; (B) during stair descent. (The solid curves are the GRF data from three
- 3 forceplates, the dashed lines are the calculated loading/unloading rates from each
- 4 forceplate, and the dashed curves are the summation of vertical GRF from two
- 5 consecutive forceplates)



7 (B)

1 Figure 3. Average peak values and loading/unloading rates in vertical ground reaction



2 forces (A) during stair ascent; (B) during stair descent.

- 5 of the ACLD group
- 6 b: significant difference in the comparison of the control group with the unaffected
- 7 limb of the ACLD group
- 8 *†*: significant difference in the comparison between the affected and unaffected limb
- 9 of the ACLD group
- 10

1 Figure 4. Average peak values and loading/push-off rates in anterior-posterior ground



2 reaction forces (A) during stair ascent; (B) during stair descent.

- 3
- 4 a: significant difference in the comparison of the control group with the affected limb
- 5 of the ACLD group
- 6 b: significant difference in the comparison of the control group with the unaffected
- 7 limb of the ACLD group
- 8 *†*: significant difference in the comparison between the affected and unaffected limb
- 9 of the ACLD group
- 10

Figure 5. (A) Average maximal forces, lift-up index (LUI) in stair ascent and impact
 index (IPI) in stair descent; and (B) the percentages on the leading limb in
 transition between steps during stair locomotion in different subject groups.



4

6 a: significant difference in the comparison of the control group with the affected limb

7 of the ACLD group

- 8 b: significant difference in the comparison of the control group with the unaffected
- 9 limb of the ACLD group
- 10 †: significant difference in the comparison between the affected and unaffected limb
- 11 of the ACLD group
- 12

Control group		ACLD group					
		unaff	ected		affec	cted	
Mean	(SD)	Mean	(SD)		Mean	(SD)	
		Sta	ir asce	ent			
42.3	(3.8)	35.0	(4.9)	*	35.7	(4.5)	*
42.6	(3.8)	37.1	(4.6)	*	37.5	(4.9)	*
62.0	(1.9)	64.5	(2.5)		61.1	(2.1)	†
57.8	(1.9)	57.6	(3.4)		56.2	(3.9)	
		Sta	ir desc	ent			
44.6	(5.0)	41.4	(8.1)		43.4	(7.3)	
48.5	(8.6)	37.3	(4.3)	*	37.7	(6.0)	*
56.2	(5.9)	62.6	(5.3)		67.0	(5.9)	*
68.6	(4.1)	70.0	(4.6)		69.5	(6.6)	
	Contro Mean 42.3 42.6 62.0 57.8 44.6 48.5 56.2 68.6	Control group         Mean       (SD)         42.3       (3.8)         42.6       (3.8)         62.0       (1.9)         57.8       (1.9)         44.6       (5.0)         48.5       (8.6)         56.2       (5.9)         68.6       (4.1)	Control group         unaff           Mean         (SD)         Mean           42.3         (3.8)         35.0           42.6         (3.8)         37.1           62.0         (1.9)         64.5           57.8         (1.9)         57.6           44.6         (5.0)         41.4           48.5         (8.6)         37.3           56.2         (5.9)         62.6           68.6         (4.1)         70.0	Control group       AC         unaffected         Mean       (SD)         Mean       (SD)         Stair asce         42.3       (3.8)         42.6       (3.8)         37.1       (4.6)         62.0       (1.9)         64.5       (2.5)         57.8       (1.9)         57.6       (3.4)         Stair desc         44.6       (5.0)         41.4       (8.1)         48.5       (8.6)         37.3       (4.3)         56.2       (5.9)         62.6       (5.3)         68.6       (4.1)	Control group       ACLD         unaffected         Mean       (SD)         Mean       (SD)         Stair ascent         42.3       (3.8)         42.6       (3.8)         35.0       (4.9) *         42.6       (3.8)         37.1       (4.6) *         62.0       (1.9)         64.5       (2.5)         57.8       (1.9)         57.6       (3.4)         Stair descent         44.6       (5.0)         41.4       (8.1)         48.5       (8.6)         37.3       (4.3) *         56.2       (5.9)         62.6       (5.3)         68.6       (4.1)	ACLD group         unaffected affect         Mean       (SD)       Mean       (SD)       Mean         42.3       (3.8)       35.0       (4.9) *       35.7         42.6       (3.8)       35.0       (4.9) *       35.7         42.6       (3.8)       37.1       (4.6) *       37.5         62.0       (1.9)       64.5       (2.5)       61.1         57.8       (1.9)       57.6       (3.4)       56.2         Stair descent         44.6       (5.0)       41.4       (8.1)       43.4         48.5       (8.6)       37.3       (4.3) *       37.7         56.2       (5.9)       62.6       (5.3)       67.0         68.6       (4.1)       70.0       (4.6)       69.5	ACLD groupunaffectedaffectedMean(SD)Mean(SD)Mean(SD)Stair ascent42.3 $(3.8)$ $35.0$ $(4.9)$ * $35.7$ $(4.5)$ 42.6 $(3.8)$ $37.1$ $(4.6)$ * $37.5$ $(4.9)$ 62.0 $(1.9)$ $64.5$ $(2.5)$ $61.1$ $(2.1)$ $57.8$ $(1.9)$ $57.6$ $(3.4)$ $56.2$ $(3.9)$ Stair descent44.6 $(5.0)$ $41.4$ $(8.1)$ $43.4$ $(7.3)$ $48.5$ $(8.6)$ $37.3$ $(4.3)$ * $37.7$ $(6.0)$ 56.2 $(5.9)$ $62.6$ $(5.3)$ $67.0$ $(5.9)$ $68.6$ $(4.1)$ $70.0$ $(4.6)$ $69.5$ $(6.6)$

Table 2. Average cadence and duty factor in various steps during stair ascent and
 descent.

\*: significant difference in the comparison of the control group with the unaffected or affected limb of the ACLD group

†: significant difference in the comparison between the affected and unaffected limb of the ACLD group